

The Ins and Outs of Density Limits

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ABOUND FEST

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→ See recent review:

**“How the Birth and Death of Shear Layers
Determines Confinement Evolution:
From the L→H Transition to the Density Limit”**

→ Phil Trans Roy Soc 381 (2023)

→ See also Eich and Manz; 2021, 2023

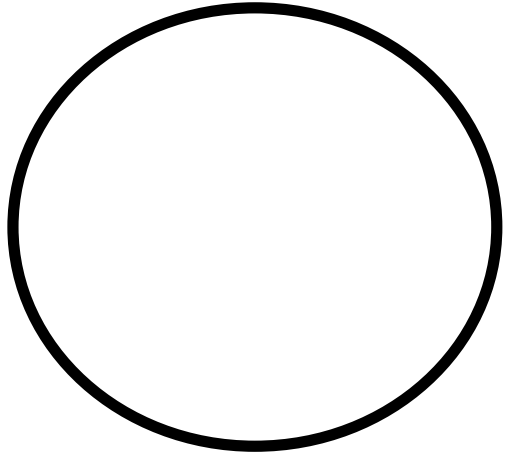
- Collaborators:

Rameswar Singh, Ting Long, Rongjie Hong, Rui Ke, Zheng Yan,
George Tynan, Rima Hajjar

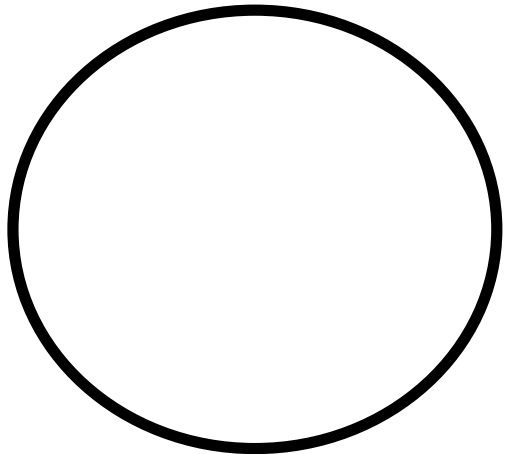
- Ackn:

Peter Manz, Martin Greenwald, Thomas Eich, Lothar Schmitz,
Andrew Maris, ...

Warning:



Results from Large Scale Computations



ScoDAC \$ spent

N.B. : Why Study Density Limits?

- Constraint on operating space
- Fusion power gain $\sim n^2 \rightarrow$ burning plasma will be high density
- Attractive feedback loop ?! :

The diagram illustrates a feedback loop between fusion power and the maximum density. It consists of two curved blue arrows forming a circle. The top arrow points from the right towards the left and is labeled with the equation $P_{fusion} \sim n^2$. The bottom arrow points from the left towards the right and is labeled with the equation $n_{max} \sim P_{in}^{\alpha}$.

$$(0 < \alpha < 1)$$

n.b. Power dependence density limit

42 Years of H-mode – Lessons (1982 →)

- Saved MFE from Goldston scaling
- Introduced transport barrier, bifurcation → state ‘phases’ and transitions
- Role of flow profile in confinement (BDT '90)
- Dynamical feedback loops → Predator-Prey cycles, Zonal flows, etc.
(PD+'94,05; K-D '03)
- Consequences of marked transport reduction
 - Strong interest in turbulent pedestal states
- Applications elsewhere → **Density Limit** → both L, H

N.B. Inhibition of L→H for sufficient NT poses challenge to L→H model

Outline

- Issues in Density Limit Physics
- Status of Theory
- Critical Experiment

In → Out

- HDL → Back Transition Trigger?

Out → In

- Wish List for Computation

Preview: A Developing Story

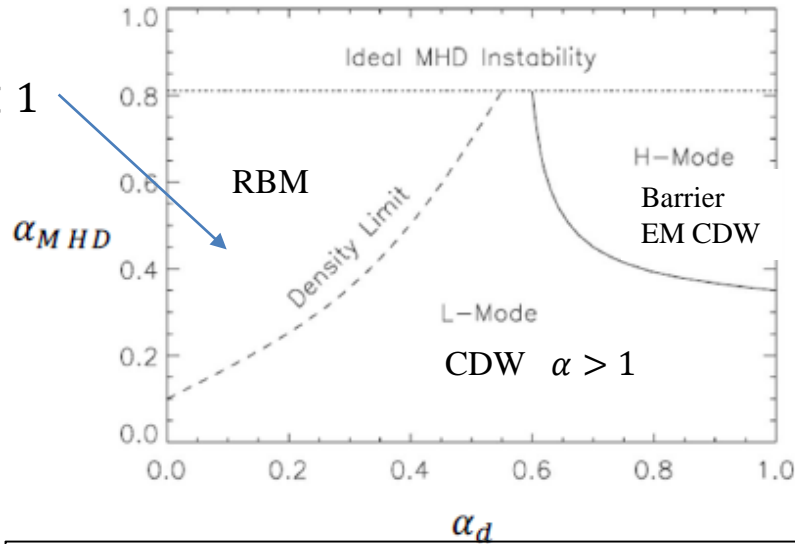
From Linear Zoology to Self-Regulation and its Breakdown

1-mode per regime

(Drake and Rogers, PRL, 1998)

$$\alpha_d = \frac{k_{\parallel}^2 V_{the}^2}{\omega \nu}$$

$\alpha < 1$



(Hajjar et al., PoP, 2018, et. seq)

State	Electrons	Turbulence Regulation
Base State - L-mode	Adiabatic or Collisionless $\alpha > 1$ Weak damping	Secondary modes (ZFs and GAMs)
H-mode	Irrelevant	Mean ExB shear $\nabla \Pi/n$
Degraded particle confinement (Density Limit)	Hydrodynamic $\alpha < 1$ or damped	None - ZF collapse due weak production

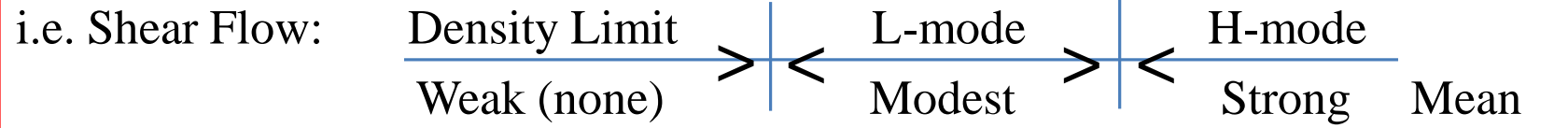
→ I-mode

Secondary modes and states of particle confinement

- $\alpha_{MHD} = -\frac{Rq^2 d\beta}{dr} \rightarrow \nabla P$ and **ballooning drive** to explain the phenomenon of density limit.
- Invokes yet another linear instability of RBM.
- **What about density limit phenomenon in plasmas with a low β ?**

L-mode: Turbulence is *regulated* by shear flows, but not suppressed.
H-mode: *Mean ExB* shear $\leftrightarrow \nabla p_i$ suppresses turbulence and transport.
Density Limit: High levels of turbulence and particle transport, as shear flows collapse.

Unified Picture →



Edge shear – as – order parameter

L → DL as a “back-transition”!?

Issues in Density Limit Physics

- Physics of increased particle transport, cooling approaching n_g

- Micro-Macro connection:

Progression transport \rightarrow MARFE \rightarrow Disruption

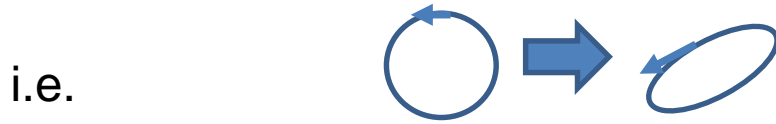
- Physics of Current Scaling?
- Physics of Power Scaling?
- Origin of confinement degradation at high n i.e. $n > n_g$ (DIII-D NT)?

Status of Theory

Edge ExB Shear: Zonal Flows Ubiquitous! Why?

- Direct proportionality of wave group velocity and wave energy density flux to Reynolds stress \leftrightarrow spectral correlation $\langle k_x k_y \rangle$

Causality \leftrightarrow Eddy Tilting



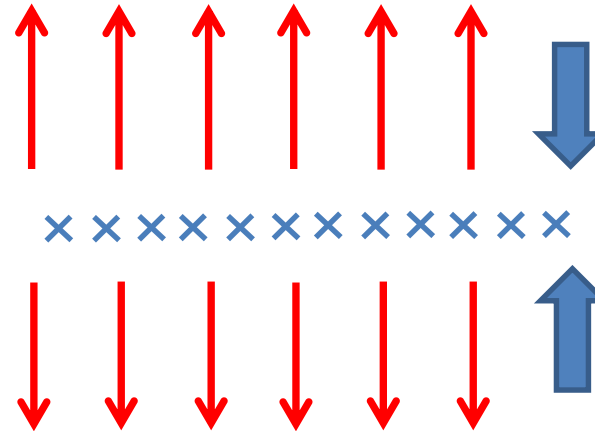
$$\omega_k = -\beta k_x / k_{\perp}^2 : (\text{Rossby})$$

$$\rightarrow V_{g,y} = 2\beta k_x k_y / (k_{\perp}^2)^2$$

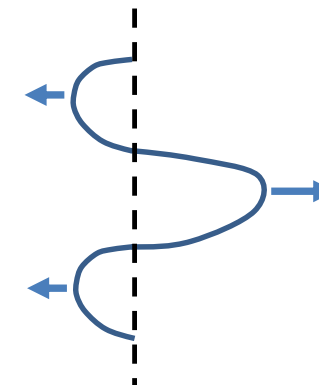
$$\rightarrow \langle \tilde{V}_y \tilde{V}_x \rangle = -\sum_k k_x k_y |\phi_k|^2$$

$$\text{So: } V_g > 0 (\beta > 0) \leftrightarrow k_x k_y > 0 \rightarrow \langle \tilde{V}_y \tilde{V}_x \rangle < 0$$

Propagation \leftrightarrow Stress



Cf: Held, Vallis in GFD
P.D. + Kim '90



- Outgoing waves generate a flow convergence! \rightarrow Shear layer spin-up

But NOT for hydro convective cells: (i.e. $\alpha < 1$)

$$\alpha = \frac{k_{\parallel}^2 V_{the}^2}{\omega \nu} = \text{adiabaticity}$$

- $\omega_r = \left[\frac{|\omega_{*e}| \hat{\alpha}}{2k_{\perp}^2 \rho_S^2} \right]^{1/2} \rightarrow$ for convective cell of H-W (enveloped damped)
- $V_{gr} = -\frac{2k_r \rho_S^2}{k_{\perp}^2 \rho_S^2} \omega_r \quad \leftarrow ?? \rightarrow \quad \langle \tilde{V}_r \tilde{V}_{\theta} \rangle = -\langle k_r k_{\theta} \rangle;$ direct link broken!

\rightarrow Energy flux NOT simply proportional to Momentum flux \rightarrow



\rightarrow Eddy tilting ($\langle k_r k_{\theta} \rangle$) does not arise as direct consequence of causality

\rightarrow ZF generation not 'natural' outcome in hydro regime!

\rightarrow Physical picture of shear flow collapse emerges, as change in branching ratio of vorticity flux to particle flux as α drops

N.B. Generic mechanism, not linked to specific "mode"

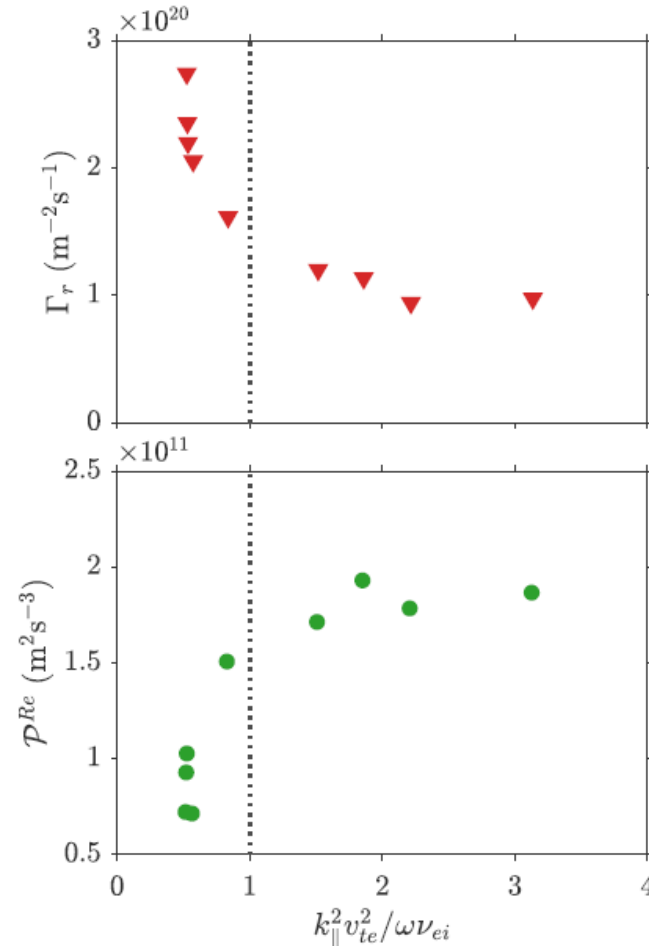
$\alpha < 1 \not\Rightarrow$ RBM

Adiabaticity \neq Collisionality

Reynolds Power (Flow Production)

- Studies of $P_{Re} = -\langle \tilde{v}_r \tilde{v}_\theta \rangle \partial \langle V_E \rangle / \partial r$ vs n/n_G

$$\alpha = k_{\parallel}^2 V_{the}^2 / \omega \nu$$



Particle flux
surges for $\alpha > 1$

P_{Re} drops for $\alpha < 1$

Is DL evolution linked to degradation of edge shear layer ?

(Hong+, 2018 NF)

What of the Current Scaling?

- Obvious question: How does shear layer collapse scenario connect to Greenwald scaling $\bar{n} \sim I_p$?
- Key physics: shear/zonal flow response to drive is 'screened' by neoclassical dielectric

i.e. $-\epsilon_{neo} = 1 + 4\pi\rho c^2 / B_\theta^2$

– ρ_θ as screening length

– effective ZF inertia lower for larger I_p

N.B.: Points to ZF response as key to stellarator.

Revisiting Feedback in Reduced Model (c.f. Singh, P.D. PPCF '21)

- How combine noise, neoclassical dielectric and feedback dynamics? → back to Predator-Prey...

Limiting reduction of complex ZF, corrugation evolution

$$\frac{\partial E_t}{\partial t} = \gamma E_t - \overset{\text{shear}}{\sigma E_v E_t} - \overset{\text{satn.}}{\eta E_t^2}$$

$$\frac{\partial E_v}{\partial t} = \overset{\text{modulation growth}}{\sigma E_t E_v} - \overset{\text{damping}}{\gamma_d E_v} + \overset{\text{nonlinear noise model}}{\beta E_t^2}$$

$\sigma \sim \epsilon_{neo}^{-1} \sim B_\theta^2 \sim I_p^2$
 $\beta \sim \epsilon_{neo}^{-2} \sim B_\theta^4 \sim I_p^4$

High B_θ enhances ZF coupling

N.B.: I_p enhances modulational growth

High B_θ enhances "noise" for Z.F.

Re: Developments:

- Zonal flow and turbulence always co-exist *
- Zonal flow energy increases with current
- Turbulence energy never reaches 'old' modulation threshold
- Zonal cross-correlation import TBD

cf: extends P.D. et. al. '94; Kim, PD '03

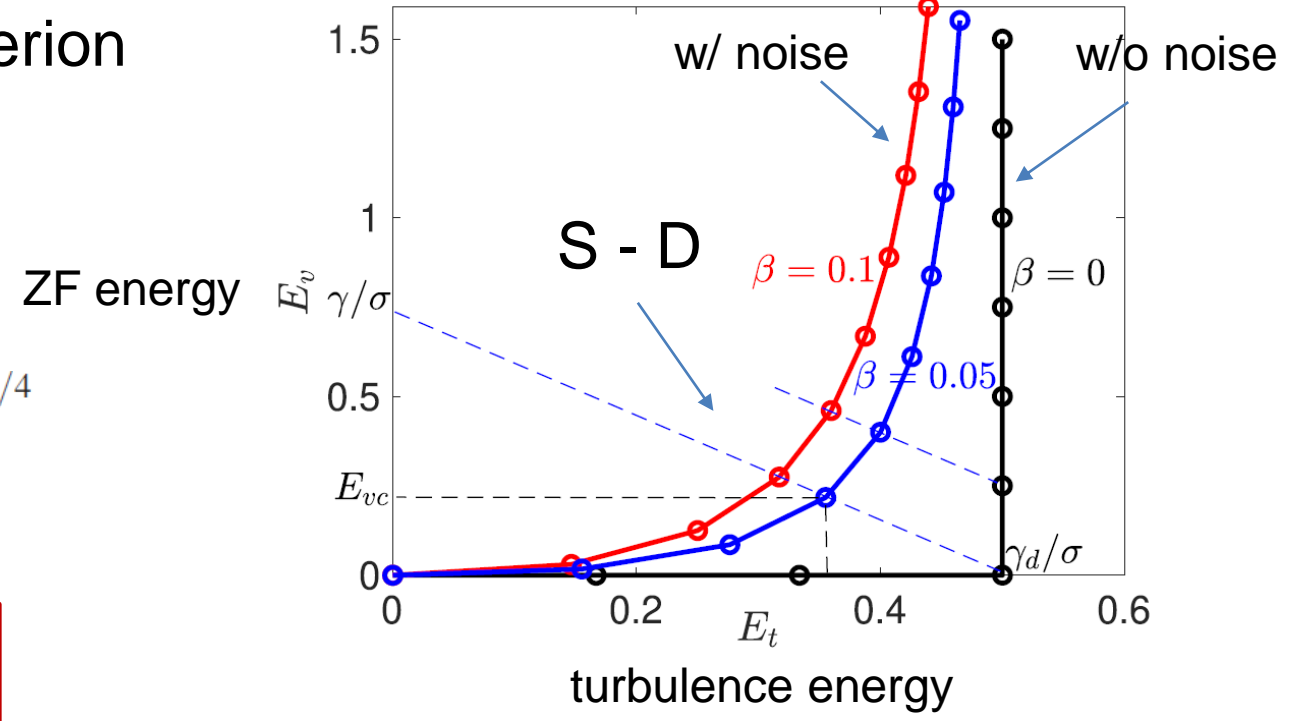
Criterion for Shear Layer Collapse

- For collapse limit, criterion without noise is viable approximation to with noise
- Derive shear layer persistence criterion

$$\frac{\rho_s}{(\rho_\theta L_n)^{\frac{1}{2}}} > \text{crit.}$$

$$\text{crit.} = \left[\frac{\eta}{\Omega_i} \frac{\gamma_d}{2k_x^2 \rho_s^2 \Theta \Omega_i^2} \frac{\hat{\alpha}}{q_\perp^2 \rho_s^2} \frac{(1 + q_\perp^2 \rho_s^2)^3}{q_y^2 \rho_s^2} \right]^{1/4}$$

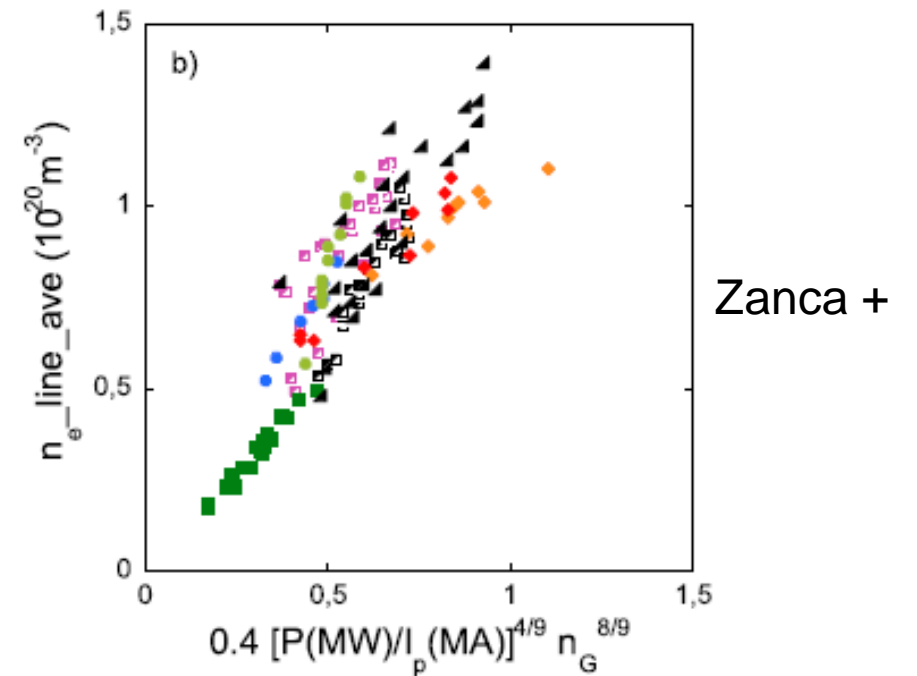
→ Dimensionless parameter $\frac{\rho_s}{(\rho_\theta L_n)^{\frac{1}{2}}}$



Larger B_θ enhances persistence of ZF

Power Scaling and Physics of L-mode Density Limit (Singh, P.D. PPCF 2022)

- Power Scaling is an old story, keeps returning
- Zanca+ (2019) fits $\rightarrow \bar{n} \sim P^{1/4}$
↑
- Giacomin+: Simulations recover power scaling
- Observe: $Q_i|_{\text{bndry}}$ will drive shear layer \rightarrow LH mechanism
- So: $P_{\text{scaling}} \leftrightarrow$ shear layer physics: a natural connection



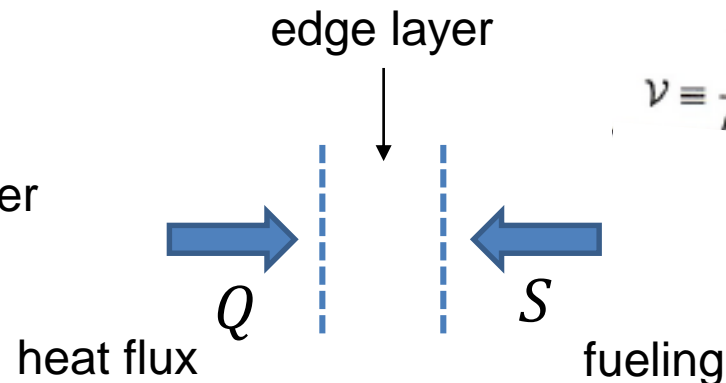
Expanded Kim-Diamond Model

- KD '03 – useful model of L→H dynamics (0D)
- See also Miki, P.D. et al '12, et. seq. (1D)
- Evolve $\varepsilon, V_{ZF}, n, T_i, V'_E$

↔

- Treats mean and zonal shearing
- Separates density and temperature contributions to P_i
- Heat and particle sources Q, S

N.B. i) ZeroD → interpret as edge layer
 ii) Does not determine profiles
 iii) Coeffs for ITG



$$\frac{\partial \varepsilon}{\partial t} = \frac{a_1 \gamma(N, T) \varepsilon}{1 + a_3 \mathcal{V}^2} - a_2 \varepsilon^2 - \frac{a_4 v_z^2 \varepsilon}{1 + b_2 \mathcal{V}^2} \quad \text{Fluctuation Intensity}$$

$$\frac{\partial v_z^2}{\partial t} = \frac{b_1 \varepsilon v_z^2}{1 + b_2 \mathcal{V}^2} - b_3 n v_z^2 + b_4 \varepsilon^2 \quad \text{Zonal Intensity}$$

$$\frac{\partial T}{\partial t} = -c_1 \frac{\varepsilon T}{1 + c_2 \mathcal{V}^2} - c_3 T + Q \quad T_i$$

$Q \rightarrow$ power

$$\frac{\partial n}{\partial t} = -d_1 \frac{\varepsilon n}{1 + d_2 \mathcal{V}^2} - d_3 n + S \quad n$$

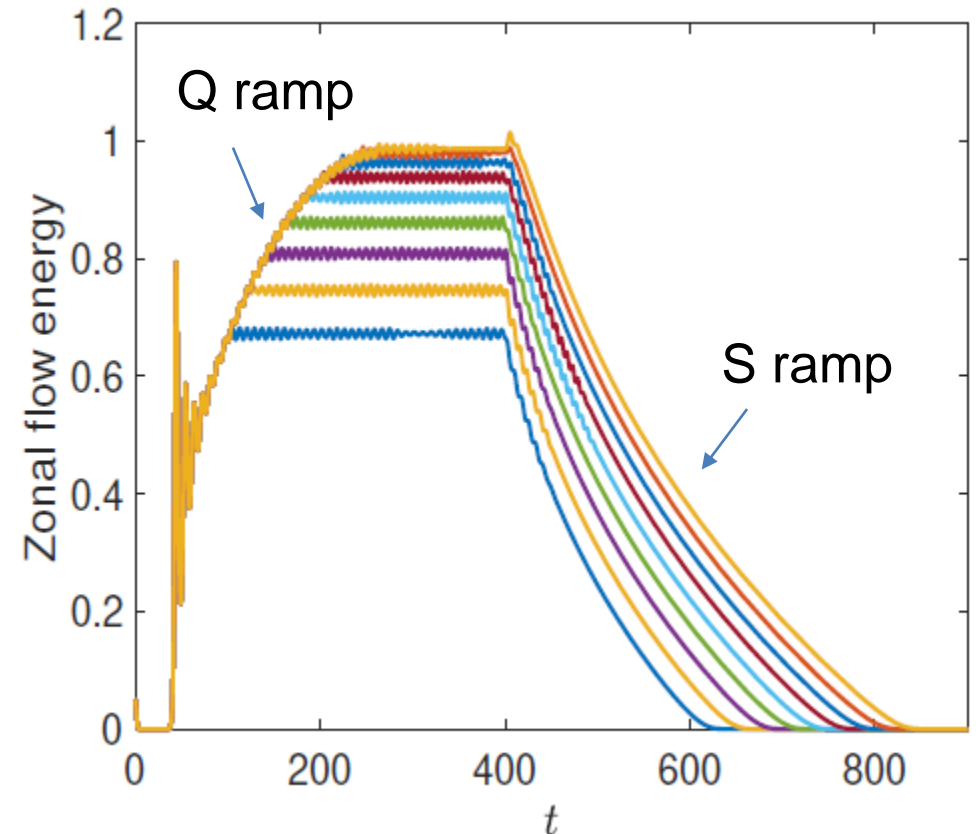
$S \rightarrow$ fueling shear

$$V'_E = -\rho_i v_{thi} L_n^{-1} (L_n^{-1} + L_T^{-1}) \quad \text{Shear (mean)}$$

$$\mathcal{V} \equiv \frac{V'_E a}{\rho^* v_{thi}} = -\frac{n_0}{n} \mathcal{N} \left(\frac{n_0}{n} \mathcal{N} + \frac{T_0}{T} \mathcal{T} \right)$$

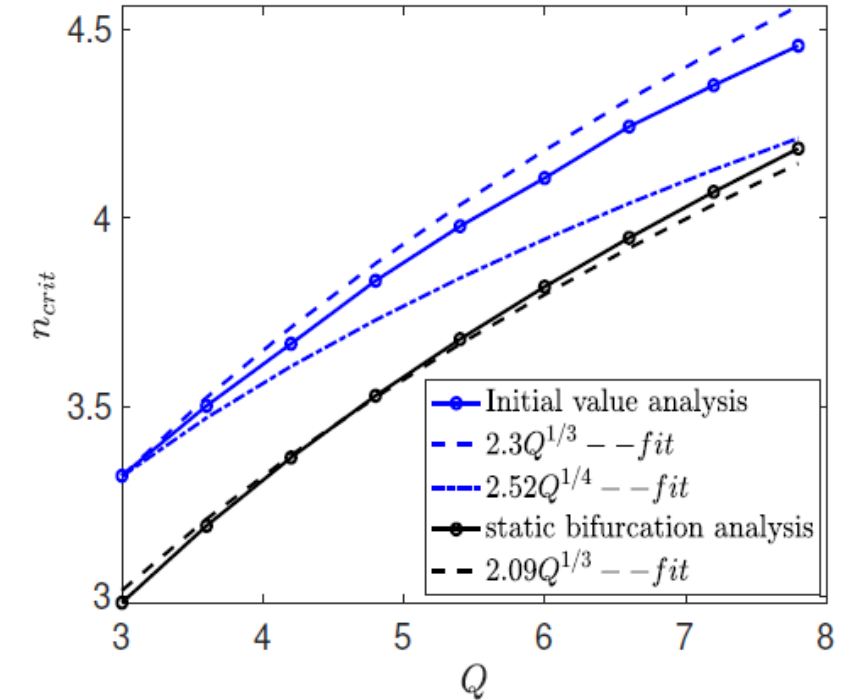
L → DL Studies: Shear Layer Physics ↔ Power Scaling

- Look for shear layer collapse
 - Q ramp-up to L-mode, followed by S ramp-up
 - Oscillations → predator-prey cycles
 - n for ZF collapse increases with Q
- scaling of n_{crit} emerges



Power Scaling: LDL

- $n_{crit} \sim Q^{1/3}$
- Distinct from Zanca, but close (model)
- In K-D, with neoclassical screening $n_{crit} \sim I_p \rightarrow I_p^2$
- Physics is $\gamma(Q)$ vs ZF damping
- Shear layer drive underpins power scaling



Physics: $Q_i \rightarrow$ Turbulence \rightarrow Reynolds Stress \rightarrow ZF shear

Increased ZF damping \rightarrow Confinement degradation

NB: Unavoidable model dependence in scalings

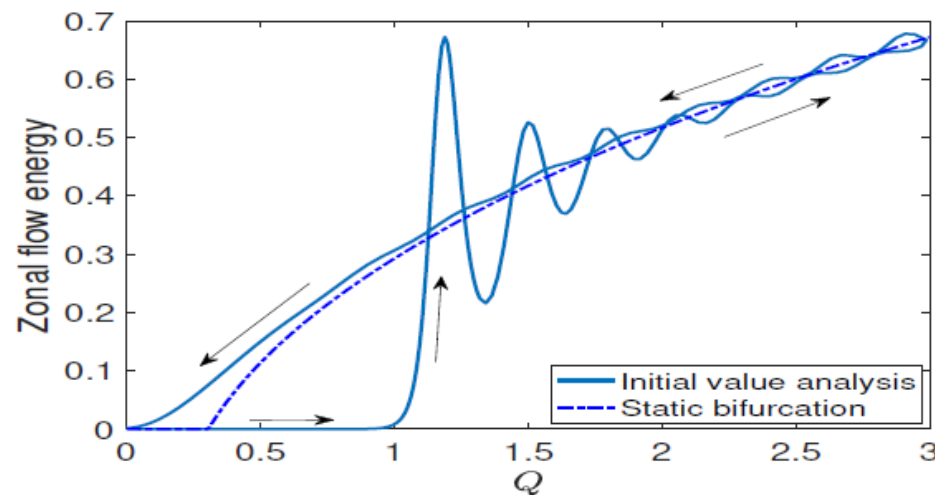
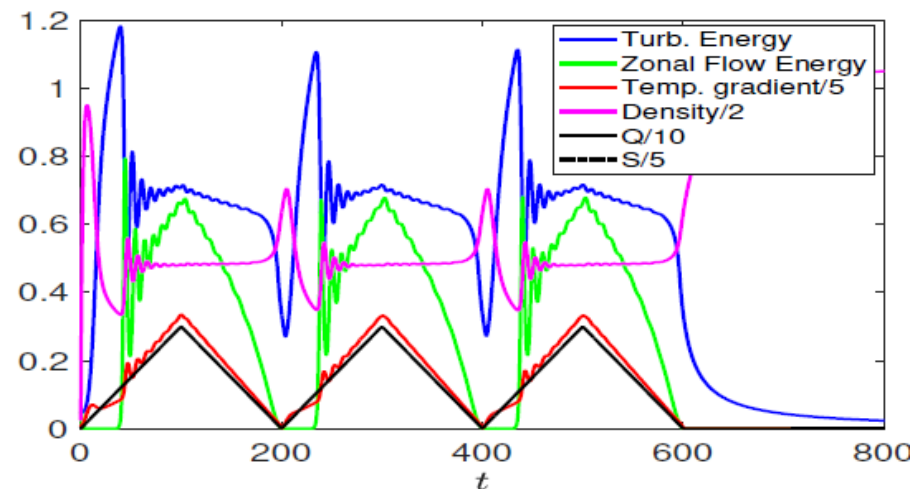
Beyond Scalings: L→DL 'Transition' Physics

"If it Flux Like a Duck... (M.N. Rosenbluth, after F. Wagner)"

- Hysteresis ! in ε_{ZF} vs Q → Critical slowing down effect
- Expected, given 2 states transport
- Not familiar bistability ! → slow mode
- Physics prediction... beyond scaling

Also:

- Is there torque effect of density limit, i.e. $\nabla P/n$ vs $B_\theta V_\phi$?
- Torque $\leftrightarrow V'_E$ → Mean field
→ Reyn. stress coherence



Critical Experiments

- i) NT – Expanding the Dynamic Range of Power for LDL
(R. Hong+, in prep.)**
- ii) Bias Probe – Separating Power, V'_E , α
(R. Ke, P.D.+ NF 2022)**

Critical Experiment I: NT Density Limit Studies (DIII-D) (Sauter, Hong+ 2024)

Stay Tuned

- $\bar{n} \sim 2 n_G$ achieved with ~ 10 MW NBI.
- NT greatly expands dynamic range of L-mode by preventing L \rightarrow H transition. Allows separation LDL, HDL.
- \bar{n}, n_{edge} both scale as P^α

$$\bar{n} \rightarrow \alpha \sim 0.3$$

$$n_{sep} \rightarrow \alpha \sim 0.4$$

Caveat Emptor

- Confinement degrades above n_G ? – Major question...
- Disruption for $\alpha \leq 1$ at resonant q ! $\rightarrow \alpha(r)$!?

NB: High β_p , peaked density DIII-D dose not degrade τ_E above n_G (DIII-D; Ding, Garofalo+ ...

- Further NT DL experiments coming soon. Includes torque scan. c.f. Nature 2024)

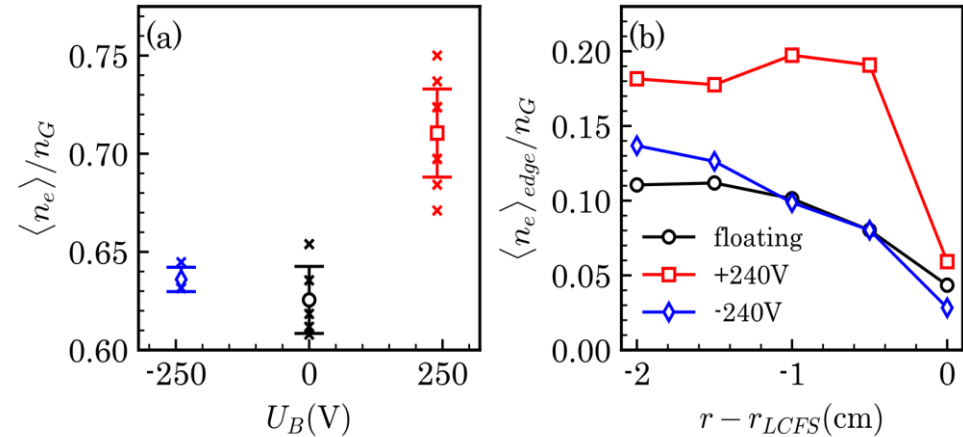
The Obvious Question

- Can driving the shear layer sustain high densities, where $L \rightarrow DL$, otherwise ?
- “Driving” \rightarrow bias electrode – here (J-TEXT). Not a conventional H-mode
- Long history of bias-driven shear layers in $L \rightarrow H$ saga – R.J. Taylor, et. seq.
- Recent: Shesterikov, Xu et. al. 2013 - Textor
- Electrode $\rightarrow J_r \rightarrow V_\theta \rightarrow V'_E$ etc. Drive an edge ExB shear layer
- New Here?
 - High Density
 - Gas Puffing \rightarrow push on DL
 - Analysis

c.f. Rui Ke, P.D. + NF 2022

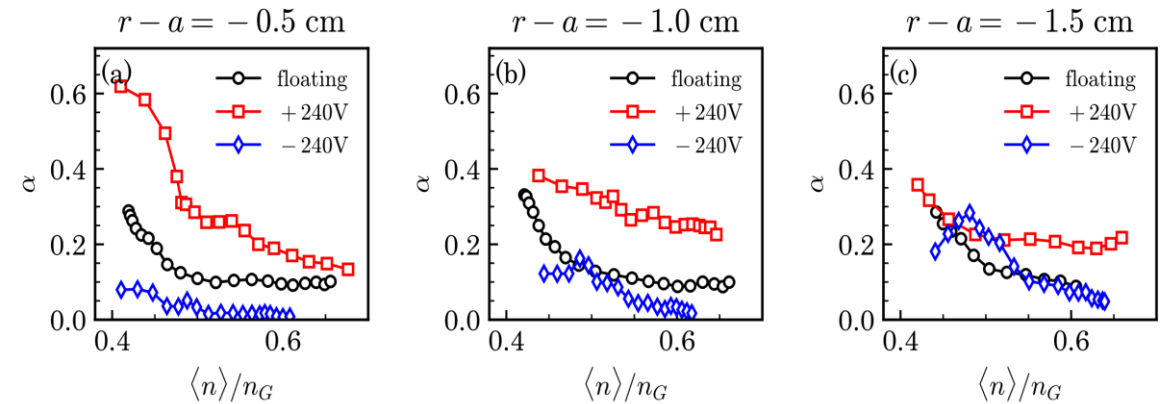
The Answer – Looks Promising!

- Edge density doubled for +240V bias
- $\bar{n}_{\max, \text{bias}} > \bar{n}_{\max, \text{float}}$
- Note: $\bar{n}_{\max, \text{float}} \sim 0.7n_G$



Experiment limited by graphite probe sputtering

- Key parameter?
 - α systematically higher with +bias
 - $\alpha \sim T^2 / n$
 - ← Reduced transport → higher T

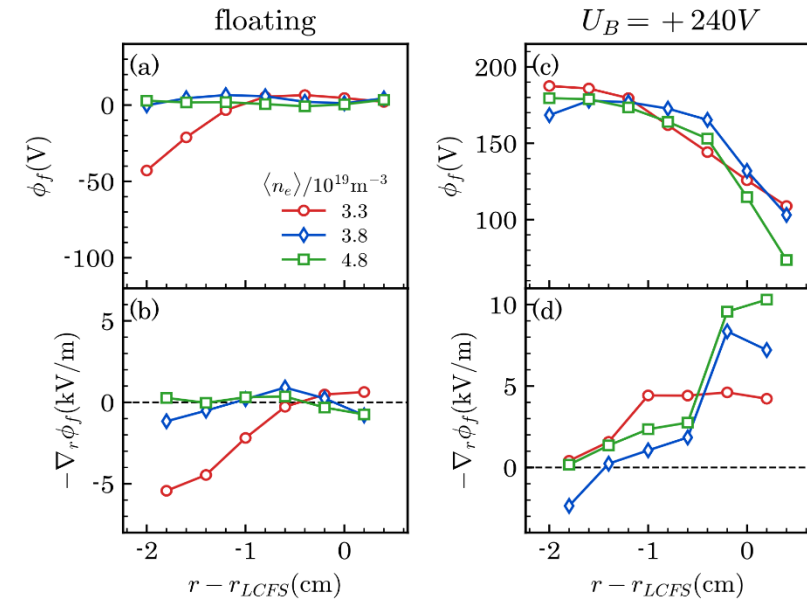


- Turbulence spreading quenched by positive bias

The Physics

- Edge Shear Layer produced for +bias

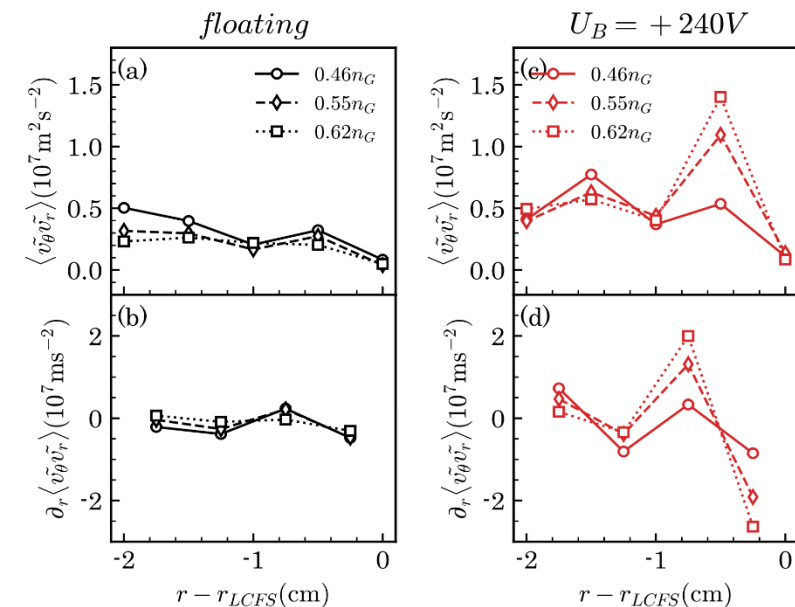
N.B. Not an E_r well



- Reynolds stress, force increase for +bias

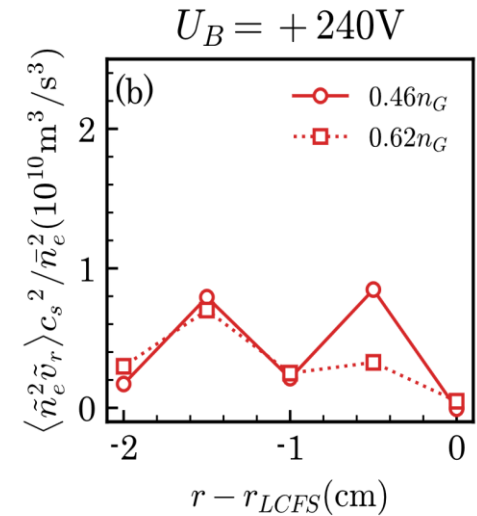
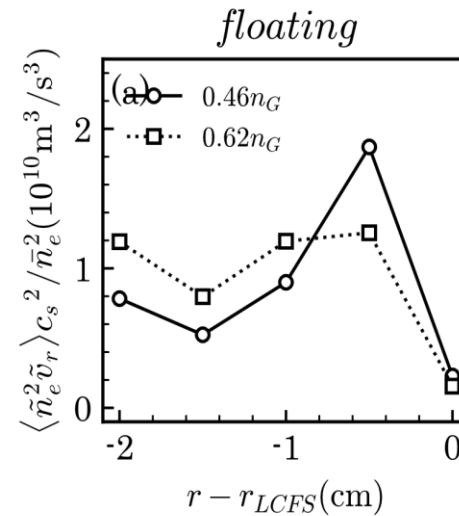
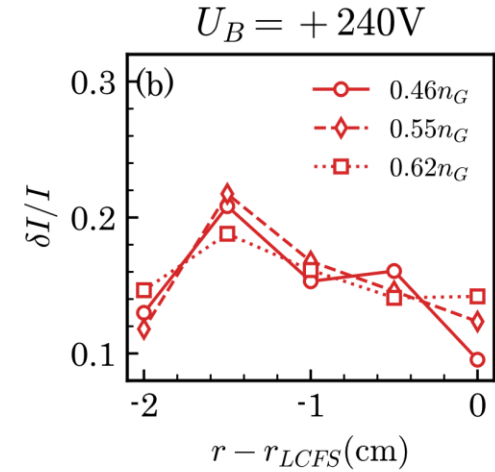
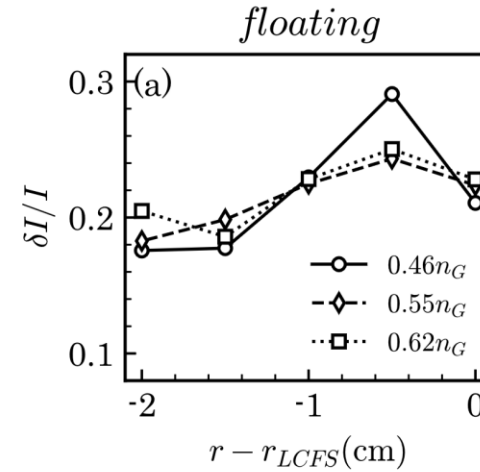
\leftrightarrow bias effect on eddy alignment

“Shearing” \leftrightarrow interplay of bias and Reynolds stress



The Physics

- $\delta I/I$ ($\rightarrow \tilde{n}/n$) fluctuations sharply reduced by +bias

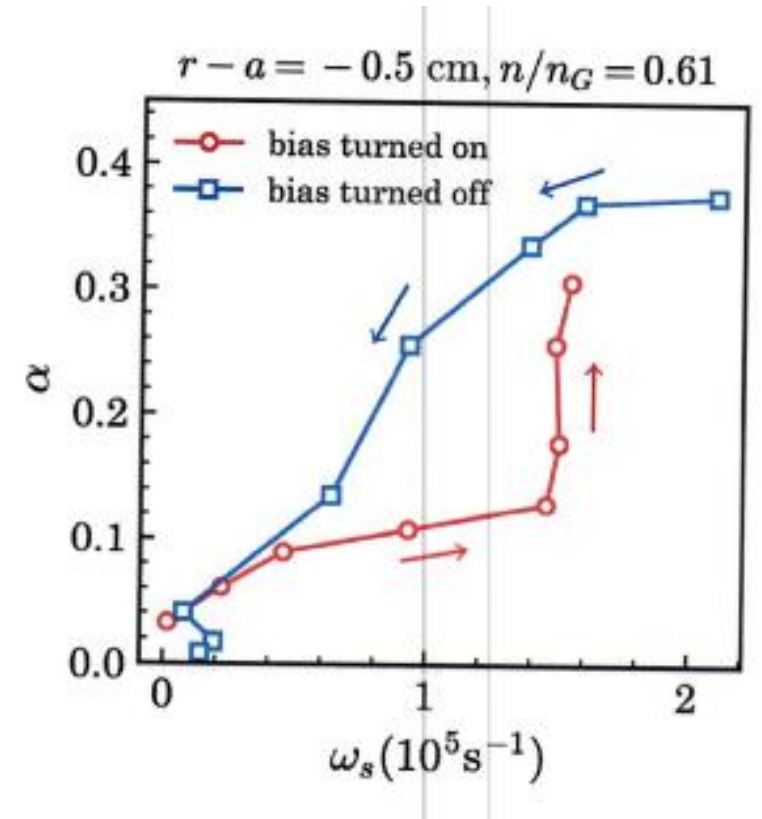


$$\langle \tilde{v}_r \tilde{n} \tilde{n} \rangle$$

- Turbulence spreading quenched by +bias

Key Parameter vs Control Parameters

- α vs ω_{shear} exhibits hysteresis loop
- Cntr clockwise rotation $\rightarrow \omega_{shear}$ 'leads' α
- Is α unique 'key parameter'?
- For drift waves, $\alpha \sim T^2/n$
 - \rightarrow shear $\uparrow \rightarrow$ turbulence $\downarrow \rightarrow$ heat transport \downarrow
 - $\rightarrow \alpha$ increases
- Is ω_{shear} the control parameter?



Ongoing and Future Work

- Bias experiment with improved probe
- Ip scan vs n/n_G scan ? – obvious ‘Greenwald test’ (Long+ 2024):

Ip ramp down explained via $\omega_{shear} \tau_{cor}$

- Physics of spreading (Long, PD+ 2024)
 - Spreading \leftrightarrow Blob emission
 - Broken symmetry: “Spreading” dominated by large blobs

From L-DL to H-DL – More shear layer degradation

- H-mode density limit is back transition H→L at high density, usually followed by progression to $n_{\text{Greenwald}}$
- Key issue: Gentle “pump-and-puff” (Mahdavi) has beat Greenwald \leftrightarrow strong shear layer... Not a clear boundary...
- Candidates

– AUG: α_{MHD} at separatrix (Eich, Manz)

– Goldston, Brown: Conduction broadens SOL, reduces $V'_E \rightarrow$

$$\lambda: v_D * \begin{cases} \cancel{\tau_T} \\ \tau_{\text{cond}} \end{cases} \quad (\text{collisionality !})$$

So – instability calculated & inward spreading hypothesized

$$\gamma = c_s / (\lambda R)^{1/2} - \phi / \lambda^2$$

- Experiments needed!

$$\gamma_{Int} \quad V'_E$$

c.f. Dog + Tail ? \rightarrow track inward spreading ?!

N.B. Physics of Back Transition is key to HDL. What degrades ExB shear, absent ELMs

L-DL to H-DL, Cont'd

- SOL scenario
 - Do SOL turbulence levels increase in conduction dominated regime. Critical n ?
 - Is there inward spreading from SOL \rightarrow pedestal ?! ETB penetration ?!
 - Critical pedestal fluctuation level to degrade ETB?
- α_{MHD} scenario
 - Does $\nabla P|_{sep} \sim \nabla P_{crit}$ drive pedestal fluctuations
 - E_r decay \rightarrow pedestal stochastization
 - Collisionality dependence?

Computation Wish List – 2 Numerical Experiments

- High n ped. + fueling
 - $\nabla P|_{sep} \rightarrow \nabla P_{crit}$ response
 - Inward propagation due resp
 - Evolution of V'_E
- Return of local SOL turbulence in conductive regime?
 - Is it possible for turbulence to penetrate pedestal?
 - Conditions to degrade/destroy V'_E

Speculations / Questions

- Is H-DL due turbulent degradation of V_E' in pedestal? Mechanism?
- Can external means be used to enhance edge density?
- Collisionless regimes? - ∇_n TEM.
- Is there a L-mode edge with $\alpha > 1$ and $n > n_G$?
- D-L-H triple point, ala' phase transitions?
- New states:
 - Power – Density feedback loop in burning plasma?
 - Neg. Tri. at high n , P ? Features of edge plasma?
- Origin of confinement degradation at high density?

More Thoughts for ABOUND

- Edge shear layer evolution during gas puff \rightarrow cooling, spreading (Blobs) response
- Grand Challenge: Integrate Transport + MHD (“Causality Simulation”)
 - When does enhanced transport trigger condensation + island growth ?
 - Combine: turbulence + radiation + MHD
 - Recovery for small perturbations ?! – Necessary for credibility
- Physics of Power Dependence \rightarrow mean shear, ZF? Negative Triangularity
desirable \leftrightarrow DIII-D comparisons
- Need combine GK + BOUT

Thank You !

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