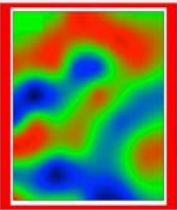


# Persistence and Evolution of “Staircase” Profiles in a Fluctuating Vortex Array (Relevant to near-marginal systems)



US Transport  
Task Force

May 2-5 Madison, Wisconsin



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Transport Task Force 2023  
May 2-5 Madison, Wisconsin

UC San Diego

# Outline

- 1) **Background**
- 2) **Fixed Cellular Array (FCA) Problem**
- 3) **Relaxing FCA with Fluctuating Vortex Array (FVA)**
- 4) **Results**
  - a) Staircase Profile in the FVA
  - b) Transport in the FVA
- 5) **Conclusion**

# Background

# Near-Marginal Systems

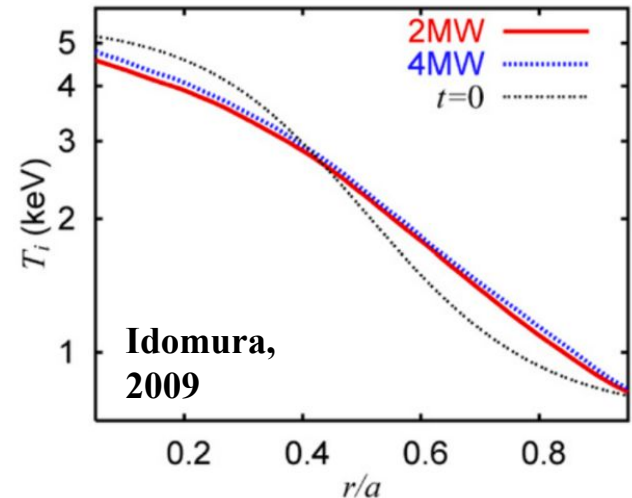
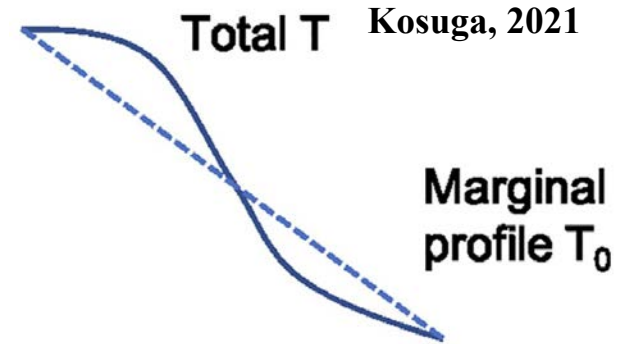
## Near-Marginal

- Weak turbulence
    - $E \times B$  convective cells and magnetic islands excited but not strongly overlapping.
- Instabilities are excited but not so strong as to produce large transport.

## Characteristic of Stiff profiles

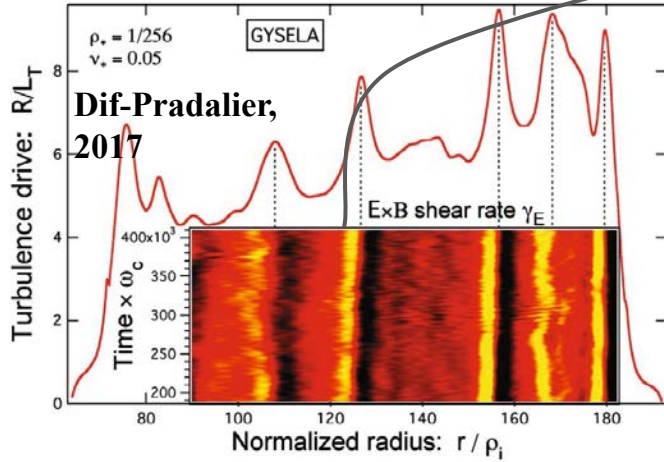
- I.e., Profiles that adopt roughly the same shape regardless of the applied heating and fueling profiles

Near-marginal plasmas can sometimes naturally evolve towards a **globally organized** critical state of micro-barriers and strong avalanche-like transport.



# $E \times B$ Staircase

$E \times B$  staircase current subject in M.F.E



Yellow and black colors are a rapid transition of the direction of flows around peaks in turbulence drive.

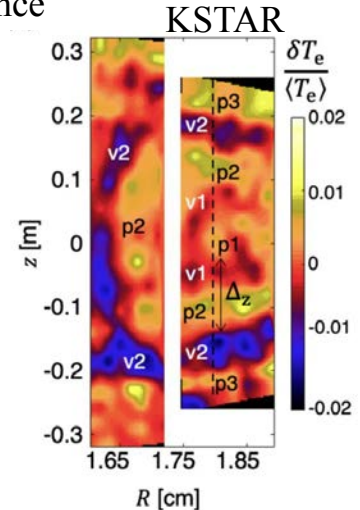
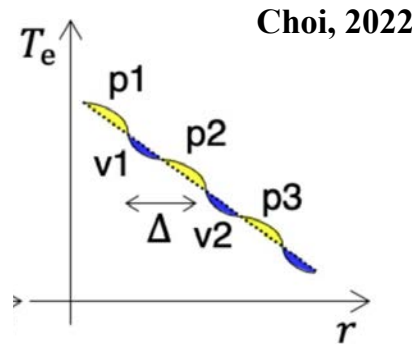
## Some Questions

- How does staircase beat homogenization?
- Is the staircase a meta-stable state?
- What is the minimal set of scales to recover layering?

**Context:** Flat spots of high transport and nearly vertical layers acting as mini-barriers coexist. In plasmas, avalanches happen in flat spots and shear layers due to zonal flows occur in the areas of mini-barriers.

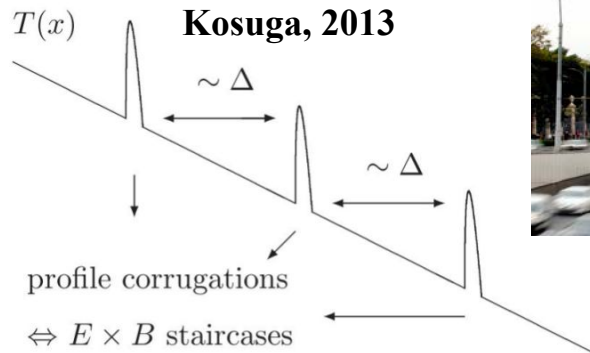
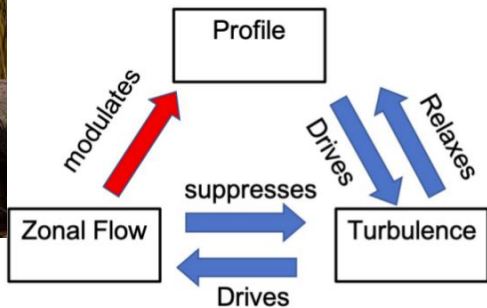
Suggested ideas:

- $E \times B$  shear feedback, predator-prey
  - Zonal flows predator and turbulence intensity prey
- Jams



Jet like patterns in  $\delta T / \langle T \rangle$  image correspond to staircase corrugations

# Conventional Wisdom



Two ideas from self-organization that can explain the  $E \times B$  staircase are  $E \times B$  shear feedback and jams.

Useful to view DW turbulence and ZF as separate populations, which interact via a “predator-prey” feedback loop:

- DW (the prey) grow due to the gradient (instability) drive, while ZF (the predator) “feed” upon the DW population by Reynold stresses.

For **jams**, it is useful to draw inspiration from traffic flow theory (Key element: time delay)

- A driver’s early reaction maintains smooth traffic, while **longer reaction triggers jams**.
- For flux driven turbulence, heat flux jams occur when there is sufficient time delay between temperature modulations and local heat flux.
  - Leads to growth of shock trains...

**But**... is there an even **simpler** physical mechanism that can produce **layering**?

**Answer: Yes (e.g., pattern of cells)**

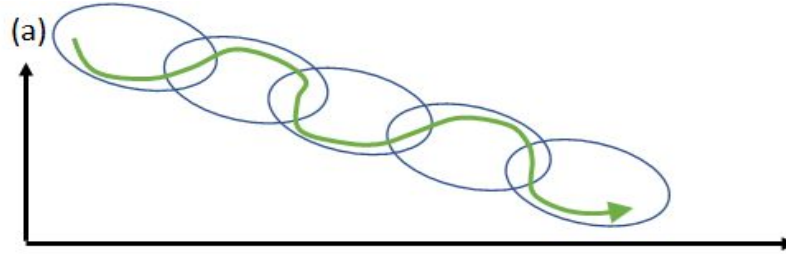
# **FCA Problem**

(another way to get a Staircase)

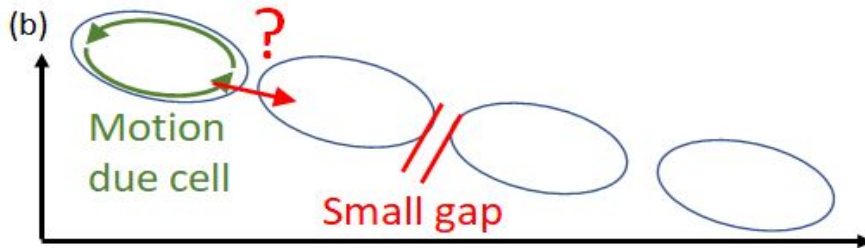
# FCA Problem (similar to $E \times B$ convection)

Transport of particle between non-overlapping or marginally overlapping cells (**characteristic of near marginal**) is an important topic in fusion plasma.

Overlapping case: particles can transport directly from cell to cell, wandering along streamlines



Nearly-overlapping case (cells sit at near overlap): transport is a synergy of motion due to cells and **random kicks** (Collisional diffusion, ambient scattering) thru gap regions.



- Characteristic of **near marginal**.
- The transport over gap is random kicks (ambient diffusion): collisions, **micro-turbulence**.
- **Coexistence of:**
  - ~ **Fast transport** - Mixing in cell
  - ~ **Slow transport** - Kicks between cells

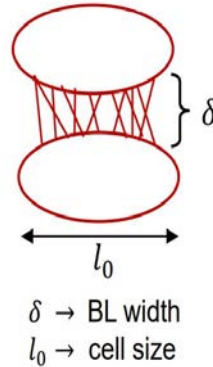
N.B.: "Profile stiffness" → Cells near overlap  
→ Rapid increase in transport prevents strong overlap



# What of Interest?

## ● Relevant to key question of “near marginal stability”

- Representative of state in marginal stability.
  - Stiff systems hovering near threshold (relevant question)
- **Natural candidate to near marginal stability!**
  - Zonal (mean) flows
  - similarities SOC (fronts, spreading,...)
  - Staircases



### Back-of-Envelope Calculation

$$D^* \approx f_{\text{active}} ((\Delta x)^2 / \Delta t);$$

$$f_{\text{active}} \equiv \text{active fraction} \sim \delta / \ell_0$$

$$\Delta t \sim \ell_0 / v_0 \rightarrow \text{cell circulation time}$$

$$\text{So, } \delta^2 \sim D \Delta t \sim D \ell_0 / v_0$$

$$D^* \sim [(D \ell_0 / v_0)^{1/2} 1 / \ell_0] (\ell_0^2 / \ell_0) v_0 \sim [D D_{\text{cell}}]^{1/2} \sim D Pe^{1/2}$$

Consider a **general** case of a system of eddies not overlapping but tangent → **Staircase**

**Transport?** Answer:  $Deff \sim D Pe^{1/2}$  **{Not a simple addition of process!}**

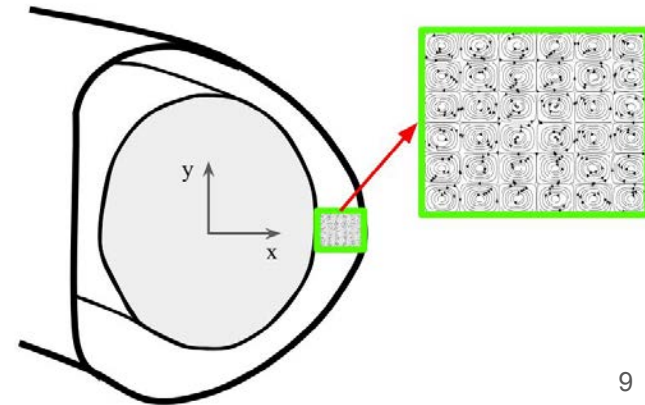
→ Two time rates:  $\tau_H = d / v$  (fast),  $\tau_D = d^2 / D$  (slow)

→  $Pe = v d / D \gg 1$

$$\frac{\partial n}{\partial t} + \mathbf{u} \cdot \nabla n = D \nabla^2 n,$$

### **Profile?**

Consider concentration of injected dye (passive scalar transport in eddies) → profile

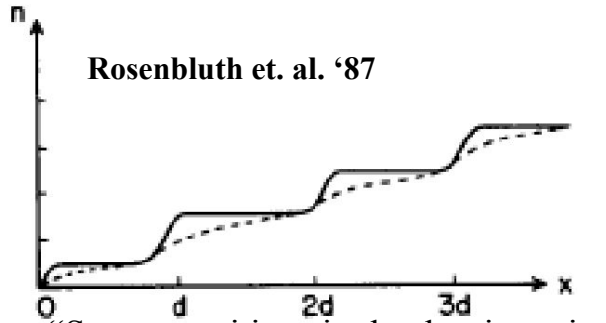


# FCA → Staircase!

$$\frac{\partial n}{\partial t} + \mathbf{u} \cdot \nabla n = D \nabla^2 n,$$

## Profile?

Consider concentration of injected dye (passive scalar transport in eddies) → profile



“Steep transitions in the density exist between each cell.”

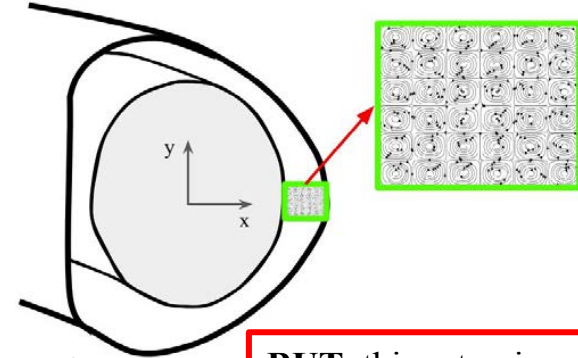
Relevant to key question of “near marginal stability”

- Layering!
- **Simple** consequence of **two rates**
- “Rosenbluth Staircase”

## Important:

- **Staircase** arises in stationary array of passive eddies (Note that there is no FEEDBACK)
- Global transport hybrid:
  - fast rotation in cell
  - slow diffusion in boundary layer
- Irreversibility localized to inter-cell boundary.

**Staircase arises in an array of stationary eddies!**



**BUT**, this setup is contrived, NOT self-organized!!!  
Cellular array is severely constrained!

What about the dynamics of a **less constrained** cell array (i.e., vortex array with fluctuations) ?

# Relaxing FCA with FVA

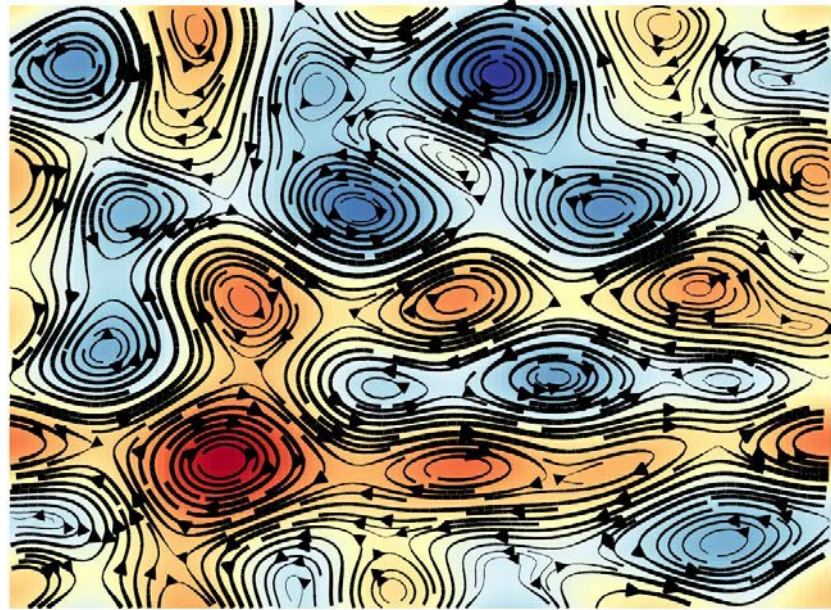
# Consider a Broader Approach

- We want to study a much more **general** and **less constrained** version of the cell array.
  - Consider a vortex array with fluctuations; jitters.
- How **resilient** is the staircase in the presence of these small variations to a fixed vortex array?

In the process of studying the **resilience** of the staircase, we aim to answer the following:

1. What happens to interspersed regions of strong scalar concentration mixing as cells relax? What about general cell interactions/behavior?
2. What is the behavior of the scalar trajectory through the VA?
3. How does the increase of scattering in the VA affect the transport of scalar concentration?

Example of **less constrained** cell array



To answer these questions, we use the idea of a **Melting Vortex Crystal...**

# Fluctuating Vortex Array

Why are we doing this? We know that a system with two disparate time scales forms a staircase!

- Now consider fluctuations... → Will staircase survive?  
Vortex array is an alternative way to view convection cells!

→ We begin with the 2D NS equation that can be written in nondimensional form (Perlekar and Pandit 2010),

$$\left( \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla \right) \omega = \frac{1}{\Omega} \nabla^2 \omega + F_\omega - \alpha \omega, \quad \nabla^2 \psi = \omega.$$

$$Re = \frac{(\mathbf{u}' \cdot \nabla') \mathbf{u}'}{\nu \nabla'^2 \mathbf{u}'} = \frac{F_{\text{amp}}}{\nu^2 k^3}$$

→ The “vortex array” is simply the array of cells and “fluctuation” is related to turbulence induced variability in the structure. The fluctuating vortex array (FVA) allows us to study a **less constrained** version of the array! **Improved model of cells near marginality.**

→ The fluctuating flow structure is created by **slowly increasing the Reynolds number** in the NS equation

$$\Omega \equiv n Re$$

→ By increasing the Reynolds number this modifies the forcing and drag term, thus, **scattering** the vortex array. The **resilience** of the staircase is studied by **increasing disorder** in the vortex crystal through  $F_\omega$

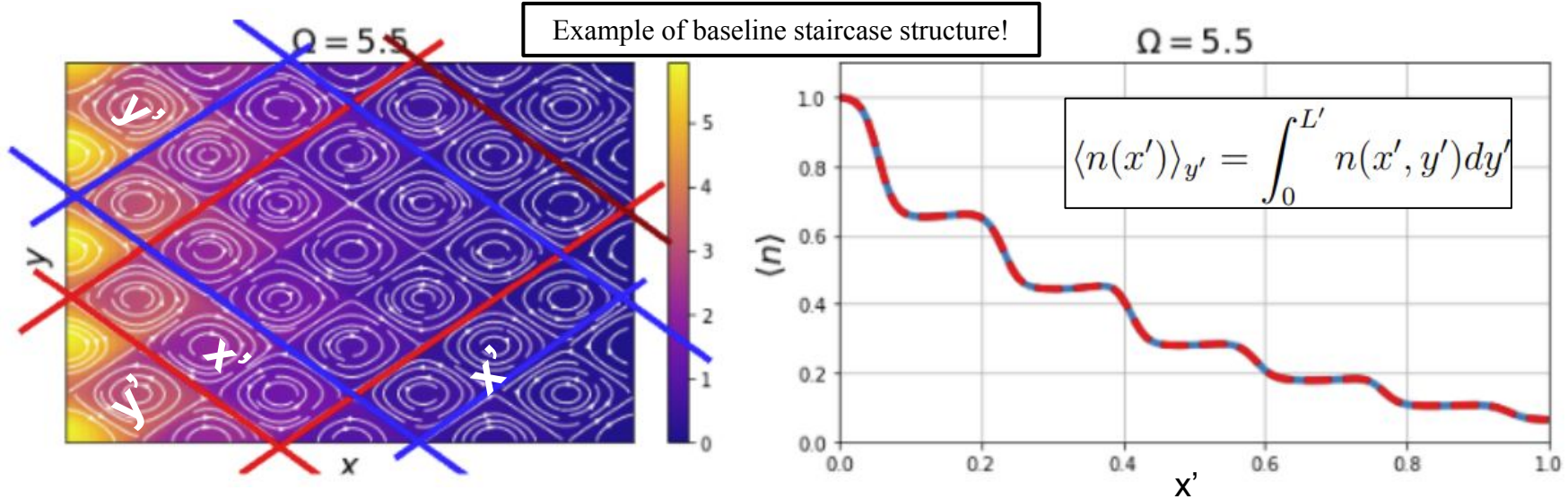
$$F_\omega \equiv -n^3 [\cos(nx) + \cos(ny)] / \Omega$$

The streamfunction,  $\psi$ , at different evolutionary stages of the “fluctuating” vortex array is inserted into the passive scalar equation to study the resilience of the staircase structure.

# What Happens to Staircase?

	Vortex Field	Drift-Wave Turbulence (tokamak)
Inhomogeneity (free energy source)	$\nabla n$	$B_0, \nabla n,$ and $\nabla T$
Reynolds number ( $Re$ )	$Re = 1 - 10$	$Re = 10^1 - 10^2$ (Landau Damping)
Flux	Scalar	Turbulent Heat
Zonal Flow	Boundary layer between cells	$\mathbf{E} \times \mathbf{B}$ shear flow (poloidal)

# The Staircase

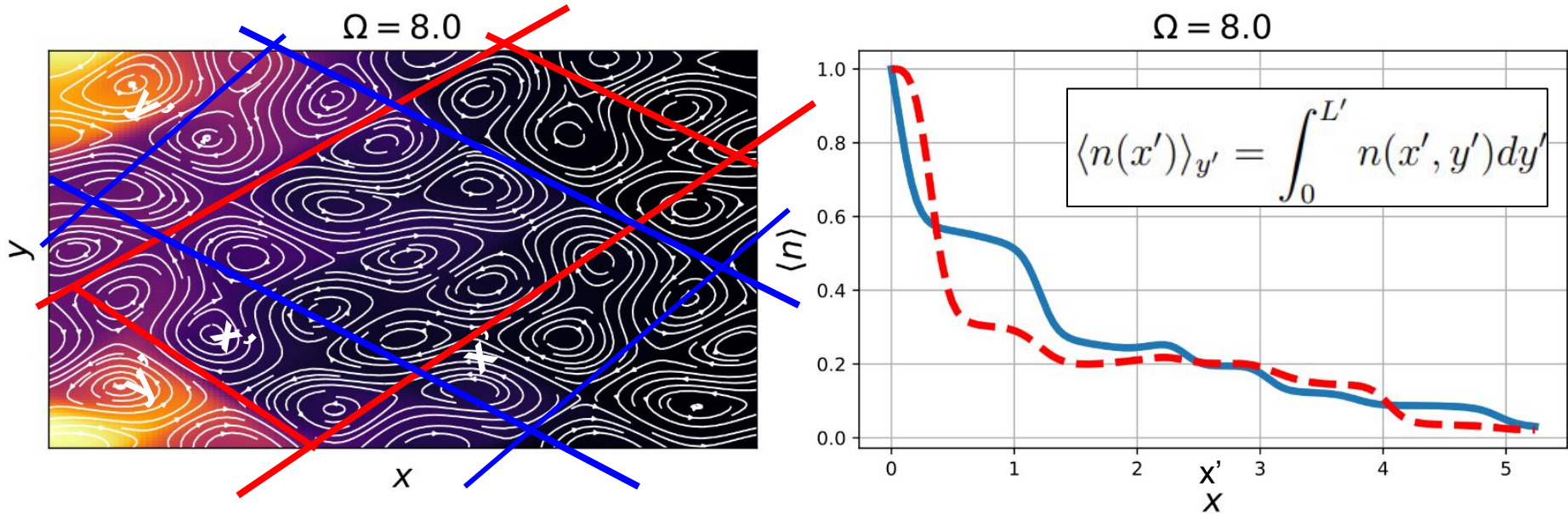


- For a weakly FVA we get a **baseline staircase** structure.
- On the left figure the blue and red box correspond to the blue and red plot line on the right. Note that **steps** are **evenly spaced**!
  - Both blue and red average scalar concentration have the same profile in stable stage.

So what happens to the staircase if we increase the Reynolds number in the VA?



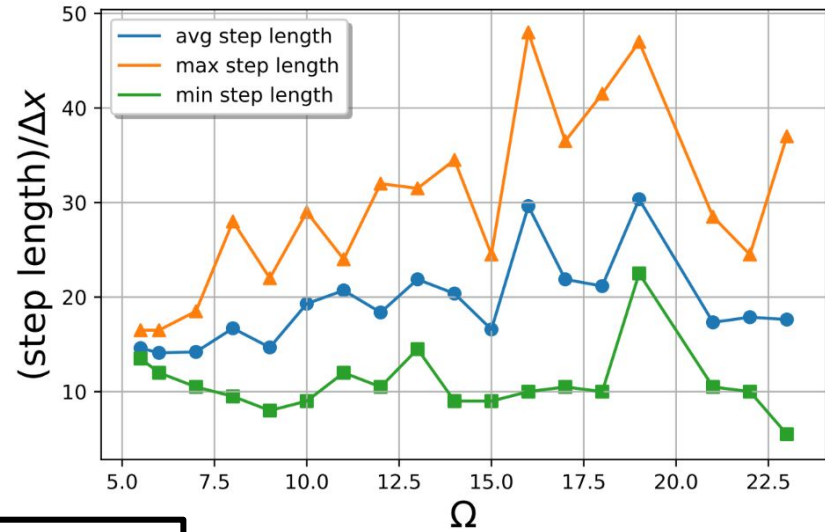
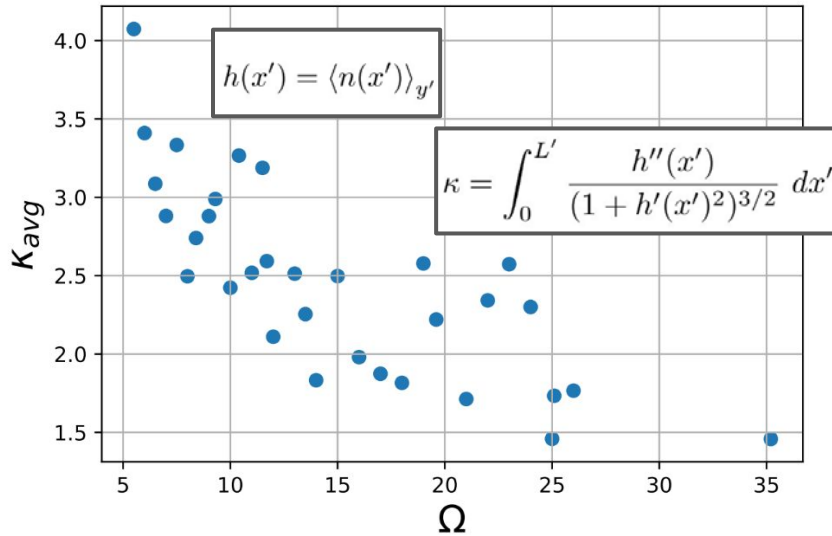
# Staircase Resiliency to Fluctuations



- As we **increase fluctuations in VA** through  $\Omega$ , we can see **merger/connections** of vortex structures in the flow.
- These **vortex mergers** are shown in the scalar profile plot as **mergers in steps**.  
→ As we increase jittering, staircase steps merge together.



# Behaviour of Staircase as Cells Fluctuate



- To quantify the different stages of the fluctuating process, we look at the **curvature & step length** in scalar concentration.
- In general, as we **increase  $\Omega$** , the **curvature decreases**.
  - Steps are starting to merge together as we increase  $\Omega$ , thus scalar profile has less curvature.

**Main Point:** Despite that vortex array becoming more turbulent, the staircase structure does not collapse.

- Staircase steps become **less regular**. They merge into longer steps.

# **The Scalar Field**

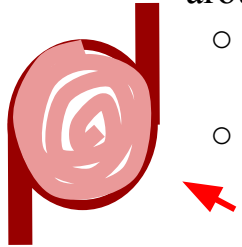
(transport in the FVA)

# The Web

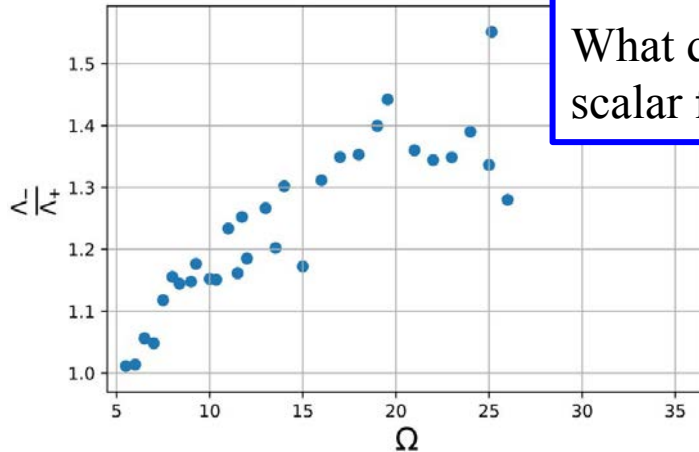
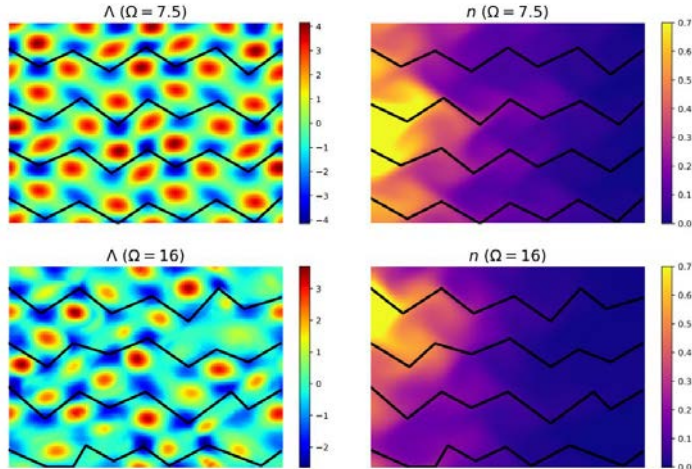
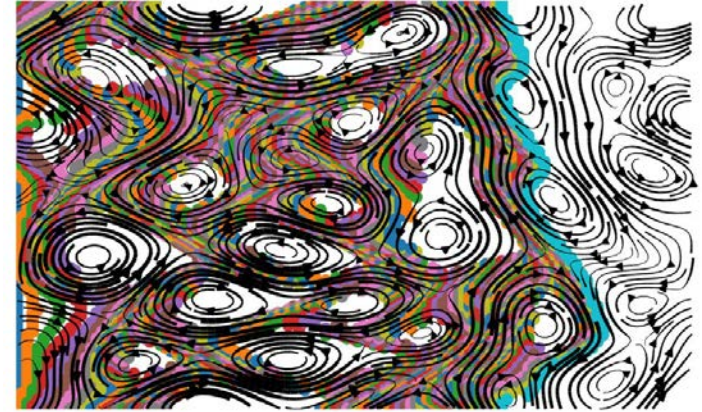
$\Lambda = \text{mean sq. vorticity} - \text{mean sq. shear}$

Before the **staircase** structure forms, scalar concentration field forms a “**web**”:

- Scalar flows **quickly in regions of strong shear** and around vortices!
  - Staircase **barriers form first!** Scalar travels along cell boundaries.
  - Overtime, vortex **entrains** scalar by a kind of “**homogenization**” process via the synergy of differential rotation and diffusion.



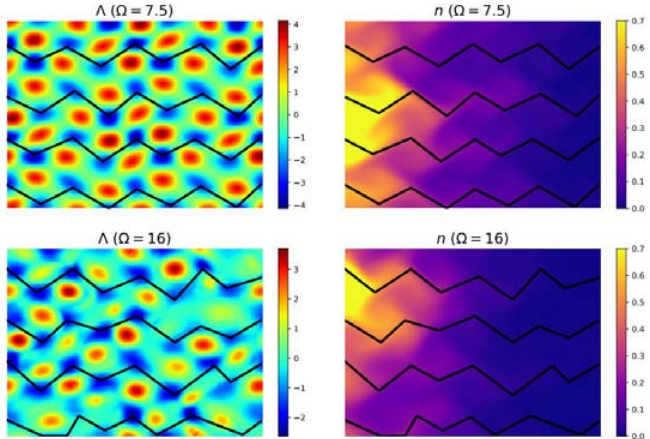
$\Omega = 18.0$



What does this mean for scalar **front propagation**?

**General idea:**  
Imaging of turbulence  
in near-marginal state

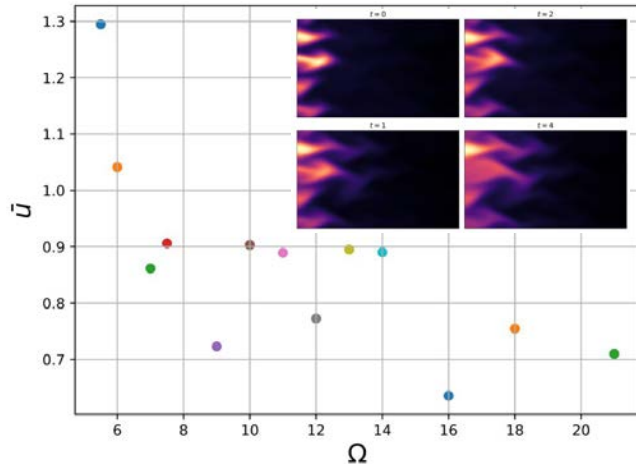
# Trajectory in Scattered VA $\rightarrow$ How Avalanches Propagate



Scalar concentration travels fast along areas of strong shear ( $\Lambda < 0$ )

- Using Okubo-Weiss field, we can **connect** regions of strong shear to their **nearest strong shear neighbor**.
- Path can be **mapped** to scalar concentration contour to show that indeed scalar travels along areas of strong shear.
  - Distance travel can be quantified.

Idea relevant here is the **least time criterion**. As the **vortex array fluctuates**, the **path of least time would increase in length**.



In addition to distance travel, we also **quantify the time** scalar takes to travel from one end to the other using a **pulse train**.

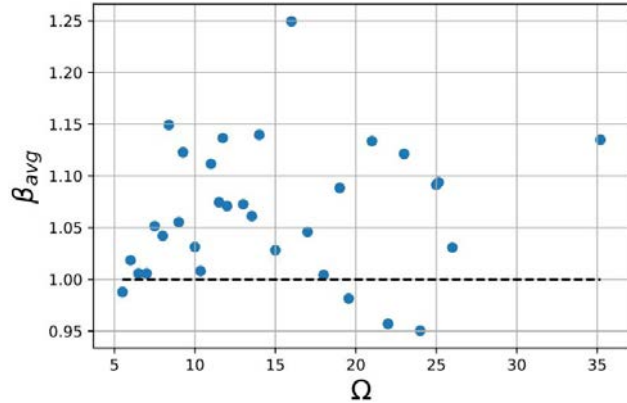
- As the scalar concentration gets injected into the flow, a **flamelet network pattern** forms (Pocheau 2008).
  - **Fingers propagate through array. Over time, the scalar slowly enters the vortex structures.**

The **scattering of vortices** leads to an overall **decrease** in scalar concentration **velocity**! Agrees with least time criterion (similar idea to scattered path of light in atmosphere).

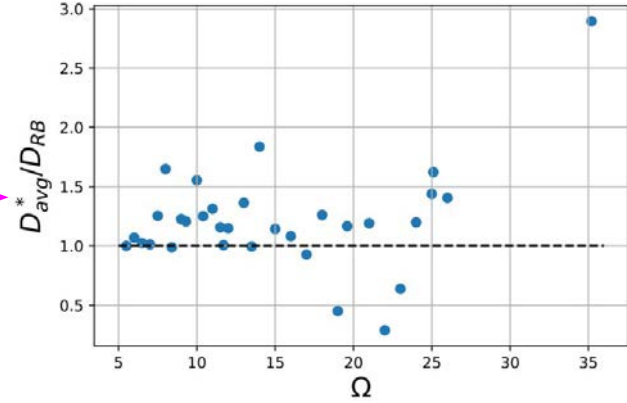
- **Staircase curvature and scalar velocity are proportional.**

# Transport in FVA

$$D^* \propto D\sqrt{Pe}$$



$$D^* \propto \sqrt{d_x \beta}$$



As cells fluctuate, the **effective diffusivity** deviates but **remains close** to the Rosenbluth effective diffusivity.

- **Note:** we fix flow velocity and background diffusivity.
  - Only **dimensions** of cells **affect transport**.

This **suggests** that the Rosenbluth effective diffusivity is a **good approximation** even if **cells are irregular!**

We find that as long as the **boundaries** and **speed** of the cells are **maintained**, the effective diffusivity and transport **does not change**.

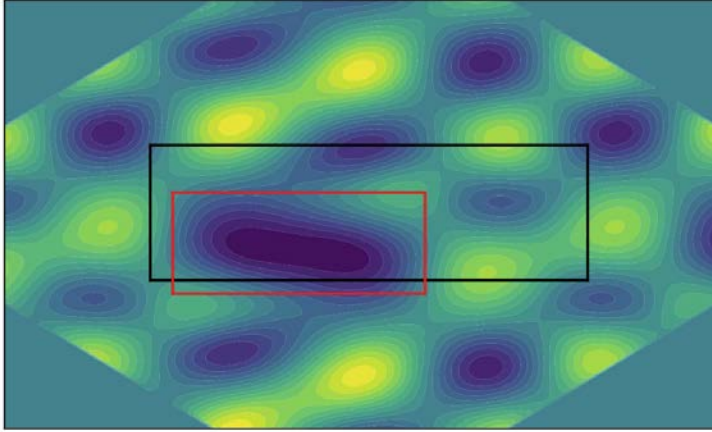
- Since effective diffusivity is **proportional** to  $\beta = d_x/d_y$ , only through **geometric properties** of the cells does **transport change!**

Effective diffusivity **increases/decreases** if the cells length along the gradient ( $d_x$ ) increases/decreases compared to the length perpendicular to the gradient ( $d_y$ ).

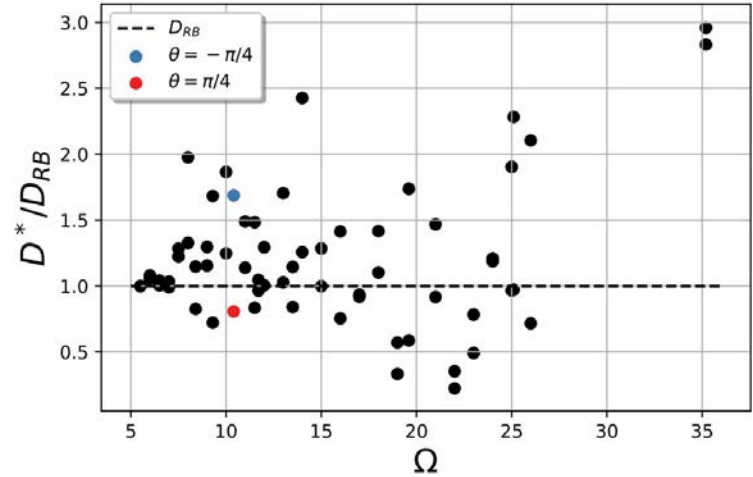
# Transport in FVA

$$D^* \propto D\sqrt{Pe}$$

$\theta = -45$



$$D^* \propto \sqrt{d_x \beta}$$



Effective diffusivity **increases/decreases** if the cells length along the gradient ( $d_x$ ) increases/decreases compared to the length perpendicular to the gradient ( $d_y$ ).

- Cells on average remain around  $\beta \sim 1$ , but there are cells that are larger in size due to cell mergers which cause the deviation of the effective diffusivity.

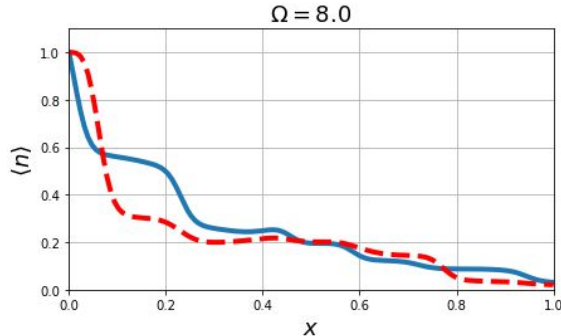
# Summary

- Staircase form and are **resilient** and **persistent** to increasing Reynolds number (i.e., fluctuating vortex array).
- Scalar concentration **travels along** regions of **strong shear** creating a “**web**” structure.
  - **IMPORTANT**: Staircase barriers form first! Vortex “homogenizes” scalar at a later time!
- The scattering of vortices leads to an overall decrease in scalar concentration velocity.
  - Agrees with **least time criterion**.
- If flow velocity and background diffusion are kept fixed, only **cell geometric properties** affect the effective diffusivity! ( $D^* \propto D Pe^{1/2}$ )
  - Effective diffusivity of the perturbed VA **does not deviate** significantly!

# Why would a fusion experimentalist care about this?

These results have interesting implications for experiment and theory:

1. Effective diffusivity derived by Rosenbluth *et al* (for fixed cellular array) is a suitable approximation for the fluctuating cellular array (**not simple addition**:  $D^* = D_0 + D_{\text{cell}}$ ).
  - Relevant to cells touching (similar to what we find near-marginal stability).
2. Staircase structure is resilient in the regime of low-modest Reynolds numbers (this regime is relevant to drift-wave turbulence).
  - Structures/Profiles are not exotic.
    - Staircase profile structure does not require special tuning.
3. Geometry of streamlines is important. If more saddles than close vortices, Heat avalanches will first form the staircase barrier.
  - Fluctuating cellular flow hinders avalanche propagation.



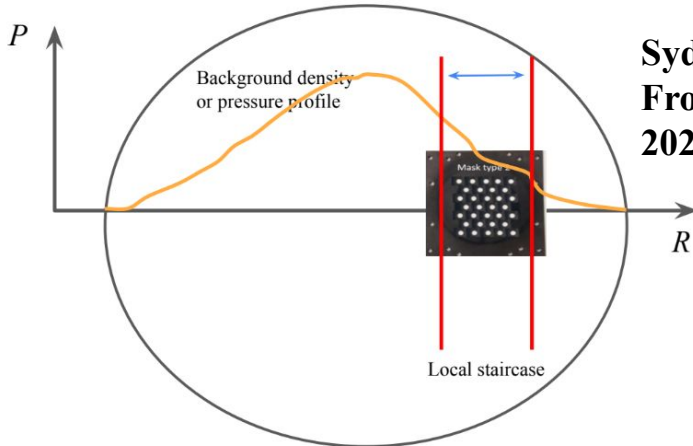
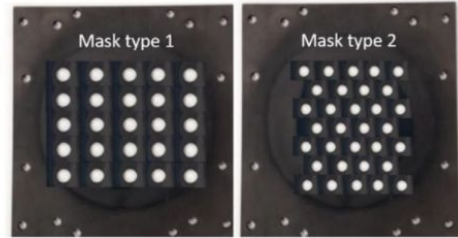
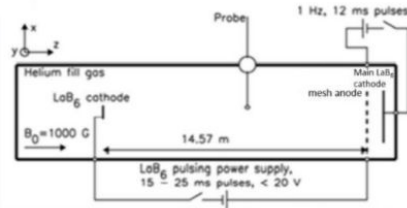
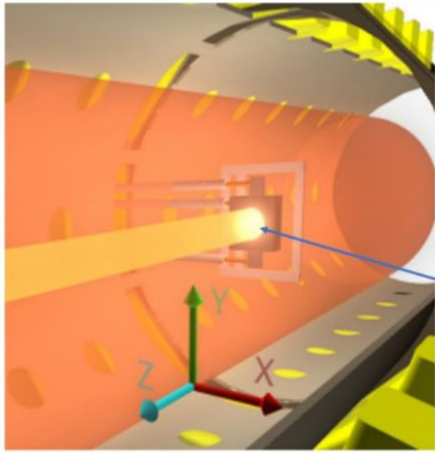
**IMPORTANT:** We can test the theory presented here with actual experimental data.





# LAPD Experiment

Experiment happening July 2023!



Sydora,  
Frontiers Proposal  
2022

A vortex array can be created in the large linear magnetized plasma device (LAPD)

- Modification of a cathode plasma source with designer masks that form multiple current channels in a cellular pattern → form staircase!
  - Experiment will be conducted in the afterglow phase of the main discharge.
- Staircase structure can be subject to controllable amount of low frequency density fluctuations, which act as a noise source.
  - Allow us to test hypotheses and models of staircase resiliency!

Results of experiment will yield a unique set of observations that can be used to test staircase models.

**Thank you!**