

Neutrals and Drift–Rossby–Alfvén turbulence: Drag, Entrainment, and Ambipolar Diffusion

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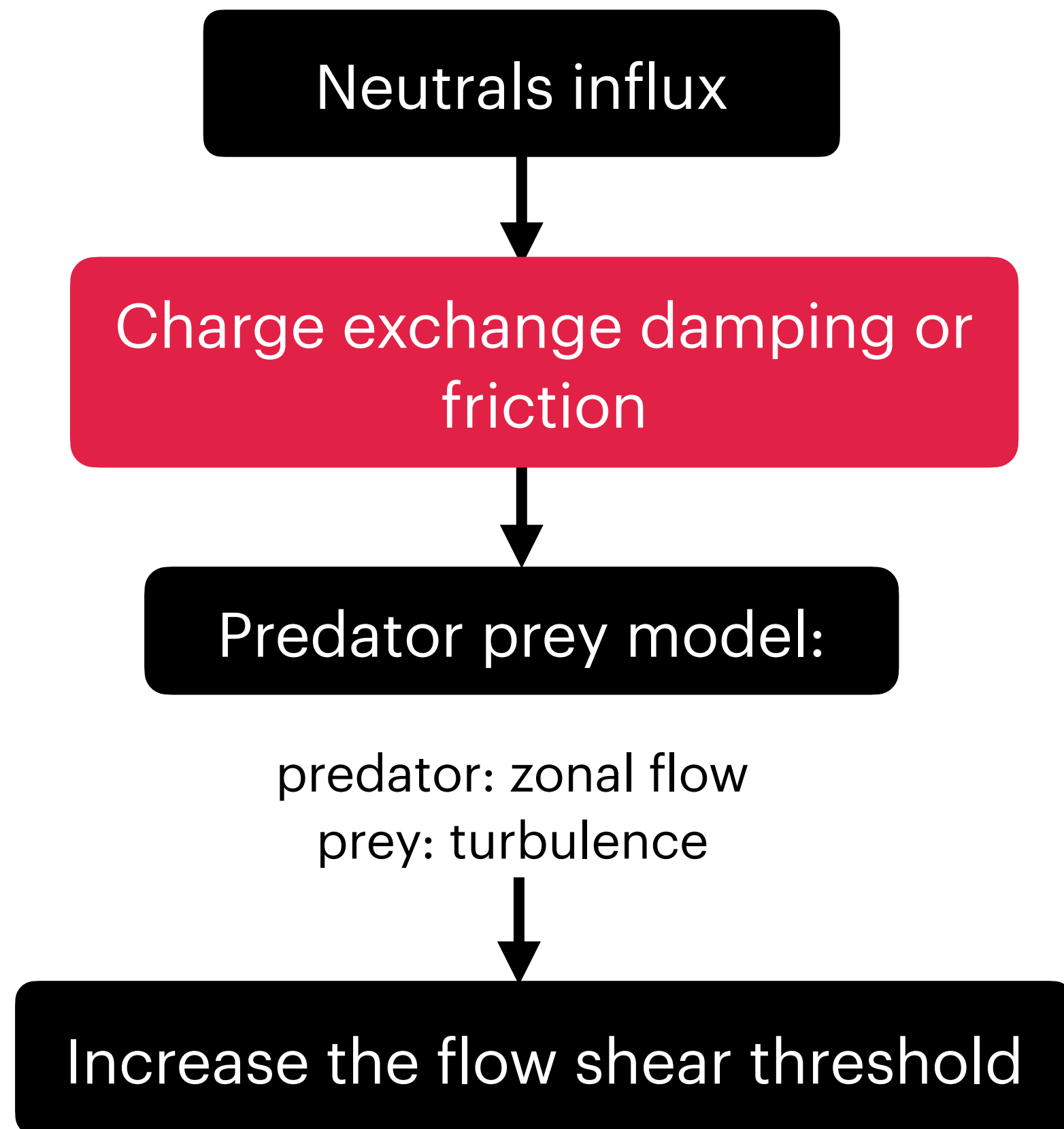
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Outline

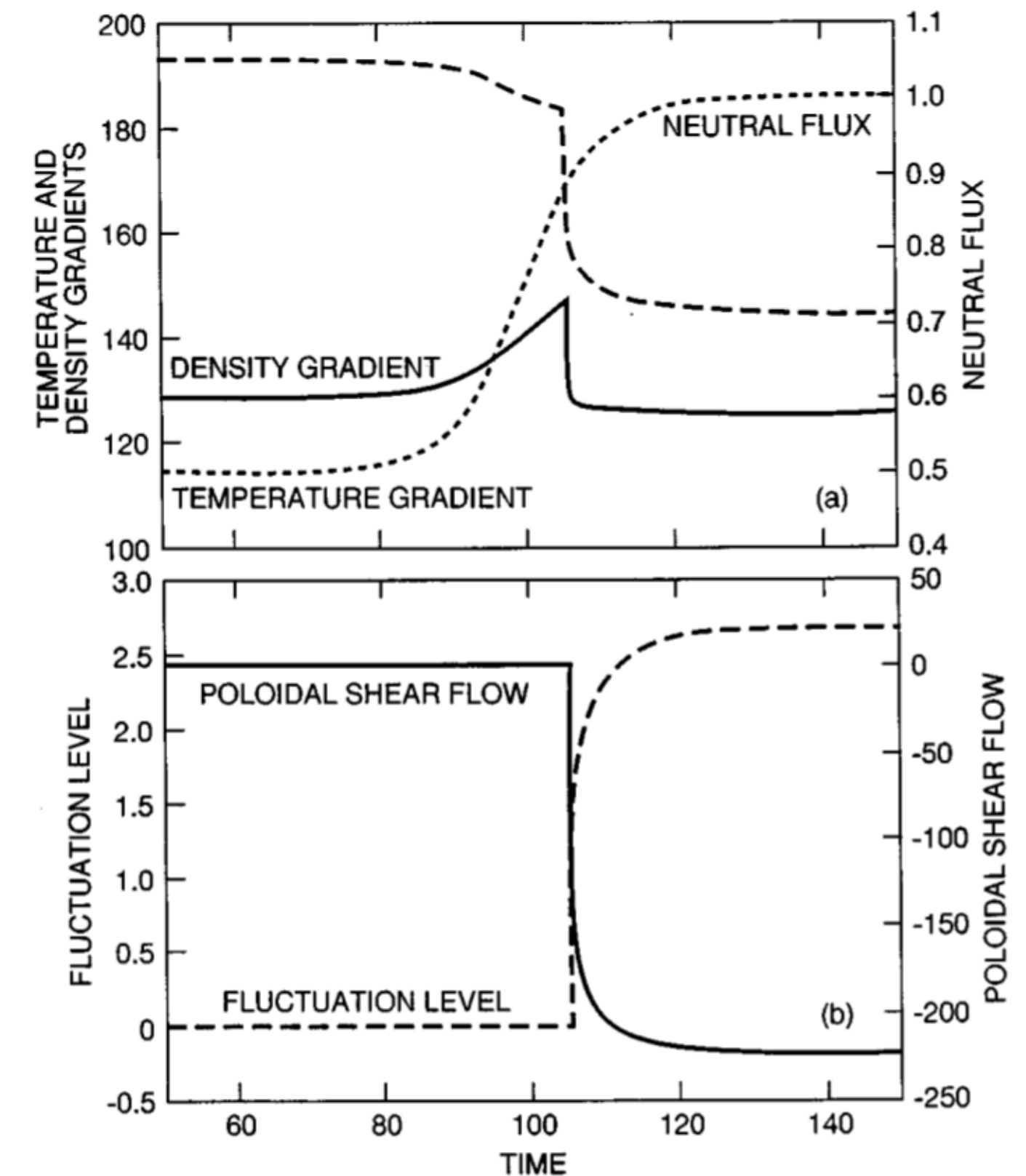
- Introduction
 1. Why neutrals? Zonal flow damping.
 2. Non-trivial Problems: Ambipolar effect in electromagnetism.
- Results
 1. Drift-Rossby wave and zonal flow with neutrals
 2. Neutrals and ambipolar diffusion.
 3. Critical parameter for the Ambipolar effect on zonal flow → Regimes of zonal flow.
- Conclusions

Why we study neutrals in fusion devices?



Conventional wisdom:

1. Neutrals damp the poloidal (zonal) flow, increase fluctuation level.
2. Increase effective fluctuation energy.



(Carreras et al., PoP **3**, 4106 (1996))

The neutral density is important in studies of L-H transition power threshold in fusion device.

- Non-trivial:
1. E-M effect → Ambipolar diffusion (classic in Astrophys). ✓
 2. Entrainment of neutral particles.

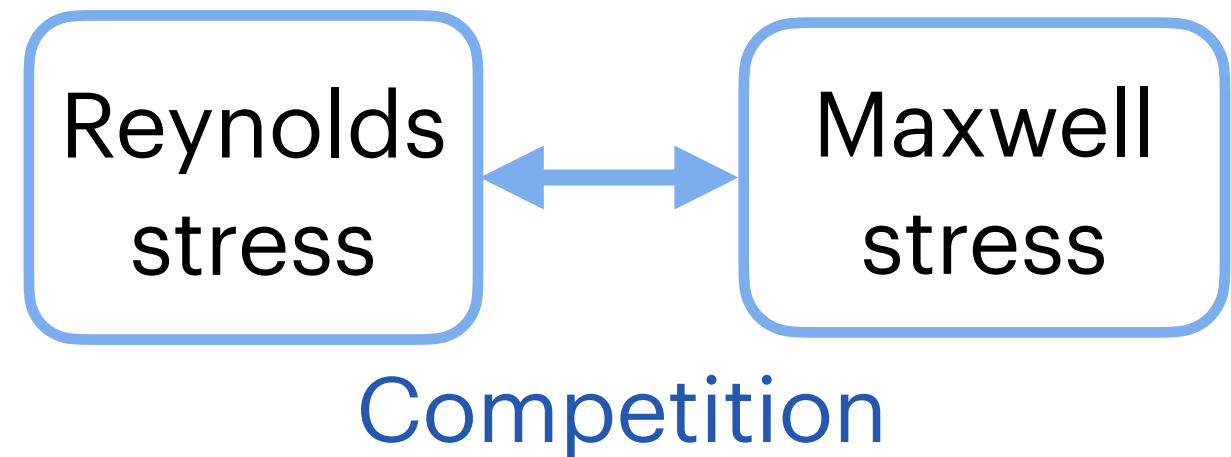
Drift-Rossby Waves and Zonal Flow

(Simplest possible model)

- Evolution of zonal flow is from the competition btw the Reynolds and Maxwell Stress:

Zonal flow evolution:

$$\frac{\partial}{\partial t} \langle u_y \rangle = - \frac{\partial}{\partial x} \left(\langle \tilde{u}_x \tilde{u}_y \rangle - \frac{\langle \tilde{B}_x \tilde{B}_y \rangle}{\mu_0 \rho} \right)$$



Induction equation:

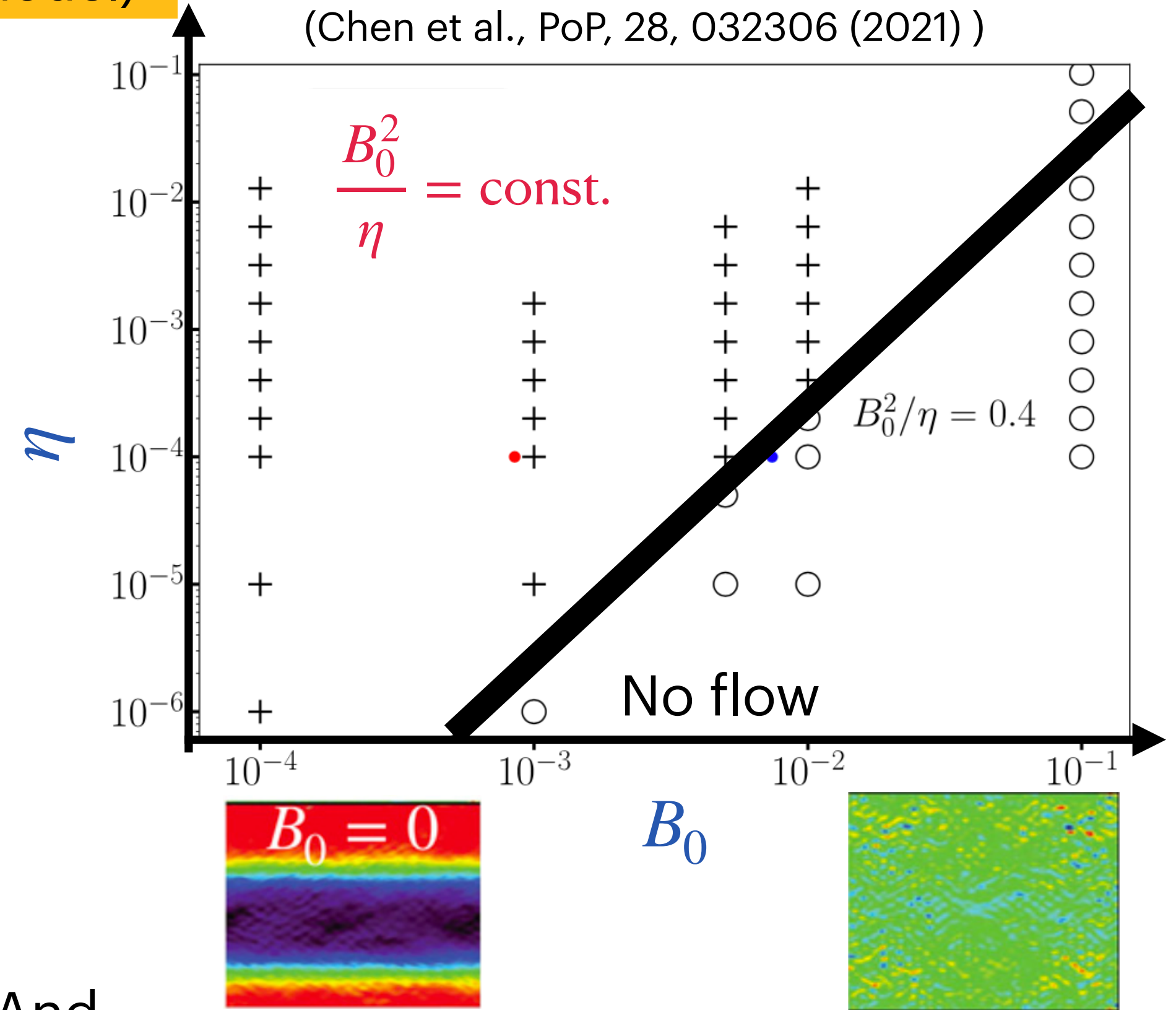
$$\frac{\partial \tilde{\mathbf{B}}}{\partial t} = \nabla \times (\tilde{\mathbf{u}}_i \times \mathbf{B}) + \eta \nabla^2 \tilde{\mathbf{B}}$$

η : increase the zonal flow

B_0 : suppress the zonal flow

$\rightarrow \frac{B_0^2}{\eta}$: regulates Mag. And Reynolds stress competition

(Chen et al., PoP, 28, 032306 (2021))



\rightarrow Zel'dovich Theorem

What if we add neutrals? Neutrals will enter this competition and have a **production** on zonal flow.

Multi-Fluid Model

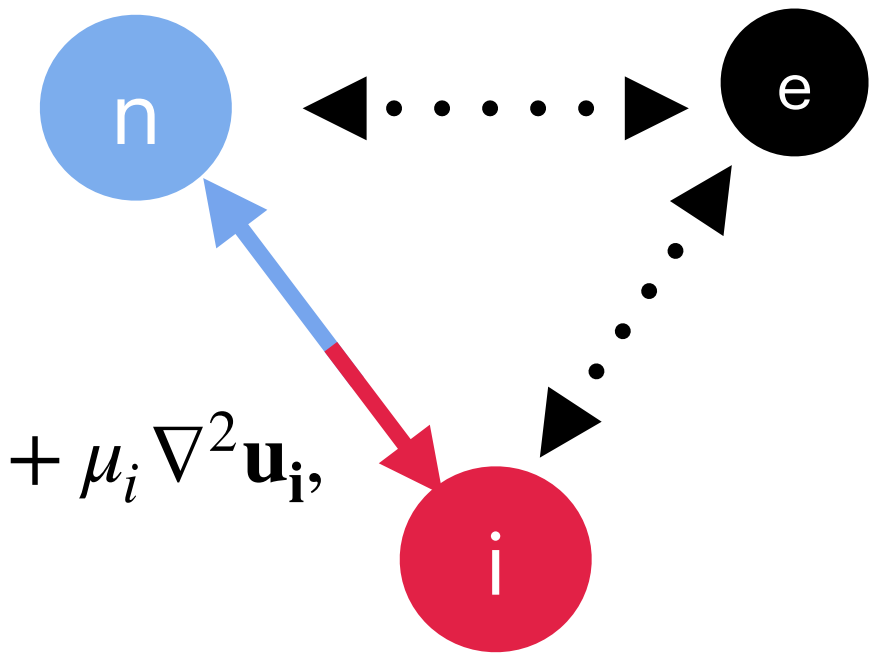
- Equation of motion of three species on **β -plane** (rel. to planetary system):

$$\text{n} \quad \rho_n \left(\frac{\partial}{\partial t} + \mathbf{u}_n \cdot \nabla \right) \mathbf{u}_n = - \nabla p_n^* - \underbrace{\rho_n \nu_{ni} (\mathbf{u}_n - \mathbf{u}_i)}_{\text{i-n drag}} - \underbrace{\rho_n \nu_{ne} (\mathbf{u}_n - \mathbf{u}_e)}_{\text{e-n drag}} - \underbrace{2\rho_n \boldsymbol{\Omega} \times \mathbf{u}_n}_{\text{Coriolis force}} + \mu_n \nabla^2 \mathbf{u}_n$$

$$\text{e} \quad 0 = \rho_e \left(\frac{\partial}{\partial t} + \mathbf{u}_e \cdot \nabla \right) \mathbf{u}_e = - \nabla p_e^* - \underbrace{\rho_e \nu_{ei} (\mathbf{u}_e - \mathbf{u}_i)}_{\text{i-e drag}} - \underbrace{\rho_e \nu_{en} (\mathbf{u}_e - \mathbf{u}_n)}_{\text{n-e drag}} - ne(\mathbf{E} + \mathbf{u}_e \times \mathbf{B}) + \mu_e \nabla^2 \mathbf{u}_e$$

$$\text{i} \quad \rho_i \left(\frac{\partial}{\partial t} + \mathbf{u}_i \cdot \nabla \right) \mathbf{u}_i = - \nabla p_i^* - \underbrace{\rho_i \nu_{in} (\mathbf{u}_i - \mathbf{u}_n)}_{\text{n-i drag}} - \underbrace{\rho_i \nu_{ie} (\mathbf{u}_i - \mathbf{u}_e)}_{\text{n-e drag}} + ne(\mathbf{E} + \mathbf{u}_i \times \mathbf{B}) - \underbrace{2\rho_i \boldsymbol{\Omega} \times \mathbf{u}_i}_{\text{Coriolis force}} + \mu_i \nabla^2 \mathbf{u}_i,$$

Ignore coupling btw e-i and e-n $m_e \nu_{en} \ll m_i \nu_{in}$



Strength of coupling

$$\rho_i \nu_{in} = \rho_n \nu_{ni} \equiv \alpha \rho_i \rho_n$$

- Ion and neutral field equation:

$$\rho_i \left(\frac{\partial}{\partial t} + \mathbf{u}_i \cdot \nabla \right) \mathbf{u}_i = - \nabla p_i^* + \frac{1}{\mu_0} (\mathbf{B} \cdot \nabla) \mathbf{B} + \rho_i \nu_{in} (\mathbf{u}_n - \mathbf{u}_i) - 2\rho_i \boldsymbol{\Omega} \times \mathbf{u}_i + \mu_i \nabla^2 \mathbf{u}_i$$

$$\rho_n \left(\frac{\partial}{\partial t} + \mathbf{u}_n \cdot \nabla \right) \mathbf{u}_n = - \nabla p_n^* + \rho_n \nu_{ni} (\mathbf{u}_i - \mathbf{u}_n) - 2\rho_n \boldsymbol{\Omega} \times \mathbf{u}_n + \mu_n \nabla^2 \mathbf{u}_n$$

In high coupling regime, ion has force balance:

$$\mathbf{J} \times \mathbf{B} + \text{drag} = 0$$

So the neutrals will also feel the $\mathbf{J} \times \mathbf{B}$ force.

Electromagnetism: Ambipolar Diffusion

JxB force acts on ions

Ambipolar Diffusion

Magnetic diffusion: $\eta \rightarrow \eta + \eta_{am}$

Damps the pert. magnetic field \tilde{B}

The Maxwell Stress decreases

Increase zonal flow and damps drift-wave turbulence

Key question:

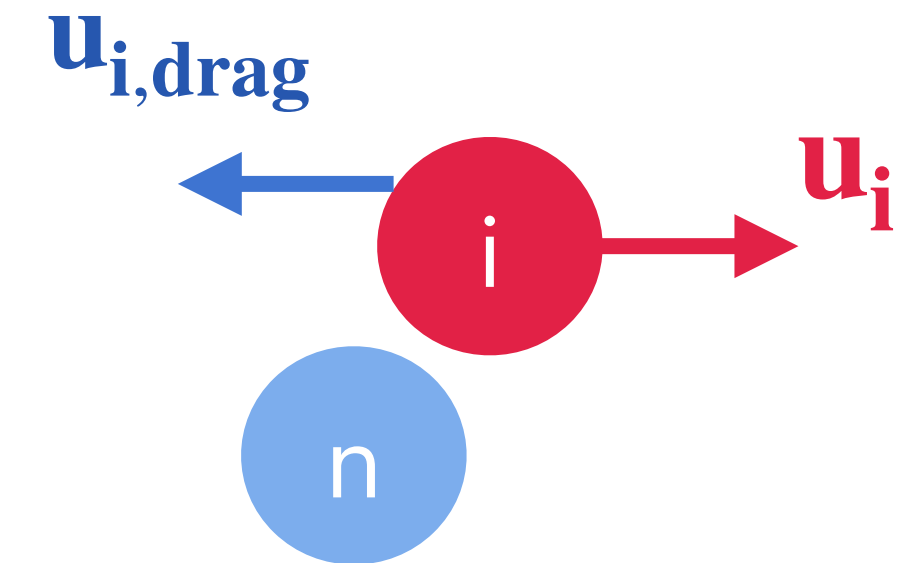
How does the **ambipolar diffusion** (non-linear B-diffusivity from neutral effect) affect the zonal flow? What's the effect on magnetic perturbation \tilde{B} .

Ohm's Law:

$$\mathbf{E} = \eta \mathbf{J} - \mathbf{u}_i \times \mathbf{B} - \frac{m_e v_{en}}{e} (\mathbf{u}_i - \mathbf{u}_n),$$

JxB due to the ion-neutral coupling:

$$\mathbf{u}_{i,drag} = \mathbf{u}_i - \mathbf{u}_n = \frac{\mathbf{J} \times \mathbf{B}}{\alpha \rho_i \rho_n}$$



B field frozen into the neutrals, but now the magnetic diffusion is **ambipolar diffusion** η_{am} .

Linear Modes of Coupled System

- In the linear theory, we obtain scalar equations:

$$\begin{array}{l}
 \text{Vorticity equation:} \\
 \text{Induction equation:}
 \end{array}
 \left\{ \begin{array}{l}
 \rho_i \left(\frac{\partial}{\partial t} + \langle \mathbf{u}_i \rangle \cdot \nabla \right) \tilde{\zeta}_i = -\rho_i \tilde{u}_{i,y} \frac{\partial}{\partial y} \langle \zeta_i \rangle + B_{0,x} \frac{\partial \tilde{J}_z}{\partial x} + \tilde{B}_y \frac{\partial \langle J_z \rangle}{\partial y} + \alpha \rho_i \rho_n (\tilde{\zeta}_n - \tilde{\zeta}_i) + \mu_i \nabla^2 \tilde{\zeta}_i. \\
 \rho_n \left(\frac{\partial}{\partial t} + \langle \mathbf{u}_n \rangle \cdot \nabla \right) \tilde{\zeta}_n = -\rho_n \tilde{u}_{n,y} \frac{\partial}{\partial y} \langle \zeta_n \rangle + \alpha \rho_i \rho_n (\tilde{\zeta}_i - \tilde{\zeta}_n) + \mu_n \nabla^2 \tilde{\zeta}_n \\
 \tilde{A}_z = \frac{-\tilde{\zeta}_n}{k^2} \frac{B_0 k_x}{\omega + i\eta k^2 + i \frac{B_0^2}{\mu_0 \alpha \rho_i \rho_n} k^2}
 \end{array} \right.$$

Coupling effect

 $\frac{B_0^2}{\mu_0 \alpha \rho_i \rho_n}$

$$\eta_{eff,k} \equiv \eta + \frac{B_0^2}{\mu_0 \alpha \rho_i \rho_n}$$

$$\tilde{\zeta}_{n,k} = \frac{D_n}{D} = \frac{S_1 \rho_i (-i\omega_i + \nu_i k^2) + \alpha \rho_i \rho_n (S_1 + S_2)}{\rho_i \rho_n (-i\omega_i + \nu_i k^2) (-i\omega_n + \nu_n k^2) + \alpha \rho_i \rho_n (\rho_i (-i\omega_i + \nu_i k^2) + \rho_n (-i\omega_n + \nu_n k^2))} + \frac{i\alpha \rho_i \rho_n}{\mu_0} \frac{B_0^2 k_x^2}{\omega + i\eta_{eff} k^2}$$

- We obtain a critical dimensionless parameter:

$$\gamma = \frac{(\alpha \rho_i) \omega_{eff}^2}{\omega + i\eta_{eff} k^2} \cdot \tau_{eddy} \cdot \frac{1}{\omega_{ci}} \quad \longrightarrow \quad \text{Important Time scales}$$

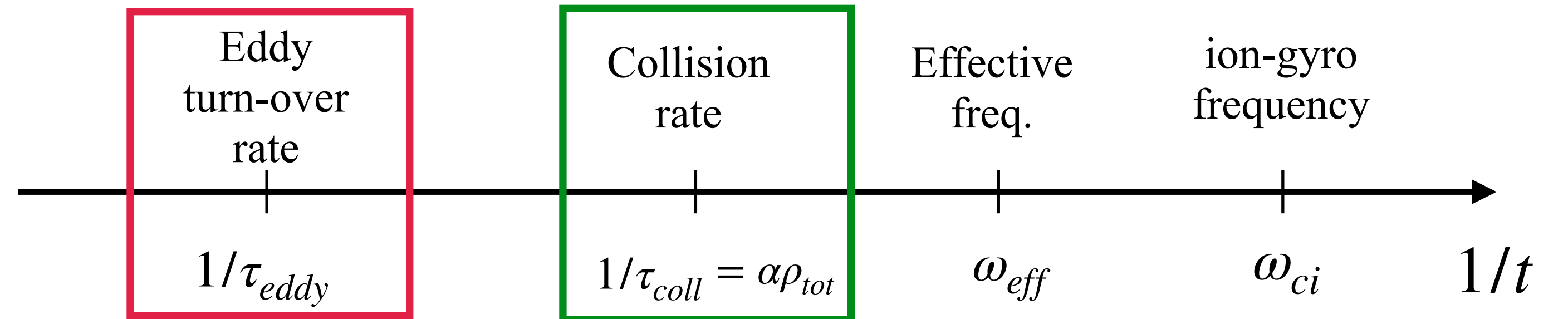
$$\omega_{eff}^2 \equiv \frac{B_0^2 k_x^2}{\omega + i\eta_{eff} k^2}$$

$$\begin{cases} \gamma \ll 1 & \text{weak coupling regime} \\ \gamma \gg 1 & \text{strong coupling regime} \end{cases}$$

Important Time Scales

- We consider rates:

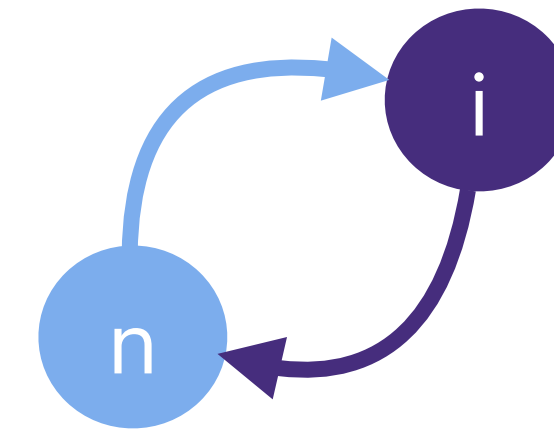
$$\gamma = \frac{(\alpha \rho_i) \omega_{eff}^2}{\omega + i \eta_{eff} k^2} \cdot \tau_{eddy} \cdot \frac{1}{\omega_{ci}}$$



- A simplified coupling parameter:

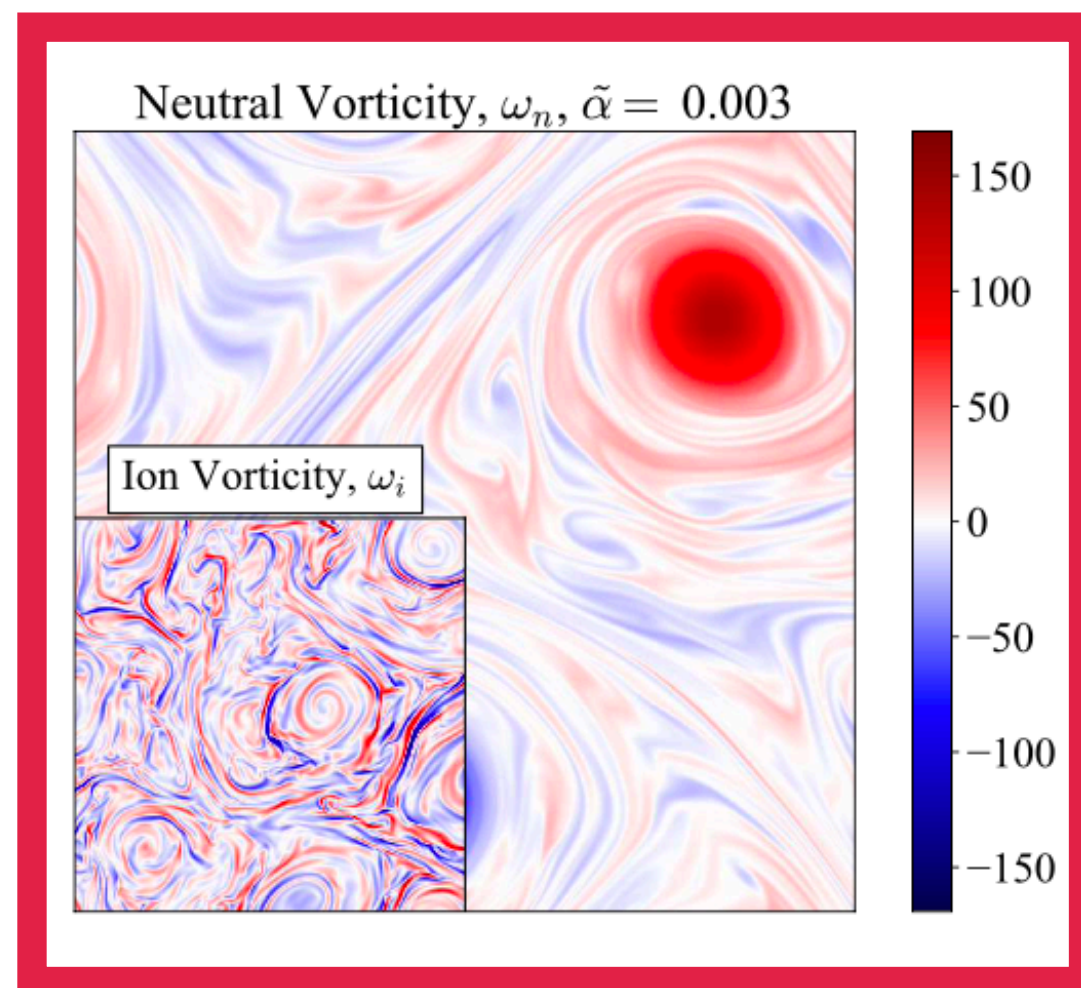
$$\tilde{\alpha} \equiv \frac{\tau_{eddy}}{\tau_{coll}} = \frac{l_{\perp} \rho_{tot} \alpha}{\tilde{u}}$$

Strength of coupling

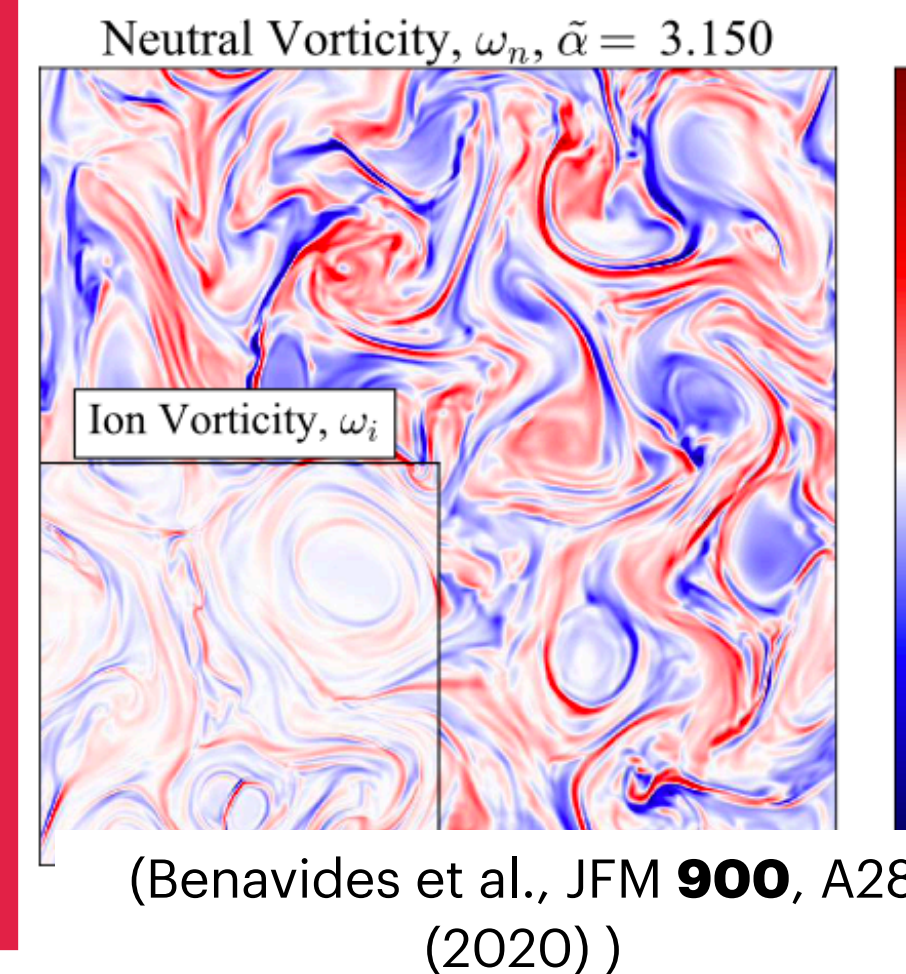


- Dynamics of neutrals and ions:

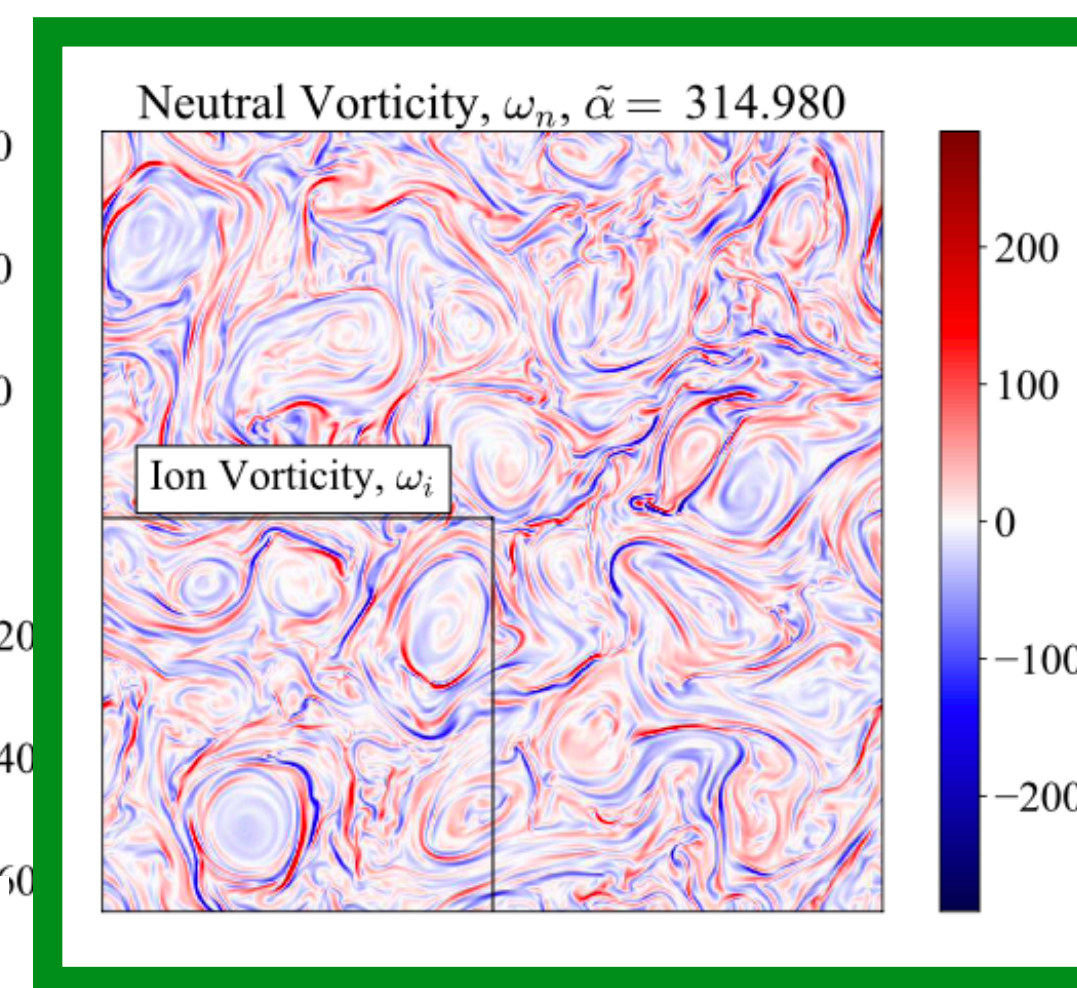
Weak coupling:
Neutrals and ions
not well-coupled
→ Two fluid.



small α



large α



Strong coupling:
Neutrals couple to
ions and behaves
as **one MHD fluid**.

Deriving Mag. Potential Equation

- The induction equation, and consider **strong coupling regime**: $\alpha\rho_i \gg 1/\tau_{eddy}$:

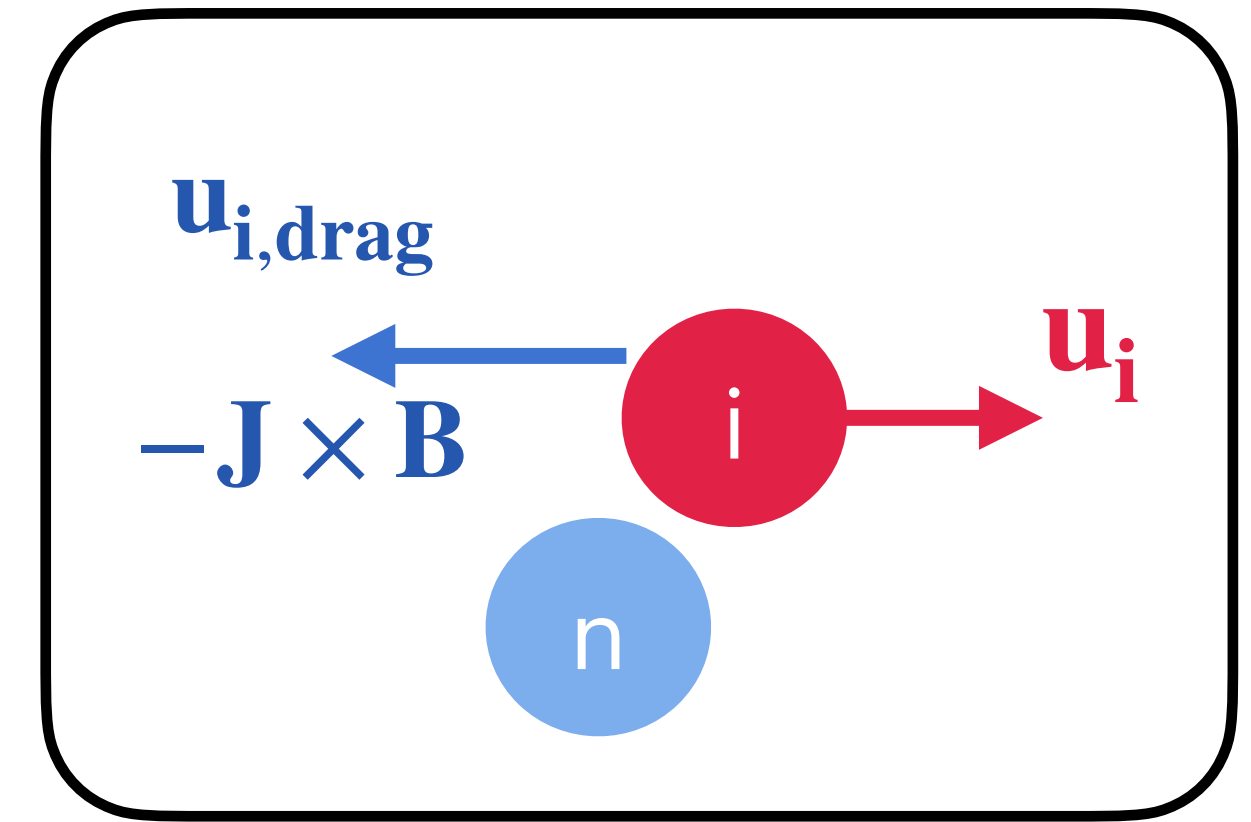
JxB-drag balance on ion: $-\mathbf{J} \times \mathbf{B} = \mathbf{f}_{d,i}$

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u}_i \times \mathbf{B}) + \eta \nabla^2 \mathbf{B}.$$

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u}_n \times \mathbf{B}) + \nabla \times \left(\frac{\mathbf{J} \times \mathbf{B}}{\alpha\rho_i\rho_n} \times \mathbf{B} \right) + \eta \nabla^2 \mathbf{B},$$

$$\mathbf{u}_i = \mathbf{u}_n - \frac{2\mathbf{u}_{i,drag}}{1 + \rho_i/\rho_n}$$

$$\mathbf{u}_i = \mathbf{u}_n + \frac{\mathbf{J} \times \mathbf{B}}{\alpha\rho_i\rho_n}$$



- The **magnetic potential** equation becomes

$$\eta \rightarrow \eta + \underline{\underline{\eta_{am}}} \quad \frac{\partial A_z}{\partial t} + (\mathbf{u}_n \cdot \nabla) A_z = \frac{1}{\mu_0 \alpha \rho_i \rho_n} \nabla \cdot \left[\underline{\underline{\eta_{am}}} \cdot \underline{\nabla} A_z \right] + \eta \nabla^2 A_z + C$$

Resistivity correction due to the ion-neutral drag

$$= \frac{1}{\mu_0 \alpha \rho_i \rho_n} \begin{pmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} \end{pmatrix} \begin{pmatrix} \mathcal{F} & \mathcal{G} \\ \mathcal{H} & \mathcal{J} \end{pmatrix} \begin{pmatrix} \frac{\partial}{\partial x} \\ \frac{\partial}{\partial y} \end{pmatrix} A_z + \eta \nabla^2 A_z + C$$

Ambipolar diffusion tensor for A :

$$\underline{\underline{\eta_{am}}} = \begin{pmatrix} \mathcal{F} & \mathcal{G} \\ \mathcal{H} & \mathcal{J} \end{pmatrix} = \begin{pmatrix} \frac{1}{2}(B_y^2 - B_x^2) & -B_x B_y \\ -B_x B_y & \frac{1}{2}(B_x^2 - B_y^2) \end{pmatrix}$$

Additional terms:

$$C = \frac{1}{\mu_0 \alpha \rho_i \rho_n} \left[\frac{-B^2}{2} \nabla^2 A_z + B_x^2 \frac{\partial^2}{\partial x^2} A_z + B_y^2 \frac{\partial^2}{\partial y^2} A_z + 2B_x B_y \frac{\partial^2}{\partial x \partial y} A_z \right]$$

Results—Two-Fluid Rossby–Alfvén Wave

By solving the linear equations for ions, neutrals, and magnetic potential, we have

- Strong coupling regime $\gamma \gg 1$ ($1/\tau_{eddy} \ll \alpha\rho_i \ll \omega_{eff} \ll \omega_{ci}$):

$$(\omega - \omega_R + i\nu k^2)(\omega + i\eta_{eff}k^2) = \frac{\rho_i}{\rho_{tot}} \frac{B_0^2 k_x^2}{\omega + i\eta_{eff}k^2} \quad \eta_{eff,k} \equiv \eta + \frac{B_0^2}{\mu_0 \alpha \rho_i \rho_n}$$

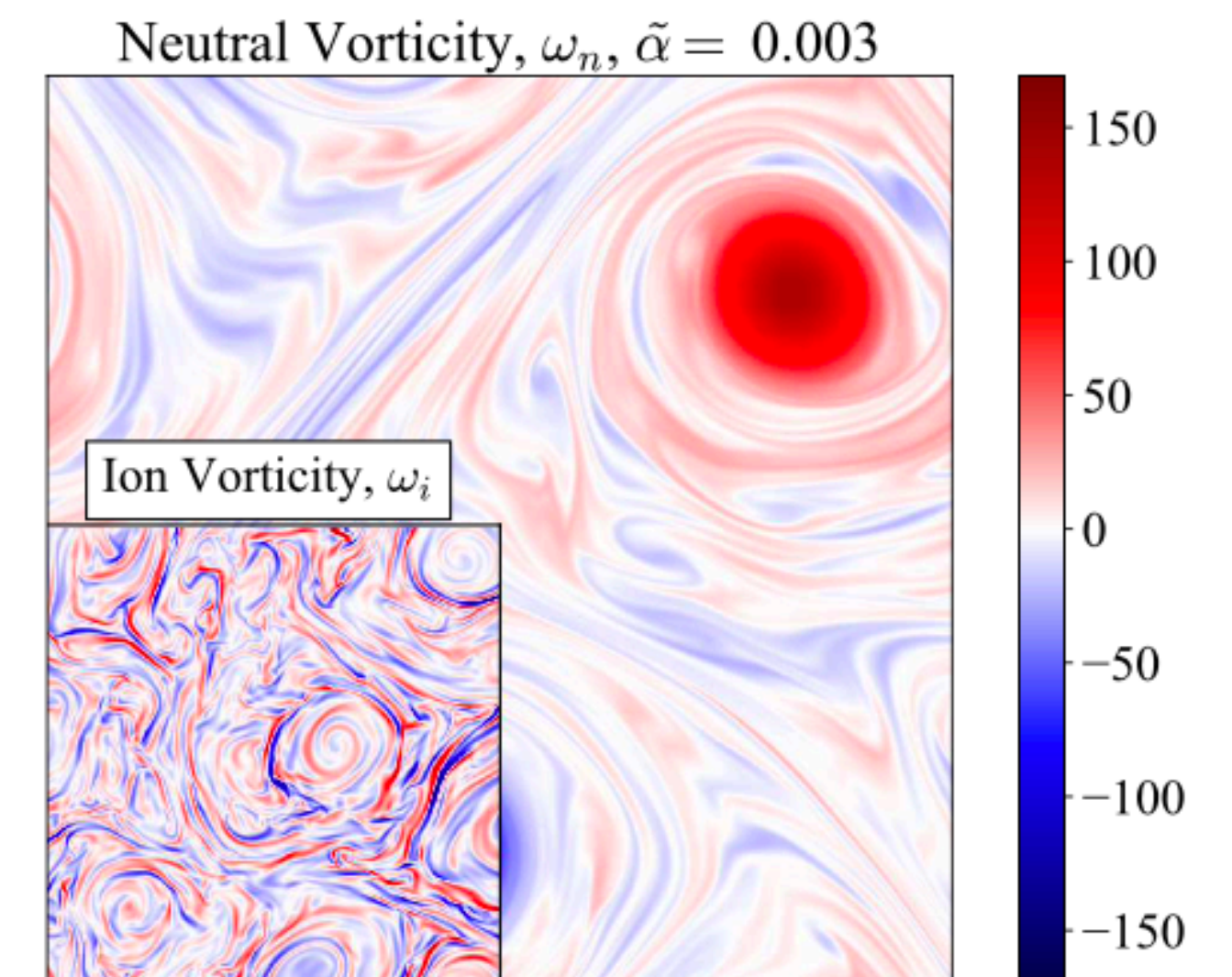
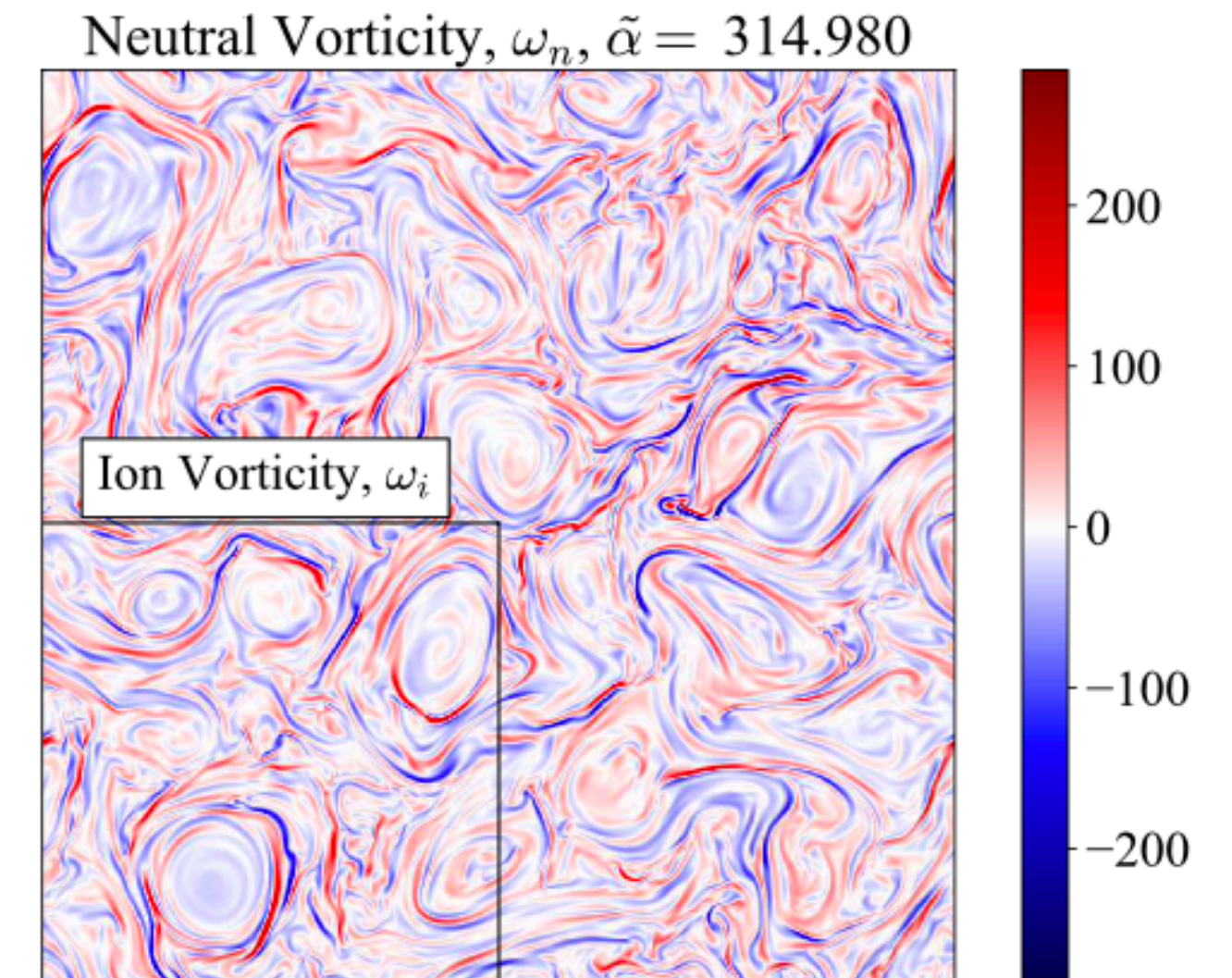
In strong collision regime, the ions and neutrals are strongly coupled and behave like **single MHD fluid**.

But contains **ion-neutral coupling effect**.

- Weak coupling regime $\gamma \ll 1$ ($\alpha\rho_i \ll 1/\tau_{eddy} \ll \omega_{eff} \ll \omega_{ci}$):

$$(\omega_i - \omega_R + i\nu k^2 + i\alpha\rho_n)(\omega_n - \omega_R + i\nu k^2 + i\alpha\rho_i) = -\alpha^2 \rho_i \rho_n$$

Ions and neutrals evolve separately but have a weak mutual drag on each other.



(Benavides et al., JFM **900**, A28 (2020))

How to Determine Maxwell Stress?

- Zeldovich Theorem:

Magnetic diffusion η damps the pert. magnetic field \widetilde{B}

$$Rm \simeq \frac{\langle \widetilde{B}^2 \rangle}{B_0^2} = \frac{\langle \widetilde{u}^2 \rangle \tau_c}{\eta}$$

$$\langle \widetilde{B}^2 \rangle = \langle \widetilde{u}^2 \rangle \tau_c \frac{B_0^2}{\eta} \propto \frac{B_0^2}{\eta}$$

enhance
suppress

B_0^2/η is a regulator in the competition btw Reynolds and Maxwell stress competition.

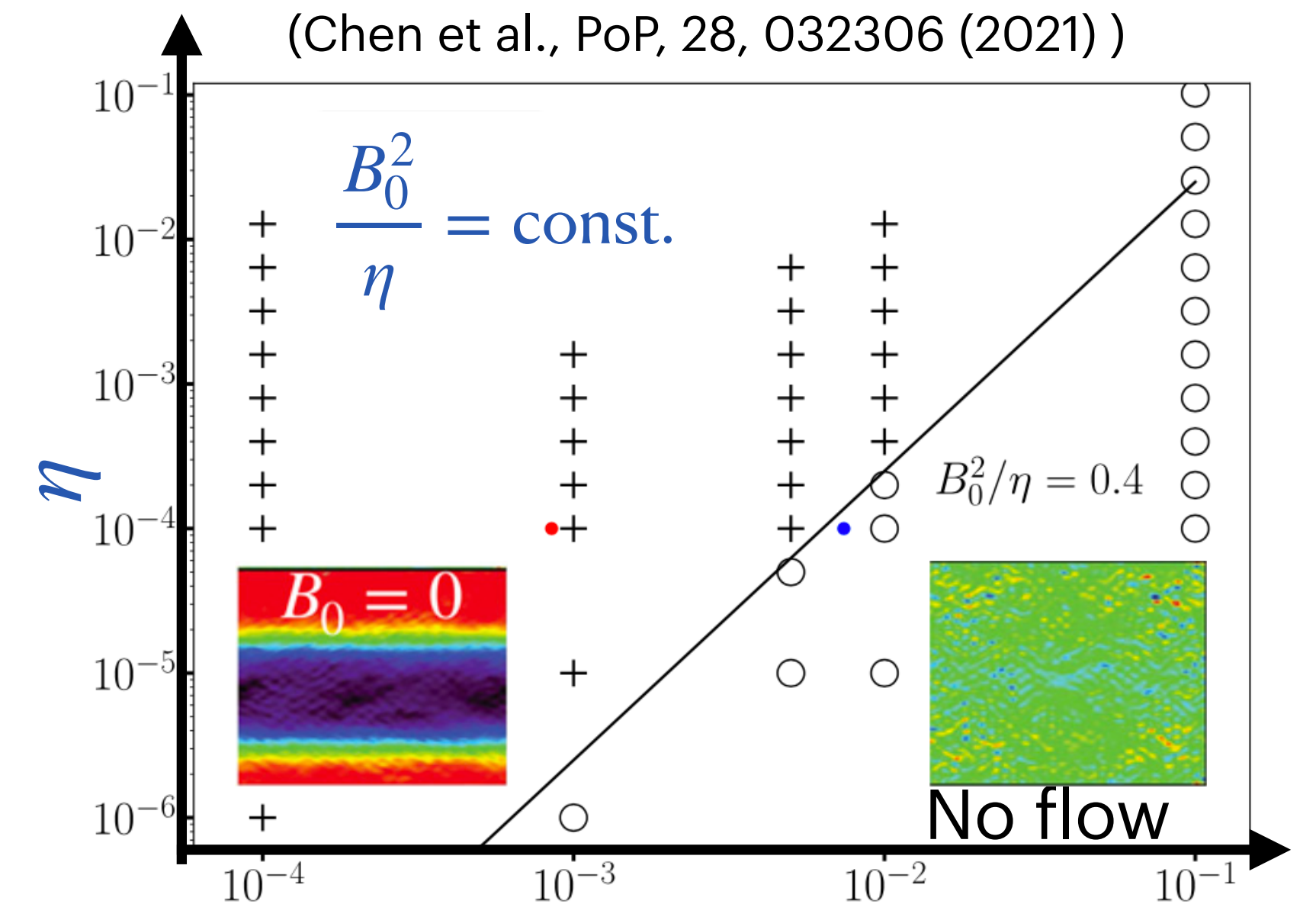
Evolution of zonal flow

$$\frac{\partial \langle u_y \rangle}{\partial t} = - \frac{\partial}{\partial x} \left(\langle \widetilde{u}_x \widetilde{u}_y \rangle - \frac{\langle \widetilde{B}_x \widetilde{B}_y \rangle}{\mu_0 \rho} \right)$$

Reynolds and Maxwell B_0 Stress competition

Mean diffusivity η damps while B_0^2 increase the Maxwell stress $\langle \widetilde{B}_x \widetilde{B}_y \rangle$. And hence B_0^2/η regulates the growth of zonal flow.

What is the effect of neutrals on the Zel'dovich Theorem?



Modified Zel'dovich Theorem

- Without neutrals, magnetic stress is proportional to B_0^2/η :

$$\langle \widetilde{B}^2 \rangle = \langle \widetilde{u}^2 \rangle \tau_c \frac{B_0^2}{\eta} \propto \frac{B_0^2}{\eta}$$

- Now, consider the neutral-ion drag effect:

$$\eta \rightarrow \eta + \underline{\eta_{am}} \quad Rm \rightarrow Rm + Rm_{am}$$

$$Rm_{tot} = Rm + Rm_{am} = \frac{\langle \widetilde{B}^2 \rangle}{B_0^2} + \frac{\langle \widetilde{B}^2 \rangle \langle \widetilde{B}^2 \rangle}{\eta \mu_0 \alpha \rho_i \rho_n B_0^2}$$

Assumption:
 $\langle \widetilde{B}^4 \rangle \simeq \langle \widetilde{B}^2 \rangle \langle \widetilde{B}^2 \rangle$

$$\eta \nabla^2 \mathbf{B} \quad \text{v.s.} \quad \nabla \times \left(\frac{\mathbf{J} \times \mathbf{B}}{\alpha \rho_i \rho_n} \times \mathbf{B} \right)$$

Change in Scaling

	Maxwell Stress Intensity
No Neutrals	$\langle \widetilde{B}^2 \rangle \propto B_0^2 / \eta$
Ambipolar diffusion effect	$\langle \widetilde{B}^2 \rangle \propto B_0 / A^{1/2}$

...alters the competition

- In the limit where ambipolar diffusion dominated ($Rm \ll Rm_{am}$) we have:

$$Rm_{tot} \simeq Rm_{am} = \frac{\langle \widetilde{B}^2 \rangle \langle \widetilde{B}^2 \rangle}{\eta \mu_0 \alpha \rho_i \rho_n B_0^2} = \frac{\langle \widetilde{u}^2 \rangle \tau_c}{\eta}$$

Maxwell Stress intensity:

$$\langle \widetilde{B}^2 \rangle = \left(\langle \widetilde{u}^2 \rangle \tau_c \mu_0 \rho_i \frac{B_0^2}{A} \right)^{1/2} \propto \frac{B_0}{A^{1/2}}$$

$$A \equiv \frac{1}{\alpha \rho_n} = \frac{1}{\nu_{in}}$$

The magnetic stress effect on zonal flow generation with neutrals is less sensitive to B_0 .

Conclusions

- We study Non-trivial neutral effect on DW-ZF turbulence: Ambipolar diffusion

- We derive the **key parameter γ** for the Drift-Alfvén + Neutral effect:

$$\gamma = \frac{(\alpha\rho_i)\omega_{eff}^2}{\omega + i\eta_{eff}k^2} \cdot \tau_{eddy} \cdot \frac{1}{\omega_{ci}}$$

- We study the Drift-Alfvén wave with neutrals and found—

In strong coupling regime: **one MHD fluid.**

In weak coupling regime: **two fluid.**

- **Modified Zel'dovich Theorem.** We derive the key parameter that **regulates** Maxwell and Reynolds stress competition:

$$\langle \widetilde{B}^2 \rangle \propto B_0^2/\eta \text{ (original)}$$

$$\langle \widetilde{B}^2 \rangle \propto B_0/A^{1/2} \text{ (ambipolar effect)}$$

Future Works

- Calculate \widetilde{B} evolution for arbitrary $\gamma \rightarrow$ Pouquet + neutrals (clarify asymptotic regime).
- Study the physics of neutral entrainment.