

# **L→H Transition in a Stochastic Magnetic Field**

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U.C. San Diego

Galeev Symposium, Oct. 2020

This research was supported by the U.S. Department of Energy, Office of Science, Office of Fusion Energy Sciences, under Award Number DE-FG02-04ER54738.

## Re: A. A. Galeev

Tremendous, well known contributions to magnetic confinement theory and basic plasma theory:

- Weak Turbulence Theory: “Sagdeev and Galeev” books
- Neoclassical Transport, Plasma Rotation
- Reconnection and Tearing

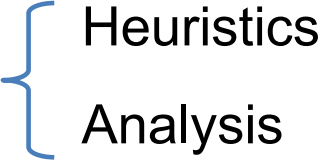
What else?

# Some personal favorites:

- Galeev, '67: Seminal paper on renormalization and DIA-like approach to Vlasov Turbulence
  - Resonance broadening theory encompassed
  - Noted applicability connected to absence of decay instability (particle vs. wave processes)
  - Cautioned on applicability of  $\gamma/k_{\perp}^2$ , dimensional estimates – A lesson ahead of its time...
  - Remarkable intellectual honesty and clarity!

- Galeev, Rosner, Vaiana, '76: Hot AGN corona from buoyant flux of loops stretched by  $V'_\theta$  :
  - End state of magnetic viscosity driven accretion (c.f. Eardley, Lightman); now more significant, as MRI appreciated
  - Concepts of 'disk flares'
- Galeev, Kuznetsova, Zeleny, '85 et. sequence
  - 'Patchy reconnection' due stochastic fields, magnetic percolation \* — micro-macro connection of intermittency
  - Linked to local drift-tearing dynamics

# The Rest:

- Changing focus of MFE theory
- ELM and RMP: Benefits and Costs
- L→H Transition in Stochastic Magnetic Field
  - Status
  - Revisiting ZF generation 
  - Implications
- Turbulent transport of momentum and particles in stochastic B-field

# Evolution of MFE Theory

Prehistory: 3D

- Beginnings: 60's ~ 1980

Trieste

Micro-stability

Neoclassical theory

Disruption models

Taylor Relaxation

T3

Alcator A

PLT

TFR

Galeev still

active in program

- Understanding Good Confinement: 1980 ~ 2010

[Self-Organization]

ExB shear, ZF's

Transport Bifurcations

Gyrokinetics, Simulation

AE modes

Intrinsic Rotation

ASDEX → H-mode

Alcator C, C-Mod → pellet, n-limit

TFTR, JET → D-T

DIII-D → ETBs, ITBs

JT-60U → ETBs, ITBs

# Evolution of MFE Theory

- Good Confinement + Good Power Handling → ITER:  
2010 – Present, and beyond

ELMs, Peeling-Ballooning

DIII-D, AUG

RMP, QH-mode

Alcator C-Mod

Multi-scale problems

LHD

Core-Edge coupling,

W7X

Turbulence Spreading

RFX-QSH \*

Disruptions (?)

EAST, KSTAR

SOL Heat Loads (?)

...

...

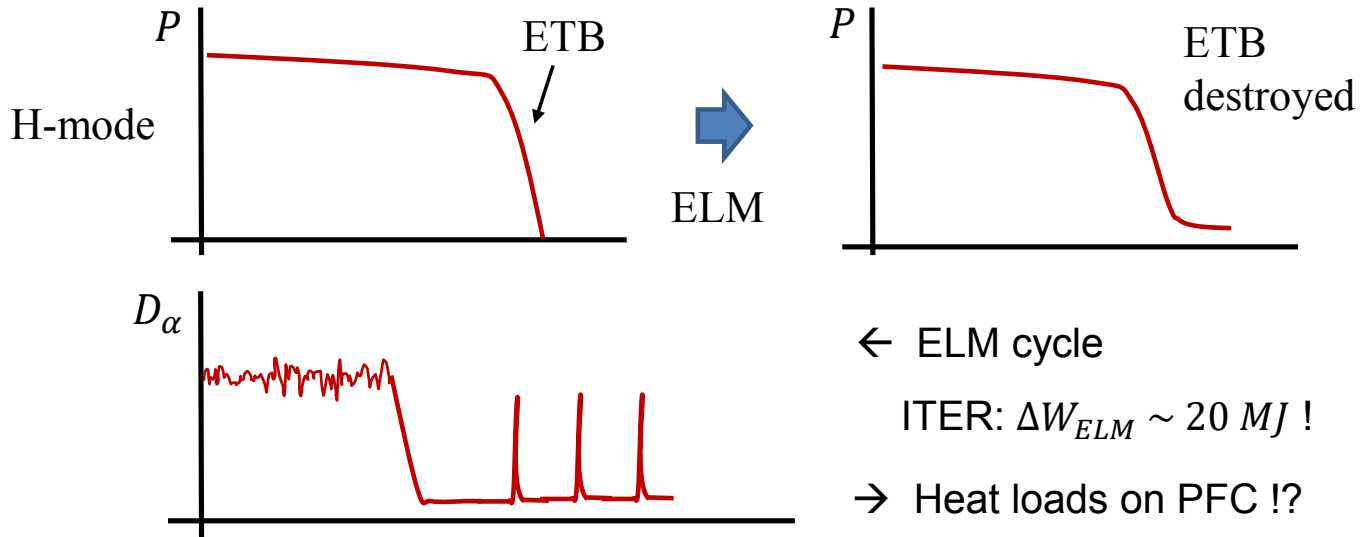
N.B.:

Return to 3D !

→ Theory must address trade-offs

# ELMs and RMP – A Primer

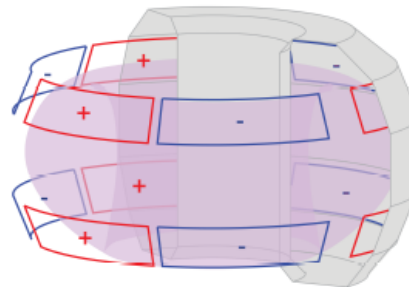
- ELM = Edge Localized Mode (Mode ?!)



- RMP = Resonant Magnetic Perturbation -  $\delta B$

- Stochastic edge
- Pump out - density
- Mitigate, suppress ELMs, with good confinement

$n = 3$  RMPs from internal coils



to ITER



# Benefit and Cost

- Need make L→H Transition with RMP !

“First ELM the largest”

- Increase in  $P_{th}$  for L→H !?

- $(\delta B/B)_{crit}$  for

- L→H Power increase

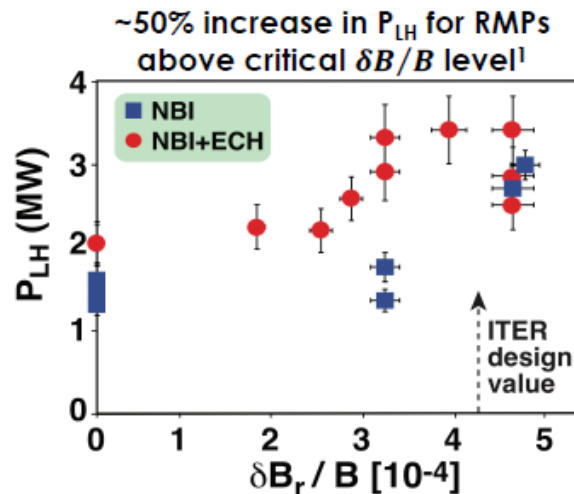
- Significant !

- Issues:

- Why L→H threshold  $\uparrow$  due RMP

- What physics defines  $(\delta B/B)_{crit}$  ?

- What Else?



(resonant vs. non-resonant)

Kriete et. al.  
DIII-D

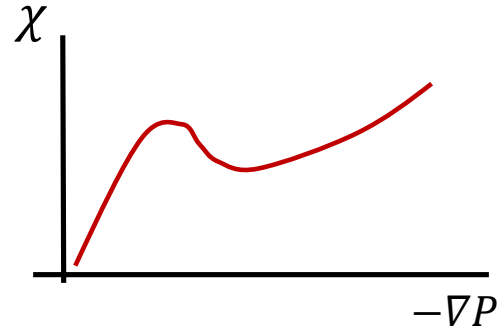
# Theoretical Problem:

## L→H Transition in a Stochastic Magnetic Field

- What of L→H ? → Converging, though still somewhat controversial

- Fundamentals:

- Transport bifurcation
- Bistability essential – S curve
- Robust feedback channel – ExB shear flows
- Insulation layer at the edge...



$$\chi_T = \chi_T(V'_{E \times B} / \omega)$$

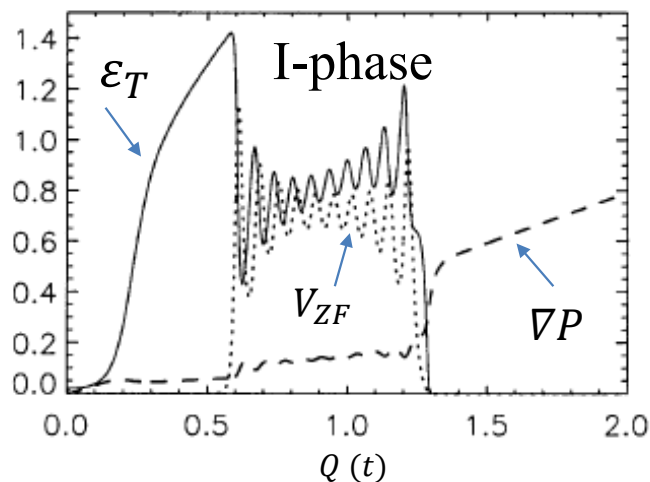
$$\chi_T \downarrow \text{ for } V'_{E \times B} / \omega > \text{crit.}$$

$$V_{E \times B} = \nabla P / n + \dots$$

- Subtleties
  - What is the “trigger”? → i.e.,
  - What physics allows  $\nabla P$  to steepen?
- Coupling of energy to edge zonal flow

- Interplay of  $\varepsilon_T, V_{ZF}, \nabla P$
- $P_{Reynolds}$  crit. needed,  
measured (Tynan)
- Crucial to note  $E \times B$  flow

Kim, PD, PRL'03



# Implications

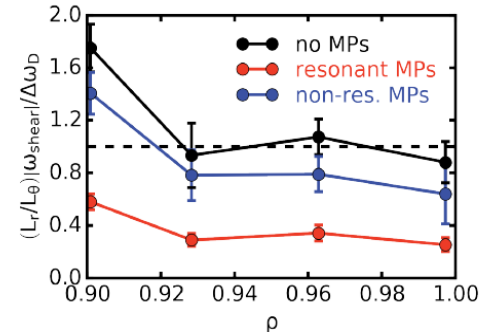
(c.f. Samantha Chen, P.D., et al)

- Modest stochastic field  $\delta B/B \geq 10^{-3} \sim 10^{-4}$  unlikely to have much effect on  $\nabla P_i$  so...
- Effect on L→H transition via interference with trigger mechanism
  - Prevent / Retard ZF excitation! , i.e. reduce Reynolds power
  - Relate  $(\delta B/B)_{crit}$  to ZF physics !?
  - What is physics of ZF dynamics in stochastic field ?!
  - Fundamental question...

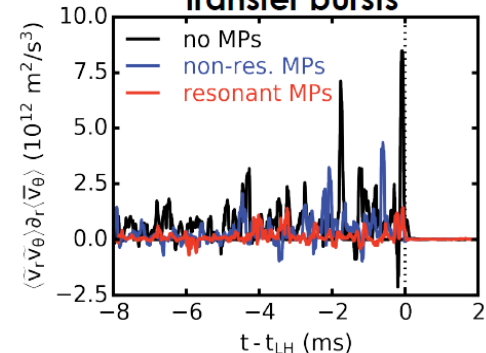
# Resonant Magnetic Perturbations Disrupt Shear Suppression of Turbulence, Increasing the L-H Power Threshold

- RMPs reduce flow shear rates  $\omega_{shear}$  and raise turbulence decorrelation rates  $\Delta\omega_D$  in L-mode
- \* Shear suppression parameter  $\omega_{shear}/\Delta\omega_D$  is reduced significantly below 1
  - More shear flow must be driven to access H-mode
- \* RMPs disrupt nonlinear energy transfer from turbulence to flows that can trigger L-H transition

Shear suppression parameter



nonlinear energy transfer bursts



- Reynolds Stress Decoherence
  - N.B. Tobias et. al. simulations of  $\beta$ -plane MHD ZF evolution indicate drop in  $\langle V_y V_x \rangle$  prior to Reynolds vs Maxwell balance, c.f. Chen, P.D., 2020, ApJ.
- Reynolds Stress Decoherence  $\rightarrow$  Dephase  $V_r, V_\theta$
- N.B. Amplitude change negligible.

# Reynolds Stress Decoherence - Heuristics

- ZF Mechanism – Basics → Modulation, etc

$$\langle \tilde{V}_x \tilde{V}_y \rangle = \sum_k c^2 \frac{|\phi_k|^2}{B_0^2} \langle k_x k_y \rangle$$

“Tilting Instability” – IKI classic

- What ‘correlates’  $k_x$  and  $k_y$ ? → Shear Flow!

$$\frac{d}{dt} k_x = -\frac{\partial}{\partial x} (\omega_{0,k} + k_y \langle V_y \rangle) \approx -k_y \langle V_y \rangle'$$

$$\therefore \langle k_x k_y \rangle \approx -k_y^2 \langle V_y \rangle' \tau_c$$



Growing shear reinforces correlation  
→ Shear Growth

# What of Magnetic Chaos Effects?

- Recall Classic:  $\omega^2 - \omega_D \omega - k_{\parallel}^2 V_A^2 = 0$       Drift-Alfven  
 $\omega_D = \omega_*/(1 + k_{\perp}^2 \rho^2)$       Dispersion  
Relation
- Now:  $k_{\parallel} = k_{\parallel}^{(0)} + \vec{b} \cdot \vec{k}_{\perp} \rightarrow$  static, stochastic field  
 $\omega = \omega_0 + \delta\omega$       (after MNR)

$$\langle \delta\omega \rangle \approx \frac{V_A^2}{\omega_0} \langle 2k_{\parallel} \vec{b} \cdot \vec{k}_{\perp} + (\vec{b} \cdot \vec{k}_{\perp})^2 \rangle \approx \frac{V_A^2}{\omega_0} \langle (\vec{b} \cdot \vec{k}_{\perp})^2 \rangle$$

 Ensemble avg. frequency shift

N.B.: Independent  $B_0 \leftrightarrow \langle \tilde{B}^2 \rangle$  only



# Magnetic Chaos, Cont'd

- Then:  $\omega = \omega_0 + \frac{V_A^2}{\omega_0} \langle (\vec{b} \cdot \vec{k}_\perp)^2 \rangle$       averaged frequency shift

- Revisit  $\langle k_x k_y \rangle$  correlator:

$$\frac{d}{dt} k_x = -\frac{\partial}{\partial x} \left( \omega_0 + \frac{1}{2} \frac{V_A^2}{\omega_0} k_\perp^2 b^2 + k_y \langle V_y \rangle \right)$$

- Thus tilting:

$$\frac{d}{dt} k_x = -k_y \langle V_y \rangle' - \frac{V_A^2}{2\omega_0} k_\perp^2 \partial_x [b^2]$$

shear flow
stochastic field

→  $|b|^2$  enters tilting mechanism

- Shear amplification feedback disrupted if  $[b^2]$  controls tilt process

# Magnetic Chaos, Cont'd

$$L_b^{-1} \equiv \frac{\partial_r |b|^2}{|b|^2}$$

- Tilting disrupted for:

$$|b|^2 > \beta \left( \frac{\rho_s}{L_n} \right)^2 \frac{L_b}{L_E} L_n \frac{|e| E_r}{T}$$

$$L_E^{-1} \equiv \frac{\partial_r E_r}{E_r}$$

- Standard parameters:

$$|b|^2 > 10^{-7} \frac{L_b}{L_E} \rightarrow \text{achievable for weak, modest field}$$

- Critical field emerges from dephasing condition
- Higher power  $\rightarrow$  increased  $V'_E \rightarrow$  re-access tilting feedback

# Proper Analysis – Schematic

- $\nabla \cdot J = 0 \sim V_A D_M$  characterizes mixing,  $D_M$  - RSTZ, R.R.

➔  $V_A$  is signal speed along stochastic magnetic field

- $\partial_x \langle \tilde{V}_r \tilde{V}_\theta \rangle = \langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle$  Taylor Identity

↖  
Vorticity Perturbation

- $\nabla^2 \tilde{\phi} = ( ) \partial_x \langle \nabla^2 \phi \rangle + ( ) k \nabla_y \tilde{P}$

↖  
diagonal

↖  
residual

$\nabla P$  etc. → flow energy

- $\tilde{P} \rightarrow$  Acoustic coupling -  $c_s D_M$ , slower

# Outcome

$$\partial_x \langle \tilde{V}_x \tilde{V}_y \rangle = -D_{PV} \frac{\partial}{\partial x} \langle \nabla^2 \phi \rangle + F_{res} k \partial_x \langle P \rangle$$

$$D_{PV} \approx \sum_{k,\omega} |\tilde{V}_{r;k,\omega}|^2 \left[ \frac{V_A b^2 l_{ac} k^2}{\bar{\omega}^2 + (V_A b^2 l_{ac} k^2)^2} \right]$$

$$b^2 = \frac{\langle \tilde{B}^2 \rangle}{B_0^2}$$

$l_{ac}$  = field autocorrelation

$$F_{res} \sim - \sum_{k,\omega} \frac{2k_y}{\omega} D_{PV;k,\omega}$$

• Onset:  $\Delta\omega_k \sim k_{\perp}^2 V_A D_M$

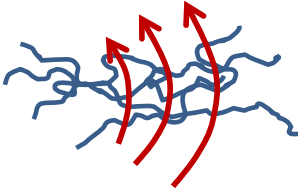
spectral linewidth

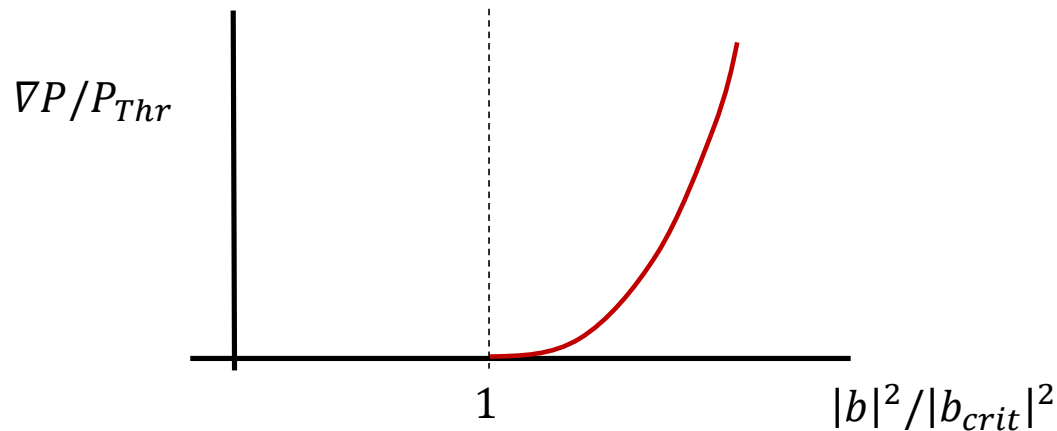


Stochastic field  
decorrelation must  
beat ambient limits  
on Reynolds stress phase

- In practice:  $Ku \sim 1$  for effect, a challenge to predictions...

# Outcome, cont'd

- Stochastic fields dephase Reynolds stress via Alfvénic radiation  $\rightarrow$  inhibit energy transfer to flow 
- Key onset condition:  $\Delta\omega$  vs  $k_{\perp}^2 V_A D_M \leftrightarrow$  'Patchiness' correction !?
- Suggests trigger at higher power:



# Related Work (Executive Summary)

- Broad Theme: Turbulence and Transport [especially momentum, PV] in Stochastic Field
  - What of intrinsic rotation?  $\rightarrow \langle \tilde{V}_r \tilde{V}_\parallel \rangle$
  - N.B. : ‘Pedestal Torque’ essential to stability in high performance discharges!
    - Parallel Flow  $\leftrightarrow$  Acoustic Dynamics
- So
- Scattering effect  $\sim c_s D_M \rightarrow$  modest
  - $v_T$  and  $F_{z,res}$  persist, with modification

# Intrinsic Rotation, cont'd

But:

- Broken Symmetry required, for  $\langle k_\theta k_\parallel \rangle \neq 0$
- $F_{res} \approx -\frac{k_z}{\omega} \nu_{Turb}$
- How does stochastic field interact with symmetry breaking?
  - $V'_E$  is leading candidate mechanism

# What of direct effects of Stochastic Field?

$$\partial_t \langle V_{\parallel} \rangle + \partial_r \langle \tilde{V}_r \tilde{V}_{\parallel} \rangle = -\frac{1}{P} \partial_r \langle b \tilde{P} \rangle$$

and like:

kinetic stress  
(W.X. Ding)

$$\partial_t \langle P \rangle + \partial_r \langle \tilde{V}_r P \rangle = -\frac{\partial}{\partial r} P_0 \langle b \tilde{V}_{\parallel} \rangle$$

- FGC '92  $\rightarrow c_s D_M \leftrightarrow$  rate:  $c_s D_M / l^2$
- But fluxes non-diffusive - surprise!

i.e. for static stochastic field

$$B \cdot \nabla V_{\parallel} = 0$$

$$B \cdot \nabla P = 0$$



$$-c_s D_M \nabla \langle P \rangle \rightarrow \pi_{res}$$

$$-c_s D_M \nabla \langle V_{\parallel} \rangle \rightarrow \text{convection}$$



# Cont'd

- But: turbulence co-exists with stochastic field!
- Time scales:  $k_{\perp}^2 D_T$  vs  $k_{\parallel} c_s$ 
  - turbulent scattering
- Resonance:  $\delta(k_{\parallel}) \rightarrow 1/[k_{\parallel}^2 c_s^2 + (1/\tau_c)^2]$ 
  - shift, contrast
  - resonance broadening
- What balances  $b_r \partial \langle P \rangle / \partial r$  ?
  - $c_s \nabla_{\parallel} \tilde{P} \rightarrow$  weak turbulence  $\rightarrow$  residual stress
    - $b$  only, as previous
  - $k_{\perp}^2 D_T \tilde{v}_{\perp} \rightarrow$  strong turbulence  $\rightarrow$  magnetic viscosity
    - $b, v_{\perp}$  interplay
    - $$v_T \approx \sum_k |b_k|^2 c_s^2 / k_{\perp}^2 D_T$$
      - ↑

# Cont'd

- Structure of flux, 'Fick's law' changes !
- Interesting new direction...
- Correlations?! (M. Cao, P.D. 2020) [Dynamics of Instability in stochastic field]
  - Are  $\tilde{b}$ , turbulence uncorrelated?
  - No  $\rightarrow$  interaction develops  $\langle b\phi \rangle$  correlation
  - ala' Kadomtsev, Pogutse, impose  $\nabla \cdot J = 0$  to all orders
  - Novel small scale convective cell,  $\tilde{b}$  structure develops.

# Conclusion

- Demands of “Good Confinement” + “Good Power Handling” define more severe demands upon our understanding of turbulence, transport physics
- L→H Transition in stochastic magnetic field one such  $Ku \sim 1$
- “Turbulence in Stochastic Field” re-appears as an interesting theoretical problem
- A.A. Galeev’s contributions will be of importance on the ITER era

This research was supported by the U.S. Department of Energy, Office of Science, Office of Fusion Energy Sciences, under Award Number DE-FG02-04ER54738.