Background	Machine learning approach	Results	Discussion

# Learning a model for mean-field turbulence dynamics

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#### Introduction: can a computer do plasma physics?

- Calculating turbulent fluxes is an important challenge for the plasma physicist
- Introduce new machine learning technique for studying fluxes
- Can the algorithm pick up physics we missed?



Figure A computer studies tokamak physics

Introduction	· this talk		
Background	Machine learning approach	Results	Discussion
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- Apply new method to resistive DW turbulence via 2D Hasegawa-Wakatani system
- Reproduce analytic result for particle flux, including often-overlooked term induced by ZF
- Discuss implications of ZF term, future directions



Figure Snapshot of vorticity field from simulation of 2D HW

Background	Machine learning approach	Results	Discussion
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# Background

Background	Machine learning approach	Results	Discussion
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Drift-wave tur	bulence		

- Drift-wave turbulence features complex interaction between mean profile, ZF, and turbulence
- Dynamics controlled by cross-correlations between fluctuating quantities (turbulent fluxes). E.g. particle flux  $\Gamma = \langle \tilde{n}\tilde{v}_x \rangle$ and Reynolds stress  $\Pi = \langle \tilde{v}_x \tilde{v}_y \rangle$
- Difficult to calculate! Not many approaches beyond quasilinear theory



Figure Feedback loop illustrating interaction of mean fields in DW turbulence

Background	Machine learning approach	Results	Discussion
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## Machine learning approach

Background		Machine learning approach	Results	Discussion
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#### Basic formalism: pushing MFT to the limit

- Seek maps f<sub>q</sub> which send local mean fields to local fluxes, i.e. f<sub>q</sub>:

   (n, ∂<sub>x</sub>n,..., φ, ∂<sub>x</sub>φ, ζ, ∂<sub>x</sub>ζ,..., ε, ∂<sub>x</sub>ε,...) ↦
   ⟨q̃v<sub>x</sub>⟩. Here ε = (ñ ζ̃)<sup>2</sup> is turb. PE, ζ = ∂<sup>2</sup><sub>x</sub>φ is vorticity
- Idea: rather than attempt direct calculation or fitting parameters, use supervised learning to train a neural network on numerical simulations
- Essentially nonlinear, model-free regression. Could capture physics missed by human?



Figure Schematic of machine learning method

Background	Machine learning approach	Results	Discussion
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Detailed method	ds		

- As proof of concept, learn particle flux Γ from simulations of 2D HW (at fixed α = 2). Advantages: fast simulations, captures full feedback loop, yet simple to treat analytically
- Constrain problem using symmetries of HW:
  - Invariance under uniform shifts  $n \rightarrow n + n_0$  and  $\phi \rightarrow \phi + \phi_0$ eliminate dependence on  $n, \phi$
  - Invariance under boosts in y

$$\begin{cases} \phi & \to \phi + v_0 x \\ y & \to y - v_0 t \end{cases}$$

eliminates dependence on ZF speed  $\partial_x \phi$ Seflection symmetries  $x \to -x, y \to -y$  and  $\phi \to -\phi, n \to -n, x \to -x$  and  $\phi \to -\phi, n \to -n, x \to -x$ which are enforced by duplicating and transforming data

Background	Machine learning approach	Results	Discussion
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#### Results

Background	Machine learning approach	Results	Discussion
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Particle flux lea	rned by NN		

NN learns a model roughly of the form

$$\overline{} = -D_n \varepsilon \partial_x n - D_\zeta \varepsilon \partial_x \zeta$$

Usual QL flux plus an "off-diagonal" term driven by vorticity! (no clear dependence on other quantities)



Figure Curves (at fixed  $\varepsilon = 10$ ,  $\zeta = 1$ ,  $\partial_x \varepsilon = 0$ , and various  $\partial_x n$ ) of  $\Gamma$  vs vorticity gradient. Appears to be simple linear combination of  $\partial_x n$  term and  $\partial_x \zeta$  term

Background	Machine learning approach	Results	Discussion
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Derivation of of	ff-diagonal term		

Careful analytic treatment in adiabatic limit reproduces off-diagonal term. Need include frequency shift due to ZF!

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$$\begin{split} \omega_{\mathbf{k}} &= \frac{k_{y}}{1+k^{2}} (\partial_{x}n - \partial_{x}\zeta) + O(\alpha^{-2}) \\ \gamma_{\mathbf{k}} &= \frac{k_{y}^{2}}{\alpha(1+k^{2})^{3}} (\partial_{x}n - \partial_{x}\zeta) (k^{2}\partial_{x}n + \partial_{x}\zeta) + O(\alpha^{-2}) \\ \Gamma &= \operatorname{Re}\sum_{\mathbf{k}} -ik_{y}\tilde{n}_{\mathbf{k}}\tilde{\phi}_{\mathbf{k}}^{*} \\ &= \sum_{\mathbf{k}} \frac{-k_{y}^{2}\partial_{x}n(\gamma_{\mathbf{k}} + \alpha) + \alpha k_{y}\omega_{r,\mathbf{k}}}{\omega_{r,\mathbf{k}}^{2} + (\gamma_{\mathbf{k}} + \alpha)^{2}} |\tilde{\phi}_{\mathbf{k}}|^{2} \\ &= \frac{1}{\alpha}\sum_{\mathbf{k}} -\frac{k_{y}^{2}}{1+k^{2}} \left(k^{2}\partial_{x}n + \partial_{x}\zeta\right) |\tilde{\phi}_{\mathbf{k}}|^{2} + O(\alpha^{-2}) \end{split}$$

Background 00 Machine learning approach

Results

Discussion

#### Comparison to QLT (diagonal term)

Compare NN result to QLT result using spectrum centered at most unstable  ${\bf k}$  for  $\partial_x \zeta = 0$ 

$$\varepsilon_{\mathbf{k}} = \frac{\langle \varepsilon \rangle}{4\pi\Delta k_x \Delta k_y} e^{-k_x^2/2\Delta k_x^2} \left( e^{-(k_y - \sqrt{2})^2/2\Delta k_y^2} + e^{-(k_y + \sqrt{2})^2/2\Delta k_y^2} \right)$$



Figure Curves (at fixed  $\zeta = 1$ ,  $\partial_x \zeta = \partial_x \varepsilon = 0$ , and various  $\varepsilon$ ) of  $\Gamma$  vs density gradient from NN

Figure Corresponding curves from QLT with  $\Delta k_x = \Delta k_y = 1.5$ 







Figure Curves (at fixed  $\zeta = 1$ ,  $\partial_x n = \partial_x \varepsilon = 0$ , and various  $\varepsilon$ ) of  $\Gamma$  vs vorticity gradient from NN

Figure Corresponding curves from QLT with  $\Delta k_x = \Delta k_y = 1.5$ 

Background	Machine learning approach	Results	Discussion
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## Discussion

Background Machine learning approach Results Discussion 00 000 0000 0000 00000 0●000

#### Implications of off-diagonal term

- Off-diagonal often dismissed, but coupling same order of magnitude (~ 0.5) as that of usual ∂<sub>x</sub>n term. Machine picks it out very clearly!
- Consequence: ZF can induce staircase pattern on profile. If V<sub>y</sub> = V<sub>0</sub> sin(qx), ∂<sub>x</sub>ζ term will contribute

$$\partial_t \langle n 
angle \sim - rac{k_y^2 q^3 V_0 \langle arepsilon 
angle}{lpha (1+k^2)^3} \cos(qx)$$

 Alternate mechanism independent of usual shear suppression, bistability. Could explain DIII-D pedestal staircase (Ashourvan et al. PRL 2019)?



Figure Cartoon indicating how ZF can induce profile staircase via pinch

Conclusions an	d future work		
Background 00	Machine learning approach	Results 00000	Discussion

- Machine learning technique teaches us that shear-induced off-diagonal flux is **significant** effect
- Eventually, ML method may be applicable to more complex systems that resist analytic treatment
- In progress:
  - Develop more sophisticated ML methods, e.g. spatially nonlocal model. Goal: Reynolds stress
  - Omplete analytic study of effects of ZF frequency shift
- How important is off-diagonal flux relative to other staircasing mechanisms?

Hasegawa-Waka	itani system		
Background	Machine learning approach	Results	Discussion
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• Simplest realistic model for drift-wave turbulence which captures full feedback loop

$$\partial_t n + \mathbf{v}_E \cdot \nabla_\perp n = \alpha(\tilde{\phi} - \tilde{n}) + \text{dissipation}$$
  
 $\partial_t \zeta + \mathbf{v}_E \cdot \nabla_\perp \zeta = \alpha(\tilde{\phi} - \tilde{n}) + \text{dissipation}$ 

with  $v_E = \hat{z} \times \nabla_{\perp} \phi$ ,  $\zeta = \nabla_{\perp}^2 \phi$  and  $\alpha = \eta k_{\parallel}^2$  the adiabatic operator (representing parallel electron response)

• Averaging over symmetry directions (  $\langle \cdots \rangle )$  yields

 $\partial_t \langle n \rangle + \partial_x \Gamma =$ dissipation

$$\partial_t \langle \zeta \rangle - \partial_x^2 \Pi = dissipation$$

where  $\Gamma = \langle \tilde{n} \tilde{v}_x \rangle$  and  $\Pi = \langle \tilde{v}_x \tilde{v}_y \rangle$ 

• How to calculate  $\Gamma$ ,  $\Pi$ ?

Background	Machine learning approach	Results	Discussion
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Extra slide: erro	or bars		



Figure Error bar estimates for NN results for  $\partial_x \zeta = 0$ 

Figure Error bar estimates for NN results for  $\partial_x n = 0$ 

