

Power Scaling of The L mode Density Limit: Physics and Predictions

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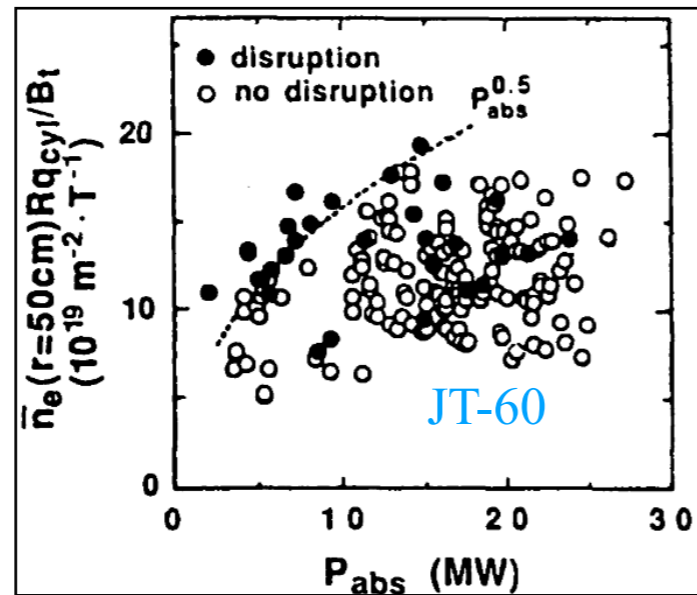
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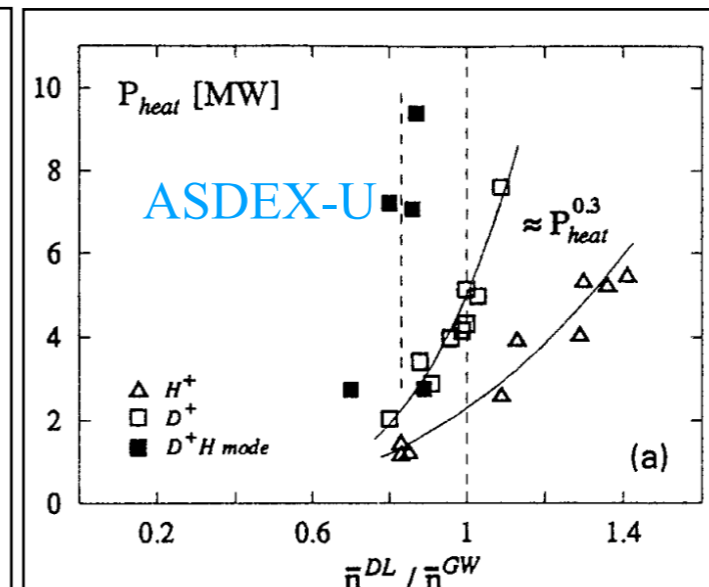
What's the issue?

- Discharge terminates when line integrated density exceeds a critical value called Greenwald density $\bar{n}_g = I_p / \pi a^2$.
- Greenwald density limit scaling is Power (Q) Independent !(?) . [Greenwald PPCF 2002]
- Contradictions: Q dependence observed in many experiments !

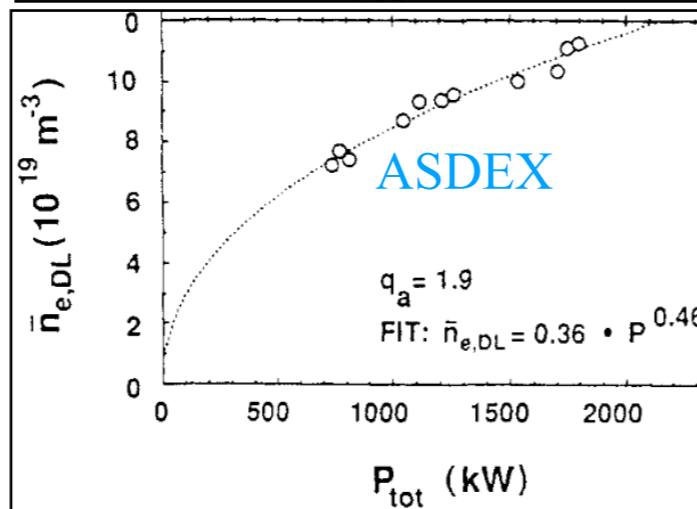
Kamada *et al* NF 1991



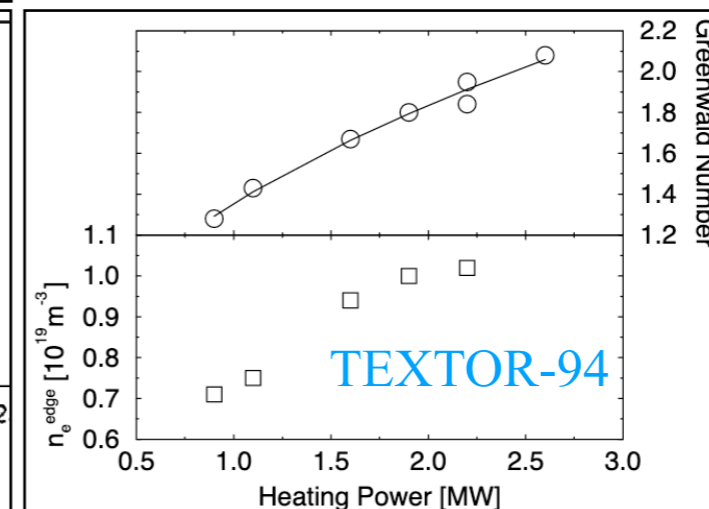
Mertens *et al* NF 1997



Stabler *et al* NF 1992



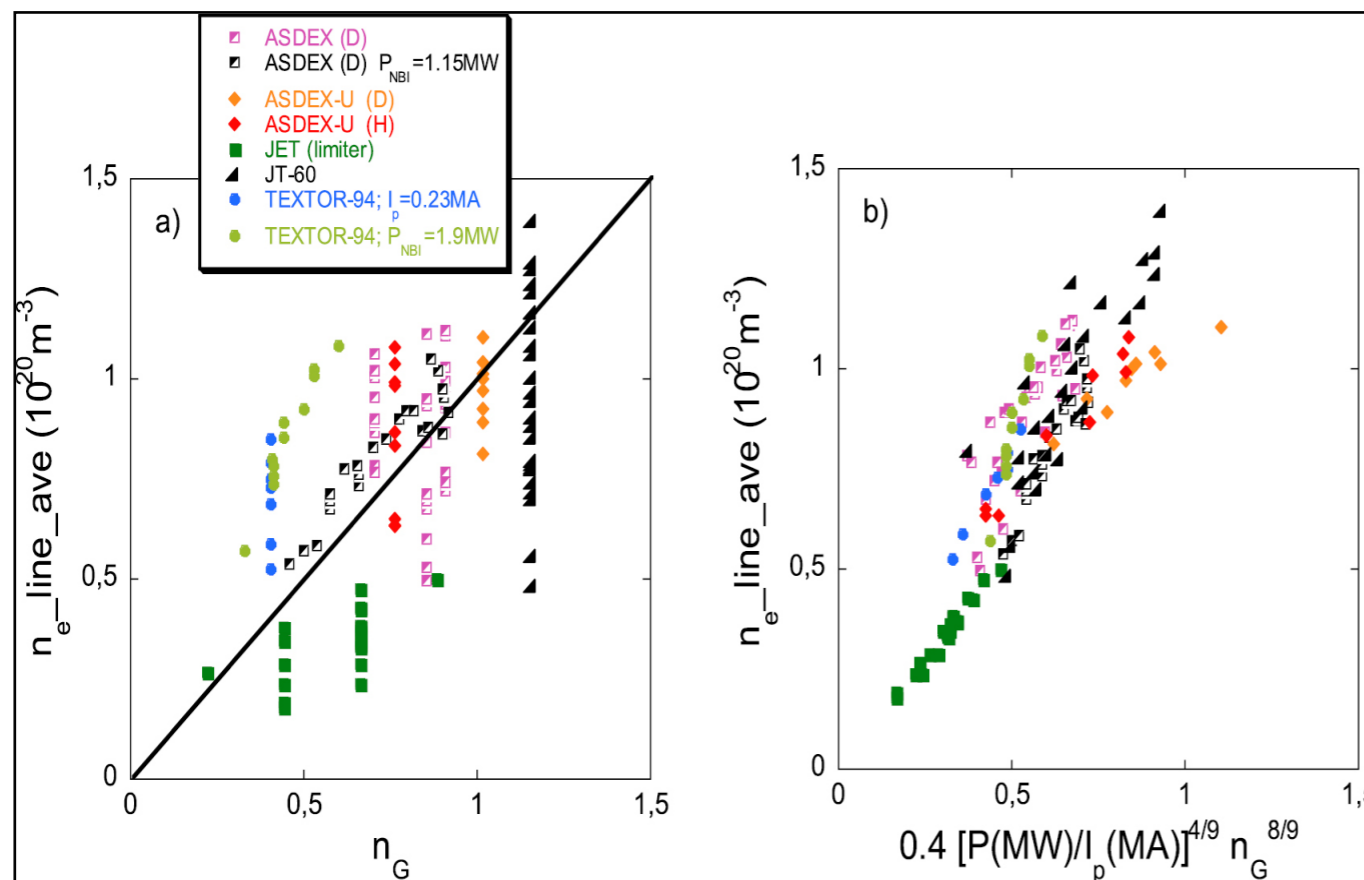
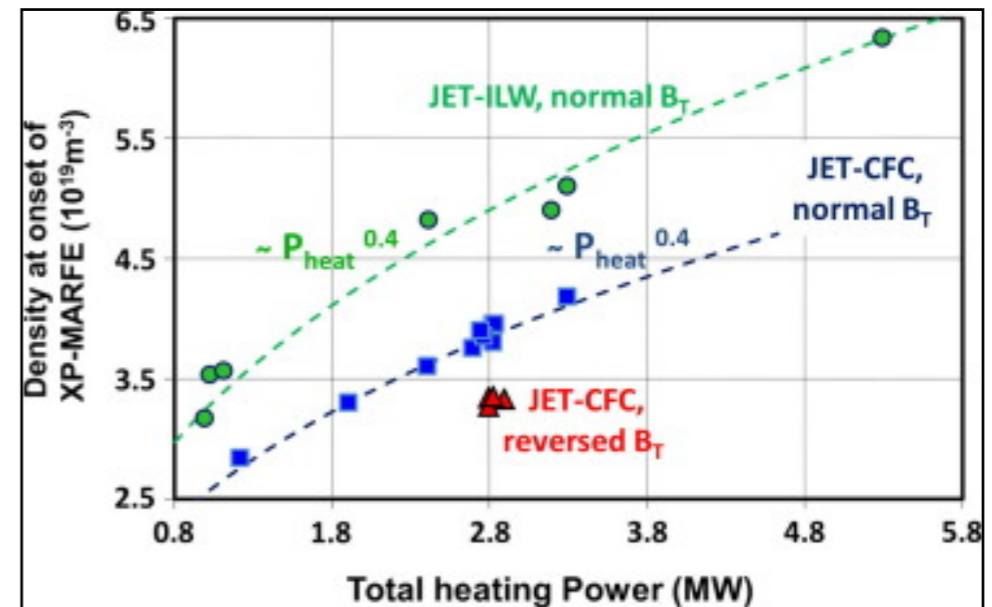
Rapp *et al* NF 1999



Power scaling observed after Greenwald 2002

- Density limit in JET $n_{crit} \sim Q^{0.4}$

Huber *et al* JNM 2013



- New analysis based on radiative power balance $n_{crit} \sim Q^{4/9}$
- Notice significantly less scattering in data points on inclusion of power dependence!

Zanca *et al* NF 2019

Preview of results

- Density limit phenomenology is linked with *shear layer collapse*. Radiative effects are *secondary* to transport bifurcation.
- We show that *power scaling of L mode density emerges from zonal shear layer collapse dynamics*. Shear layer strength increases with power (Q) \rightarrow improved particle confinement $\rightarrow n_{crit} \uparrow$
- Predictions beyond scalings:
 - Zonal shear layer collapse is hysteretic. Hysteresis is due to *dynamical delay in bifurcation* due to critical slowing down. *Fundamentally different* from L-H hysteresis associated with bi-stable states.
- In the primacy hierarchy, scalings are at the ‘surface’. *Fluctuations and dynamics are more fundamental*. Hysteresis is a manifestation of the underlying transport bifurcation process. Experimental observation of shear layer and fluctuation hysteresis would *validate* the linkage of density limit to transport bifurcation.

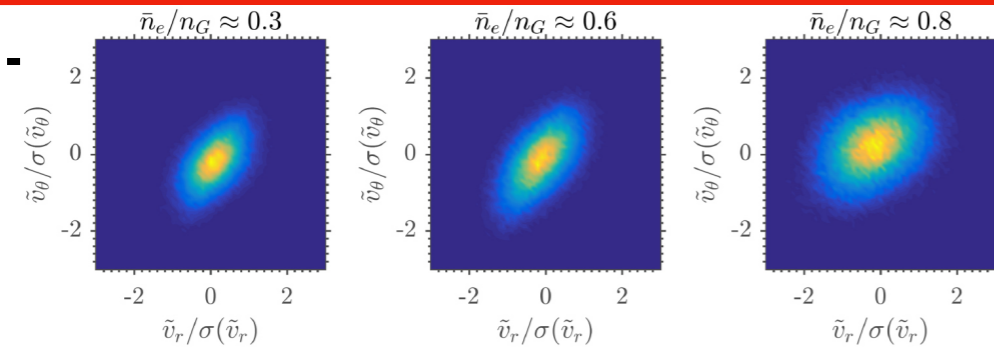
Conventional Wisdom associates Density Limit to radiative events

Density limit is often associated with macroscopic events

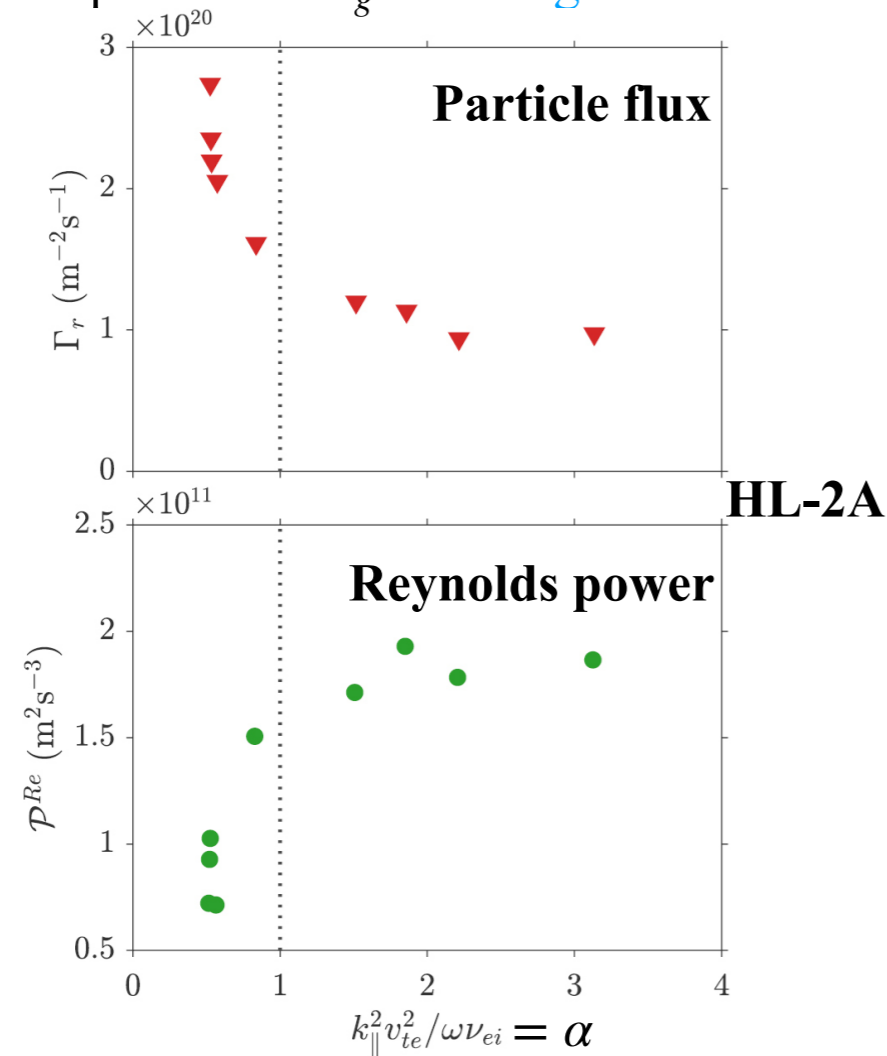
- Global thermal collapse, Radiative condensation / MARFEs. Poloidal detachment, Divertor detachment, MHD activity -radiation driven islands.
- High density → Edge cooling → MARFEs → Current profile shrinkage → Tearing → Islands ... → Disruption!

What's the role of microscopic transport physics?

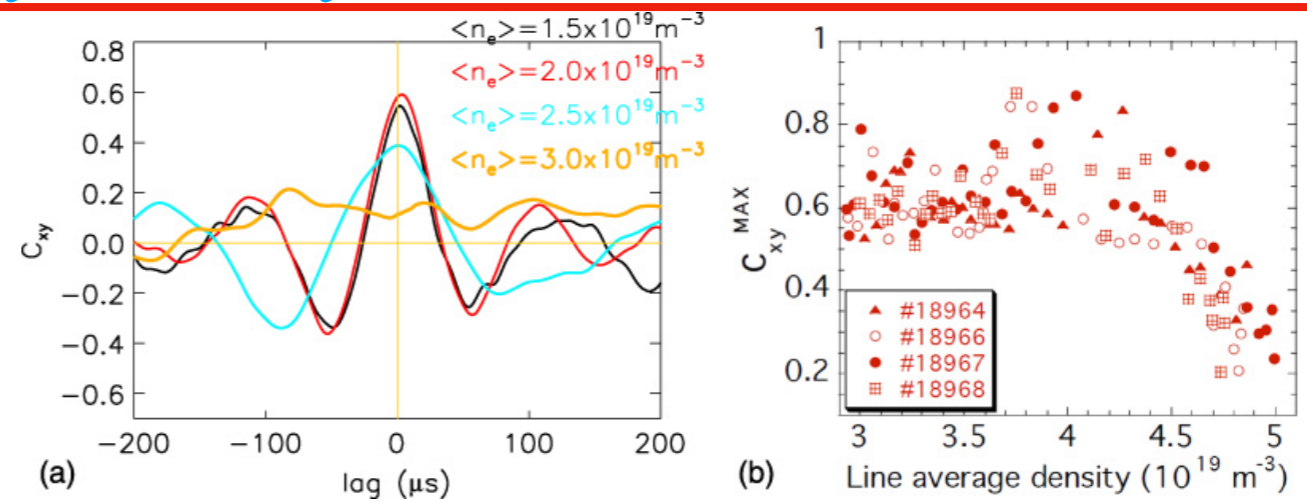
Recent experiments show density limit is related to shear layer decay



Radial and poloidal velocity correlations drops as $n \rightarrow n_g$ [Hong et al NF 2018](#)

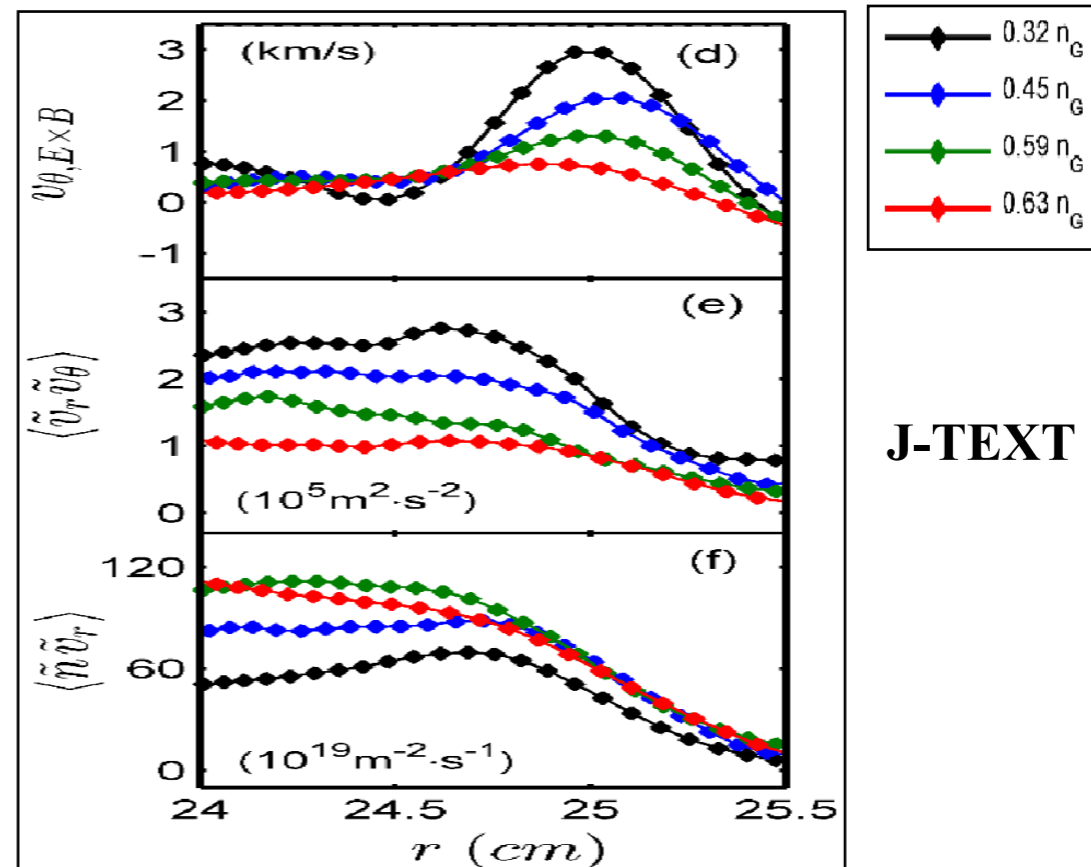


Reynolds power $P_{Re} = -\langle v_\theta \rangle \partial_r \langle \tilde{v}_r \tilde{v}_\theta \rangle$ and particle flux \uparrow as α drops below 1.



Long range correlations (LRC) decrease as the line averaged density increases in both TEXTOR and TJ-II.

$LRC \leftrightarrow ZF$ strength [Y. Xu et al NF 2011](#)

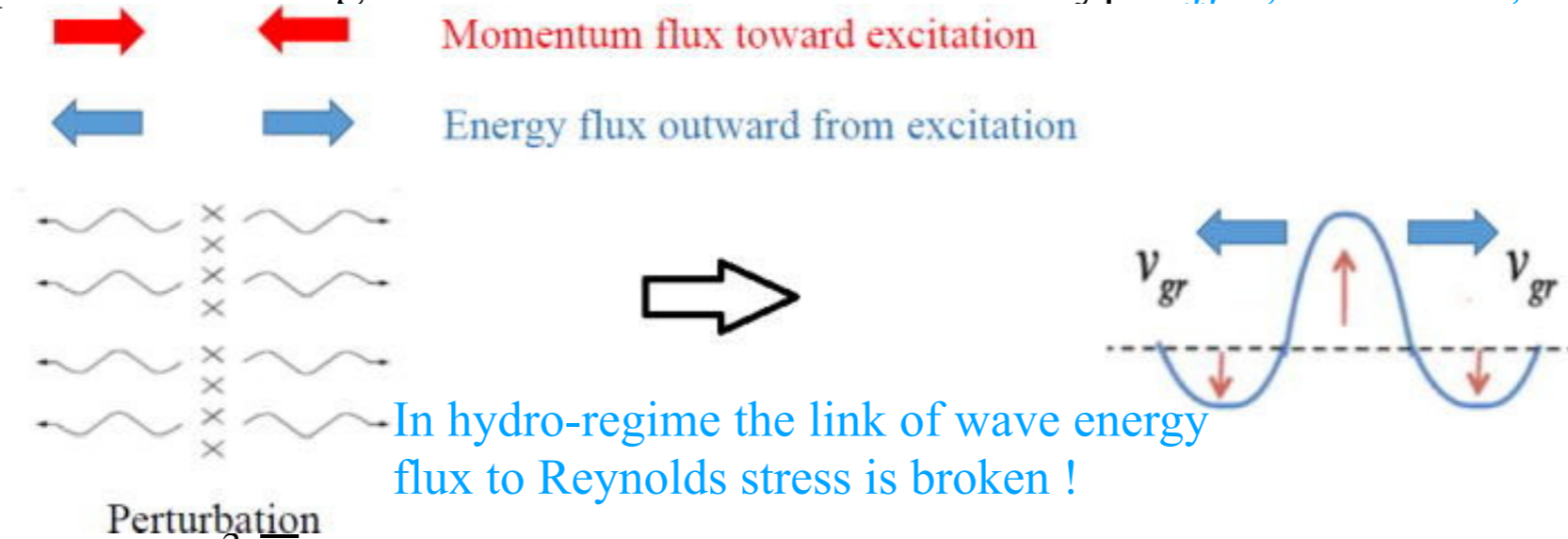


J-TEXT

Particle flux \uparrow and Reynolds stress, ExB flow and flow shear \downarrow as $n \rightarrow n_g$. [T Long et al NF 2021 @ this meeting](#)

Shear layer collapse in hydro- regime and origin of current scaling

- Plasma response for Hasegawa - Wakatani :- HDM Theory [Hajjar, Diamond, Malkov 2018]



- $\Gamma_n, \chi \uparrow$ and $\Pi^{res}, \nabla_{\perp}^3 \phi \downarrow$ as the electron response passes from adiabatic to hydrodynamic regime.
- Weak zonal flow production for $\alpha \ll 1 \rightarrow$ weak regulation of turbulence and enhancement of particle transport and turbulence.

- Origin of current scaling:** zonal flow drive is “screened” by neoclassical dielectric [Rosenbluth - Hinton 1998]. ;

$$\frac{\partial}{\partial t} \langle |\phi_k|^2 \rangle = \frac{2\tau_c \langle |S_k|^2 \rangle}{|\epsilon(q)|^2};$$

Emission from polarization interaction

Neoclassical response

- Poloidal gyro-radius ρ_{θ} emerges as screening length ρ_{sc} ! Effective ZF inertia \downarrow as $I_p \uparrow \rightarrow$ ZF strength increases with I_p , for fixed drive.

$$\epsilon = \epsilon_{cl} + \epsilon_{neo} = \frac{\omega_{pi}^2}{\omega_{ci}^2} \left\{ 1 + \frac{q^2}{\epsilon^2} \right\} k_r^2 \rho_i^2$$

Zonal wave #

- Favorable I_p scaling persist in plateau regime (edge of interest). NO I_p scaling in P-S regime. [Singh & Diamond NF 2021]

Shear layer collapse in adiabatic regime

Singh and Diamond NF 2021

Neoclassical screening + drift wave - zonal flow dynamics → a novel predator - prey model.

$$\partial_t E_t = \gamma E_t - \sigma E_v E_t - \eta E_t^2; \partial_t E_v = \sigma E_t E_v - \gamma_d E_v + \beta E_t^2$$

Notice, $\sigma \sim \varepsilon^{-1} \sim B_\theta^2 \sim I_p^2$ and $\beta \sim \varepsilon^{-2} \sim B_\theta^4 \sim I_p^4$

⇒ I_p jacks up modulational growth and **zonal noise** → stronger feedback on turbulence.

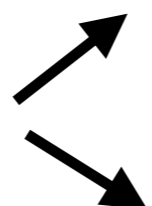
- Noise eliminates threshold for zonal flow production.
- Criterion for zonal flow collapse with noise tracks that for collapse of zonal flow without noise i.e.,

$$\gamma < \eta \frac{\gamma_d}{\sigma} \Rightarrow \boxed{\frac{\rho_s}{\sqrt{\rho_{sc} L_n}}} < \left[\frac{\eta}{\Omega_i} \frac{\gamma_d}{2k_x^2 \rho_s^2 \Theta \Omega_i^2} \frac{\hat{\alpha}}{q_\perp^2 \rho_s^2} \frac{(1 + q_\perp^2 \rho_s^2)^3}{q_y^2 \rho_s^2} \right]^{1/4}$$

Dimensionless parameter

$$\rho_s / \sqrt{\rho_{sc} L_n} < crit \rightarrow S < S_c \sim B_\theta^{-3} \sim I_p^{-3}$$

Particle source S



Local edge density n

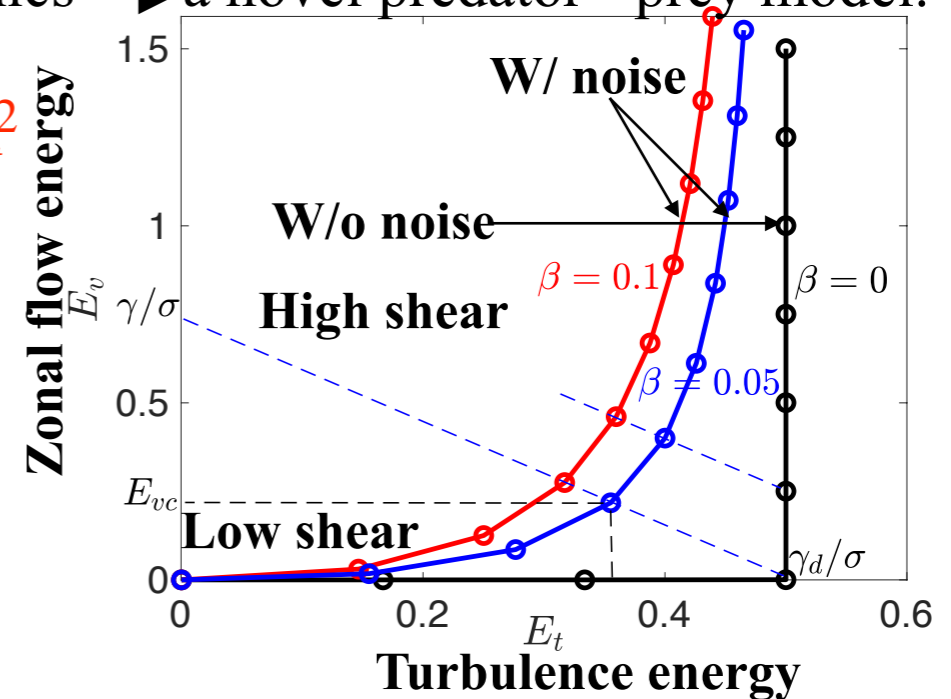
$$n > n_c \sim I_p S^{1/3}$$

$$n > n_c \sim I_p^2 S^{2/3}$$

Zonal flow damping

Viscosity dominated

Charge exchange friction



Extended model for power scaling of density limit from shear layer collapse scenario (I)

- A variant of KD03 model - used for L-H studies, Power (Q) limited to the **L mode**
- Coefficients derived for ITG mode, Includes **neoclassical zonal flow screening** response
- In Gyro-Bohm normalization

Normalized Turbulence energy \mathcal{E} :

$$\frac{\partial \mathcal{E}}{\partial t} = \frac{a_1 \gamma(\mathcal{N}, \mathcal{T}) \mathcal{E}}{(1 + a_3 \mathcal{V}^2)} - \underset{\substack{\uparrow \\ \text{Nonlinear Damping}}}{a_2 \mathcal{E}^2} - \frac{a_4 \mathcal{E}_z \mathcal{E}}{(1 + b_2 \mathcal{V}^2)}$$

Normalized Zonal flow energy \mathcal{E}_z :

$$\frac{\partial \mathcal{E}_z}{\partial t} = \frac{b_1 \mathcal{E} \mathcal{E}_z}{(1 + b_2 \mathcal{V}^2)} - \underset{\substack{\uparrow \\ \text{Mod. Growth}}}{b_3 \hat{n} \mathcal{E}_z} + \underset{\substack{\uparrow \\ \text{Zonal noise}}}{b_4 \mathcal{E}^2} - \text{Coll. Damping}$$

Normalized Temperature gradient \mathcal{T} :

$$\frac{\partial \mathcal{T}}{\partial t} = -c_1 \frac{\mathcal{E} \mathcal{T}}{(1 + c_2 \mathcal{V}^2)} - \underset{\substack{\uparrow \\ \text{Turb. Flux}}}{c_3 \mathcal{T}} + \underset{\substack{\uparrow \\ \text{Neo. Flux}}}{Q} + \underset{\substack{\uparrow \\ \text{Input power}}}{Q}$$

Normalized Density \hat{n} :

$$\frac{\partial \hat{n}}{\partial t} = -d_1 \frac{\mathcal{E} \hat{n}}{(1 + d_2 \mathcal{V}^2)} - \underset{\substack{\uparrow \\ \text{Turb. Flux}}}{d_3 \hat{n}} + \underset{\substack{\uparrow \\ \text{Neo. Flux}}}{S} + \underset{\substack{\uparrow \\ \text{Source}}}{S}$$

Normalized Mean ExB shear \mathcal{V} (from radial force balance):

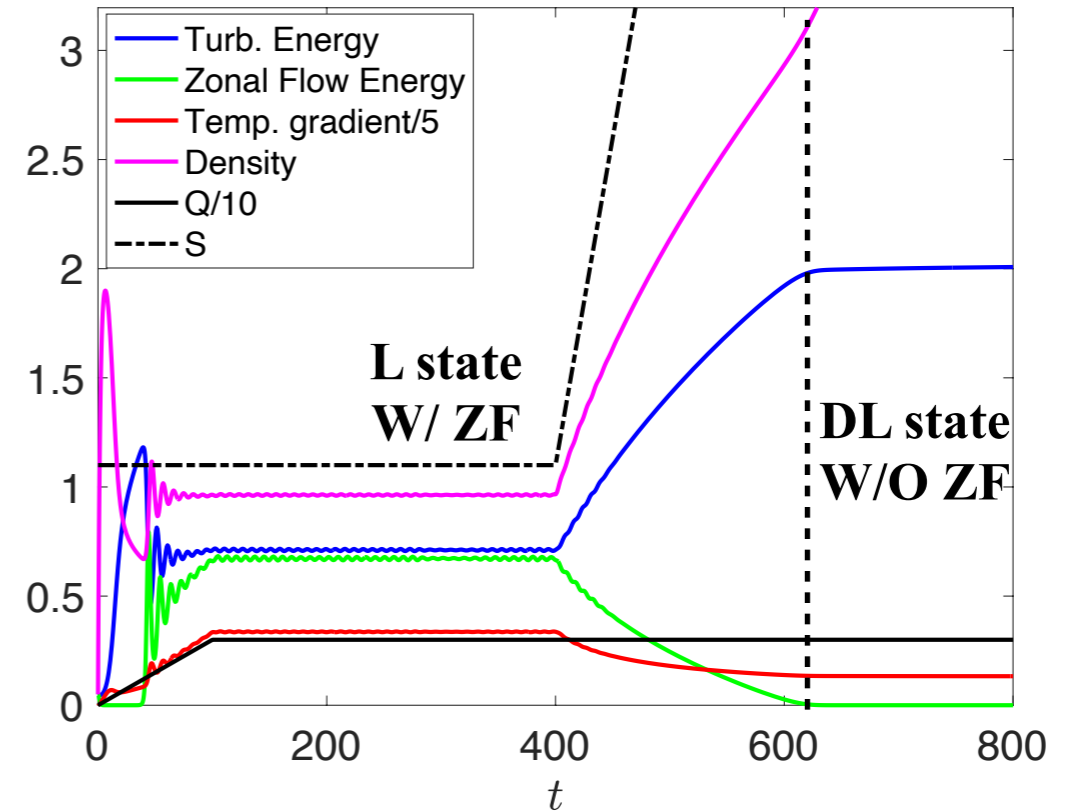
$$\mathcal{V} \equiv \frac{V'_E a}{\rho^* v_{thi}} = -\frac{1}{\hat{n}} \mathcal{N} \left(\frac{1}{\hat{n}} \mathcal{N} + \frac{1}{\hat{T}} \mathcal{T} \right). \text{ Density gradient } \mathcal{N} \text{ remains frozen.}$$

Control knobs

Notice, Modulational growth $b_1 \sim \varepsilon^{-1} \sim B_\theta^2 \sim I_p^2$ and zonal noise $b_4 \sim \varepsilon^{-2} \sim B_\theta^4 \sim I_p^4$

Extended model for power scaling of density limit from shear layer collapse scenario (II)

- Power (Q) ramp up followed by particle source (S) ramp-up
- Two states: L & DL
- Zonal flows (ZF) excited when $Q > Q_{th}$ (Power threshold)
- Q_{th} set by turbulence level and zonal flow damping
- Oscillations- Predator-Prey competition- self-regulation
- ZF energy set by power and density
- Source ramp up \rightarrow density $\uparrow \rightarrow$ ZF damping $\uparrow \rightarrow$ ZF energy \downarrow
- At a critical density (n_{crit}) ZF energy $\rightarrow 0$ and the system bifurcates to DL state



Time evolution of turbulence energy, zonal flow energy, density and temperature gradient in a successive power Q and particle source S ramp.

L state (W/ ZF)

$$\mathcal{E} = b_3 \hat{n} (1 + b_2 \mathcal{V}^2) / b_1$$

$$\mathcal{E}_z = \frac{a_2}{a_4} (1 + b_2 \mathcal{V}^2) \left[\frac{a_1}{a_2} \frac{\gamma}{(1 + b_2 \mathcal{V}^2)} - \frac{b_3}{b_1} \hat{n} (1 + b_2 \mathcal{V}^2) \right].$$

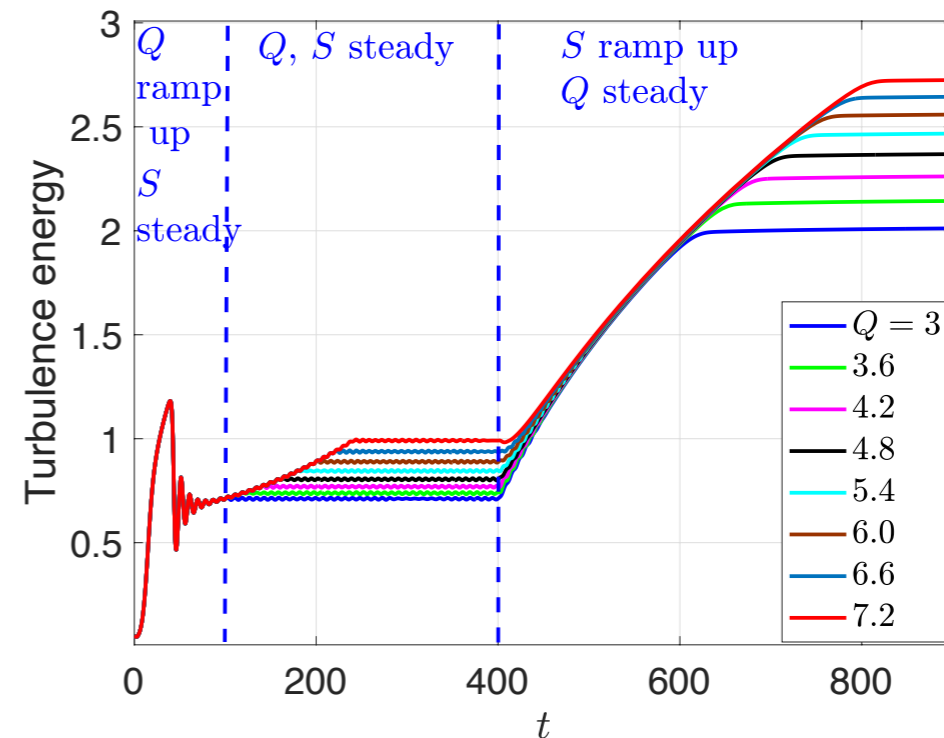
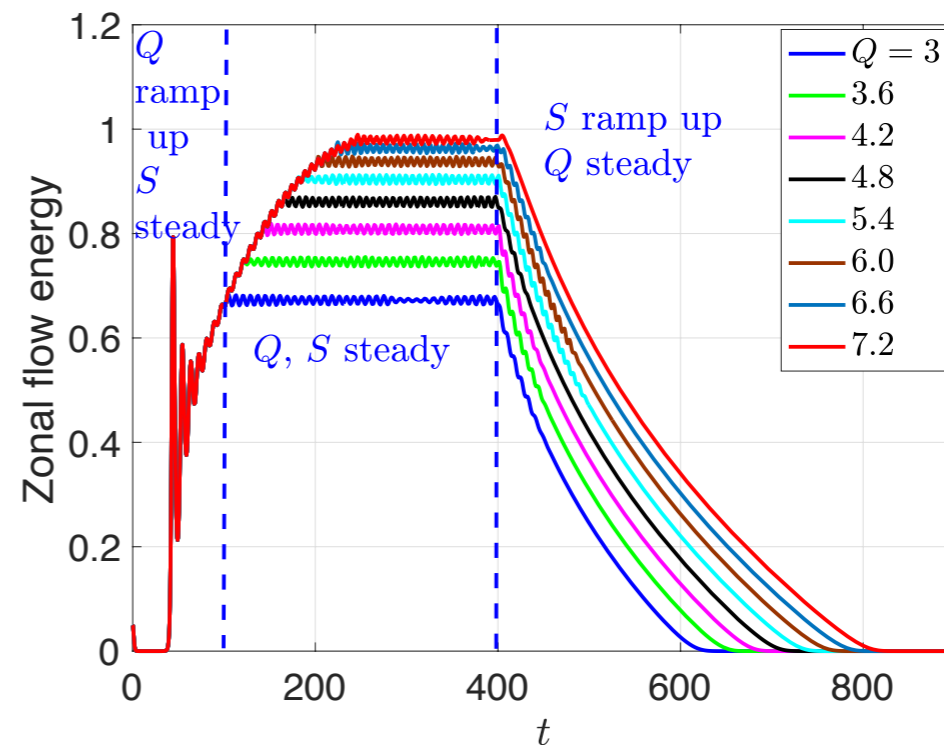
DL state (W/O ZF)

$$\mathcal{E} = \frac{a_1}{a_2} \frac{\gamma}{(1 + b_2 \mathcal{V}^2)} \quad \mathcal{E}_z = 0$$

L → DL transition studies: Power scan

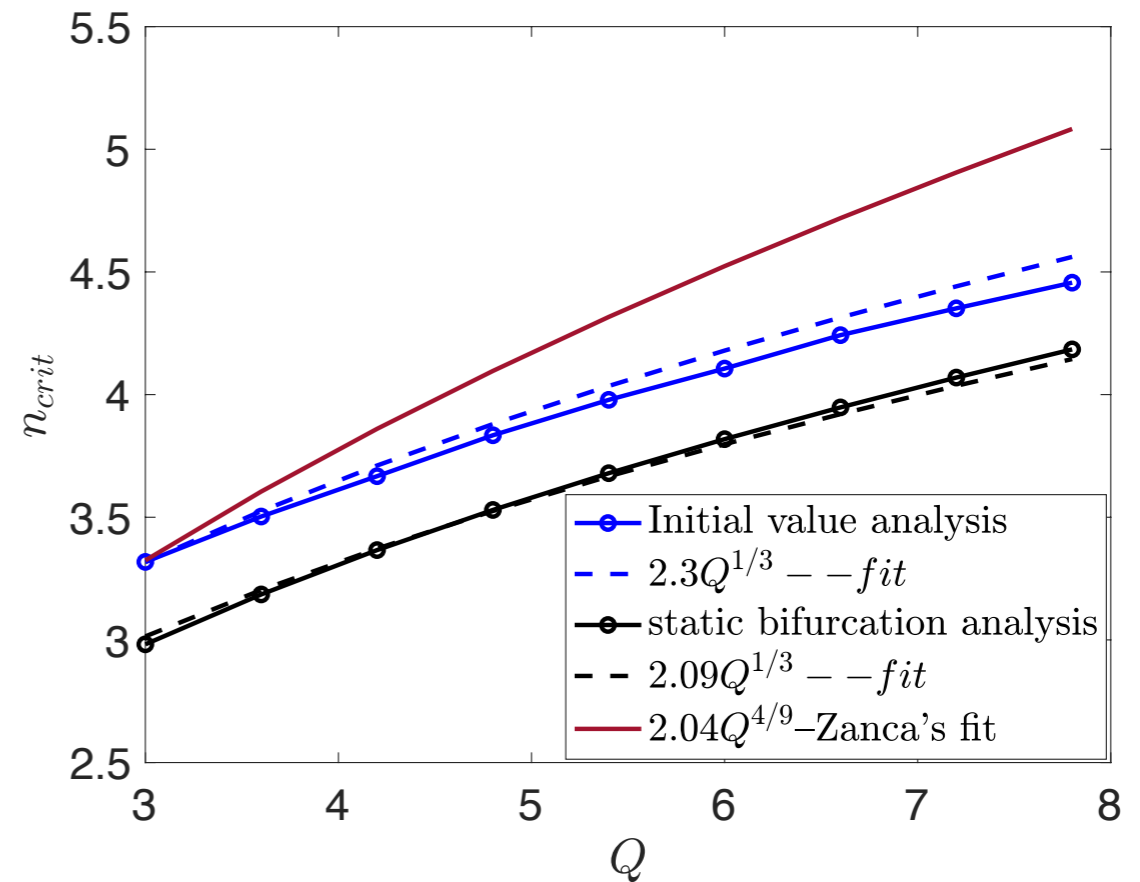
- Observe shear layer collapse
- Power (Q) ramp up followed by particle source (S) ramp-up
- Zonal flow increases with power (Q)
- Oscillations → Predator - prey
- Higher power (Q) → longer ZF damping time → higher n_{crit}
- Higher power (Q) → higher Turb.

$$\text{Energy} \left(\frac{\partial \mathcal{E}}{\partial Q} \right)_L < \left(\frac{\partial \mathcal{E}}{\partial Q} \right)_{DL}$$



Power Scaling

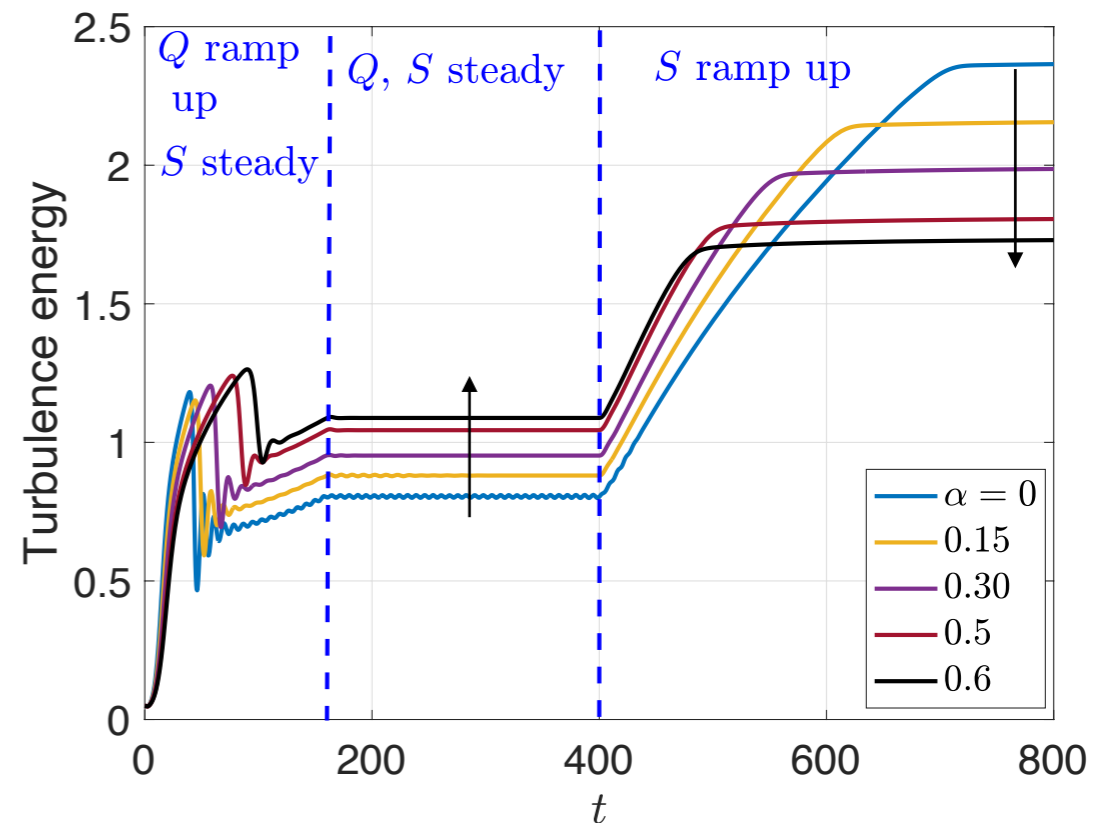
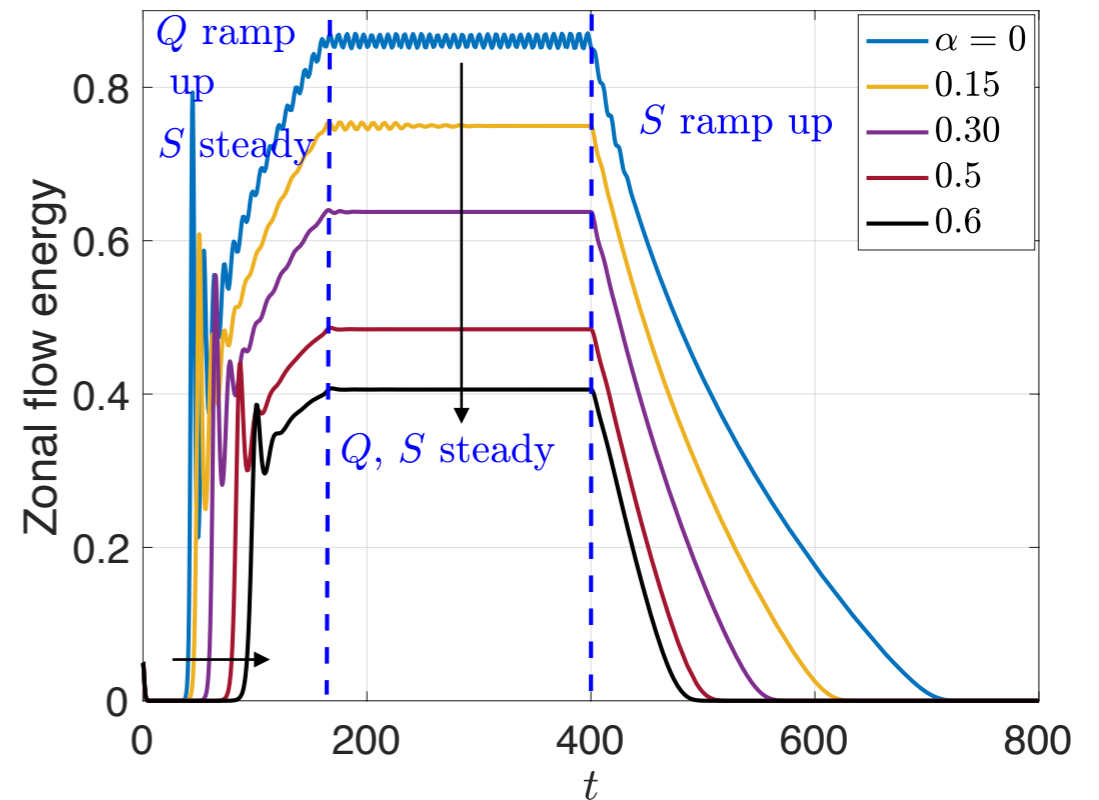
- Favorable power scaling of density limit $n_{crit} \sim Q^{1/3}$
- Physics: $\gamma(\nabla T)$ vs ZF damping + saturation by ZF
 - Shear layer strength increases with power (Q) \rightarrow improved particle confinement $\rightarrow n_{crit} \uparrow$
- Close to Zanca's radiative collapse density $n_{crit} \sim Q^{4/9}$ from radiative power balance
- n_{crit} from initial value $>$ n_{crit} from static bifurcation \rightarrow bifurcation delay due to critical slowing down



Power scaling of critical density

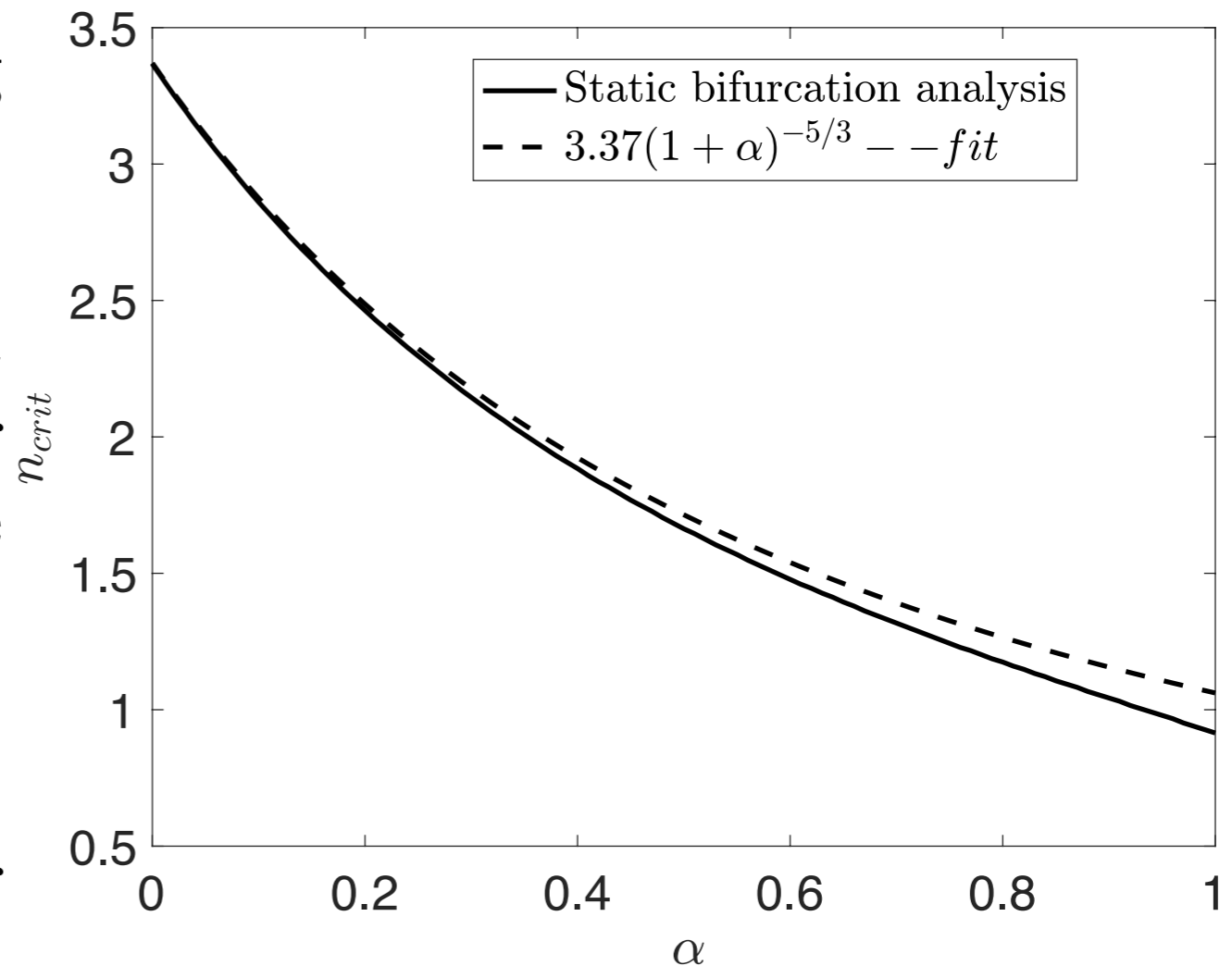
L→DL transition studies: Stochastic field scan

- RMP→ breaking of nested magnetic surfaces→ magnetic islands at rational surfaces→overlapping of islands→Stochastic B
- Model extensions: [Chen *et al* PoP 2021]
 - Growth rate reduction $\gamma \rightarrow \gamma/(1 + \alpha)$,
 - Reynolds stress dephasing $\langle v_r v_\theta \rangle \rightarrow \langle v_r v_\theta \rangle / (1 + \alpha)$,
 - Particle and heat flux dephasing $\langle v_r n \rangle \rightarrow \langle v_r n \rangle / (1 + \sqrt{\beta} \alpha)$,
 $\langle v_r T \rangle \rightarrow \langle v_r T \rangle / (1 + \sqrt{\beta} \alpha)$
 - $\alpha = q \left(\frac{\delta B_r}{B} \right)^2 / \sqrt{\beta} \rho_*^2 \epsilon$ is normalized stochastic B intensity
- Power threshold Q_{th} for zonal flow excitation \uparrow when $\alpha \uparrow$
- ZF energy \downarrow and Turb. Energy \uparrow in steady Q and S region
- ZF damping time \downarrow when $\alpha \uparrow$
 $\implies n_{crit}$ decreases with stochastic B
- After shear layer collapse, Turb. energy decreases with stochastic B



Stochastic field scaling

- Unfavorable stochastic field scaling
 $n_{crit} \sim (1 + \alpha)^{-5/3}$
- Physics: Stochastic fields erode the shear layer by dephasing the Reynolds stress
- Ambient stochastic B accelerate the shear layer decay on increasing n .



Stochastic field α scaling of critical density

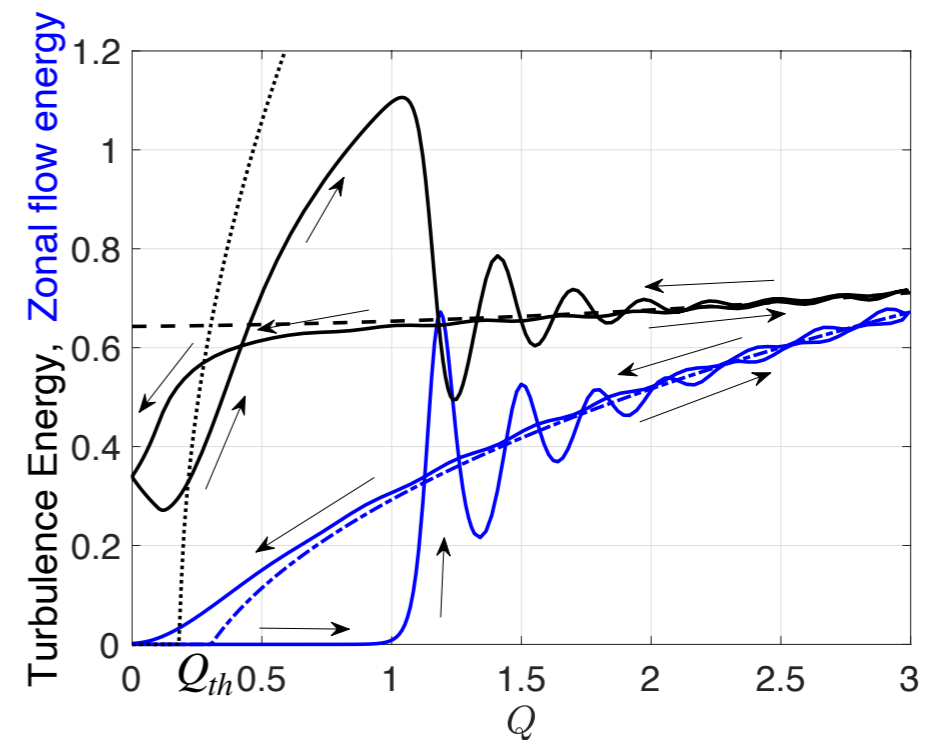
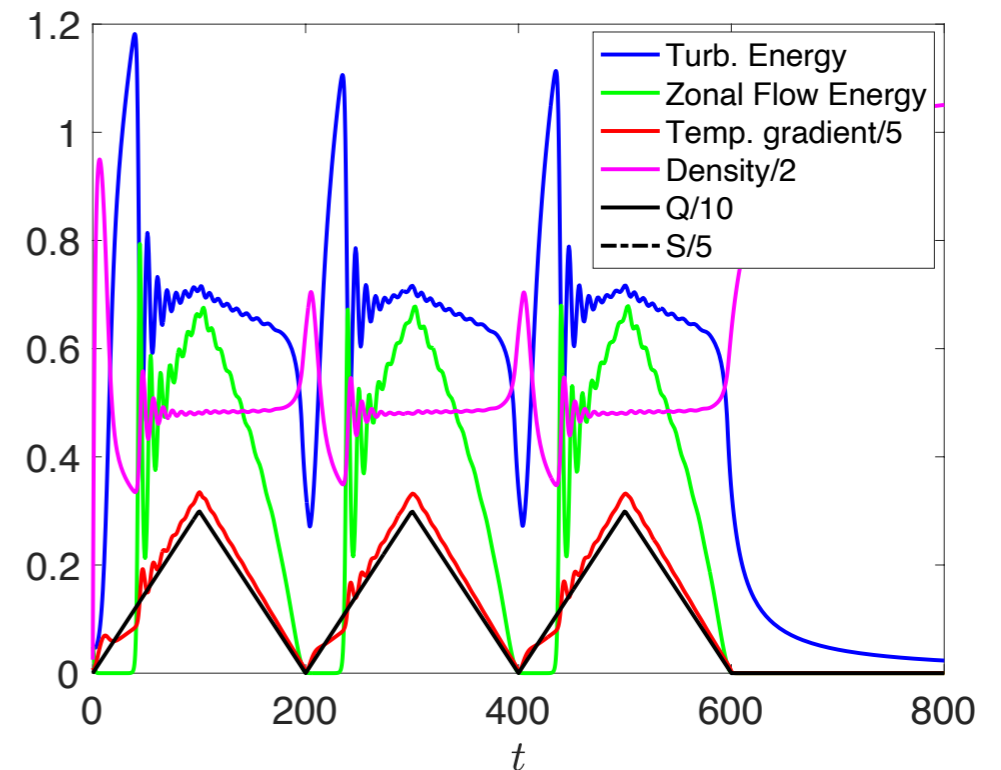
Hysteresis with cyclic power ramp

- All fields exhibit hysteresis in cyclic Q ramp!
- Physics prediction - - - beyond scalings !
- Physics:
 - Two states L(w/ ZF), DL(w/o ZF)
 - But only one stable state at any moment —then why hysteresis?
 - Delay in (transcritical) bifurcation due to critical slowing down at the static bifurcation point Q_{th} .
 - Linearized dynamics near bifurcation point reveals zonal flows begin to grow when

$$\int_{t_0}^t dt' \left(b_1(t') \frac{a_1 \gamma(t')}{a_2} - b_3 \hat{n}(t') \right) > 0$$
 - **Dynamical bifurcation** at time t^* when

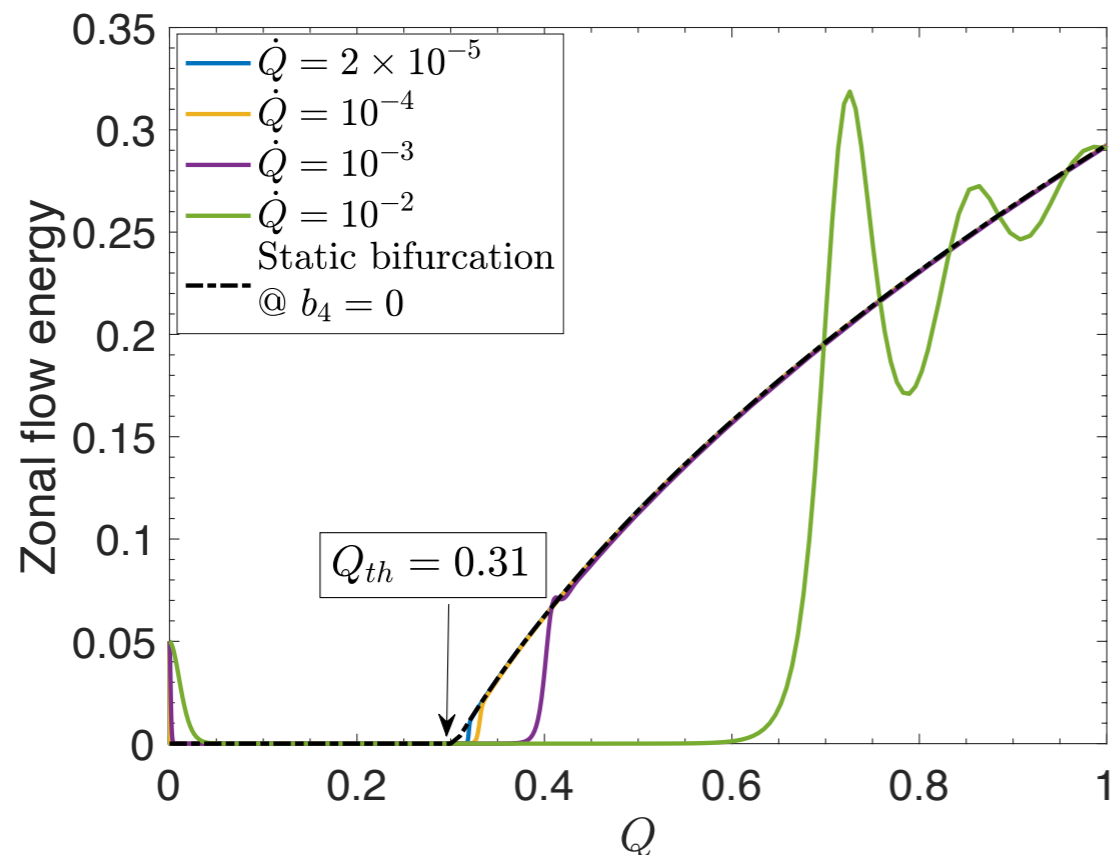
$$\int_{t_0}^{t^*} dt' \left(b_1(t') \frac{a_1 \gamma(t')}{a_2} - b_3 \hat{n}(t') \right) = 0$$
 - **Static bifurcation** at time \bar{t} when

$$\left(b_1(\bar{t}) \frac{a_1 \gamma(\bar{t})}{a_2} - b_3 \hat{n}(\bar{t}) \right) = 0$$



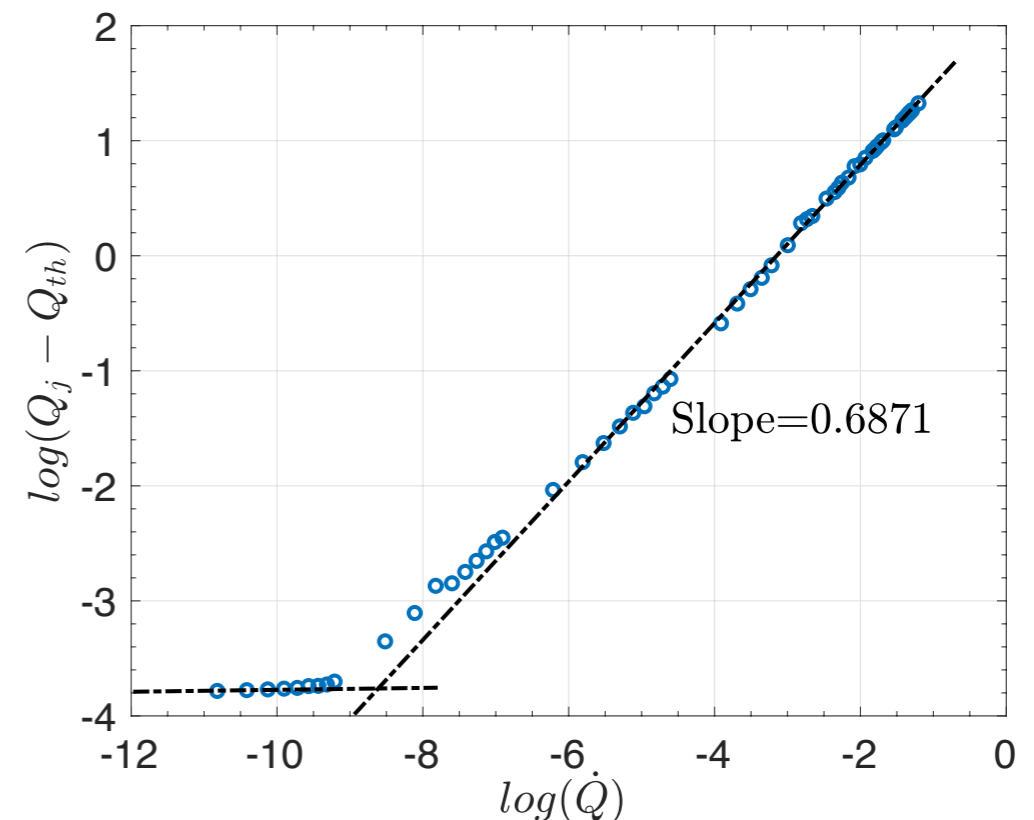
Hysteresis plot in $t=[200\ 400]$

Effect of ramp speed on bifurcation delay



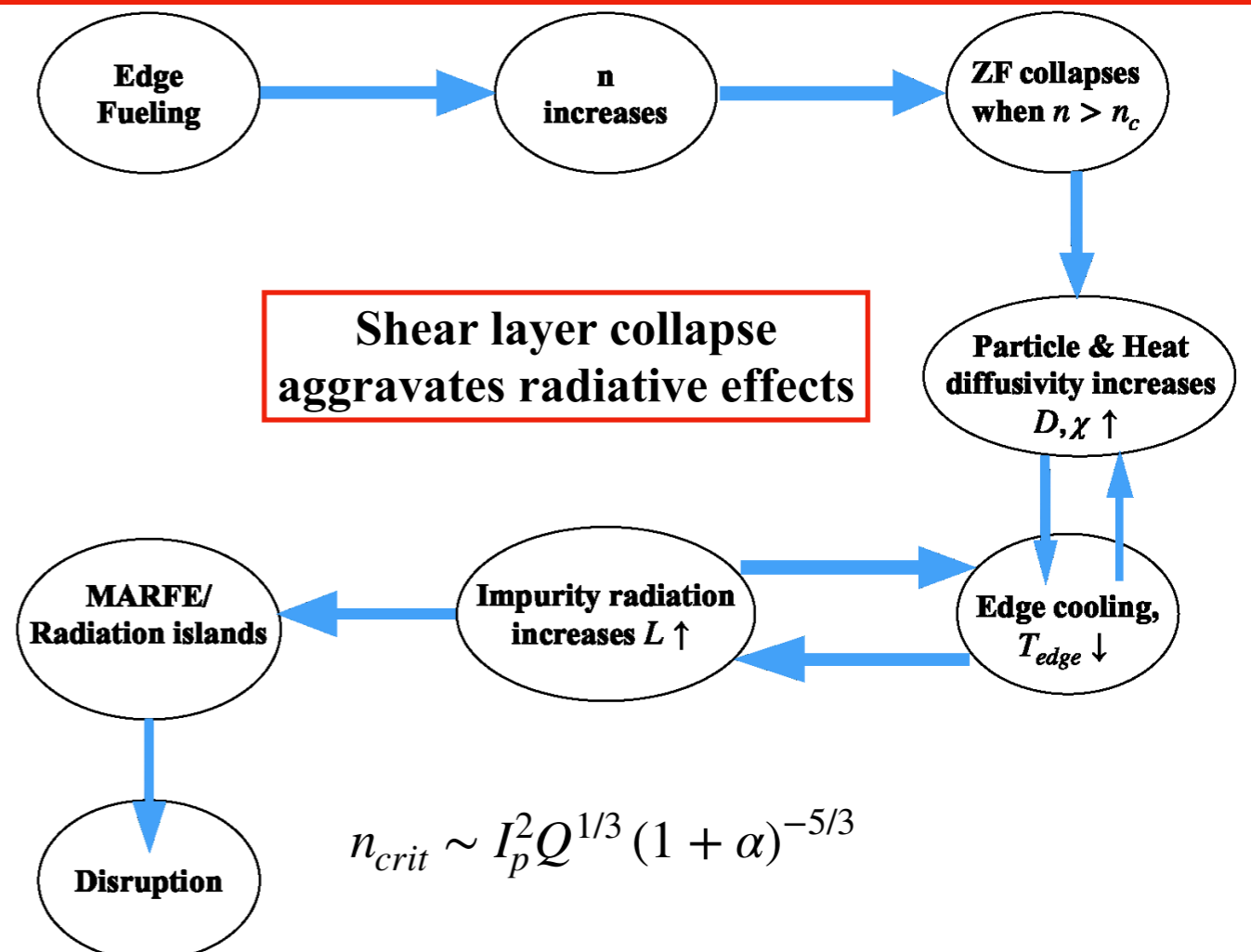
- $Delay = Q_j - Q_{th}$
- For low \dot{Q} , Delay is independent of \dot{Q}
- For high \dot{Q} , Delay follows a power law $\dot{Q}^{0.69}$

- Passage through static bifurcation point (Q_{th}) exhibits a delay that depends on the ramp speed \dot{Q} !



Conclusions

- Radiative cooling is secondary (i.e., a consequence of) to the transport bifurcation.
- Density limit is a back transition L→DL phenomena
- L→DL associated with shear layer collapse
- Scalings emerge from shear layer dynamics



$$n_{crit} \sim I_p^2 Q^{1/3} (1 + \alpha)^{-5/3}$$

- I_p scaling from neoclassical zonal flow screening
- Favorable Q scaling due to strengthening of shear layer on increasing Q , thus preventing shear layer collapse
- Unfavorable stochastic B scaling due to erosion of shear layer by Reynolds stress dephasing
- L→DL hysteresis due to dynamical delay in bifurcation is a testable prediction of the model beyond scalings ! Hysteresis is a manifestation of the underlying transport bifurcation process associated with shear layer collapse.

Future directions

- H-mode density limit: H-L back transition by mean ExB shear collapse? Mechanism of mean shear collapse at high density? Role of SOL or pedestal ? Role of spreading?
- How flux surface shaping effects the shear layer collapse criterion? Can negative triangularity sustain $n > n_g$?
Triangularity scaling of n_{crit} ?
 - Analytic calculations and GENE simulations show that residual zonal flow ϕ_{res} increases with δ^+ but $\phi_{res}(\delta^-) < \phi_{res}(\delta^+)$. Does it mean n_{crit} increases with δ^+ but $n_{crit}(\delta^-) < n_{crit}(\delta^+)$?
 - More experiments on density limit studies in δ^+ and δ^- ?