

Theory of mean $E \times B$ shear in a stochastic magnetic field: ambipolarity breaking and radial current

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Outline

- Motivation and background
 - Why? → Interaction and co-existence of stochastic B field and turb.
 - Key issues (L→H transition with RMP, island, stellarator, etc)
- Mean field model $\langle E_r \rangle$ —follow radial force balance
 - Key fundamentals:
 - *Break ambipolarity*
 - *Mean radial current density*
 - Turbulent transport
 - *Momentum transport (poloidal and toroidal rotation)*
 - *Particle transport*
 - *Ion heat transport*
- Applications
 - L-H transition with \tilde{b}^2 , 0D at present
- Implications and future work

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□ Applications

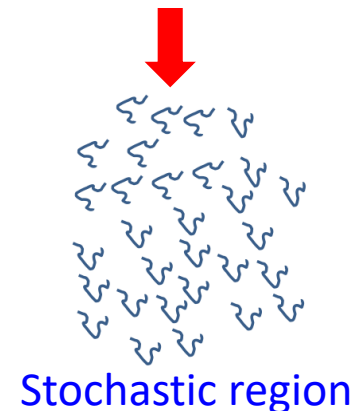
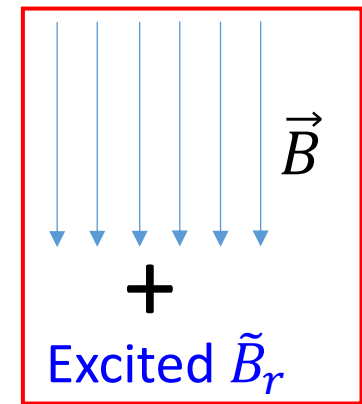
- L-H transition with \tilde{b}^2 , 0D at present

□ Implications and future work

Motivation

- 40 years ago, H-mode with enhanced confinement makes great sense! While, attention has shifted to control it for now (←ELM)
 - ✓ need to reconcile good power handling with good confinement
- Resonant magnetic perturbations (RMPs) suppress or mitigate ELM, and induces **stochastic layer**

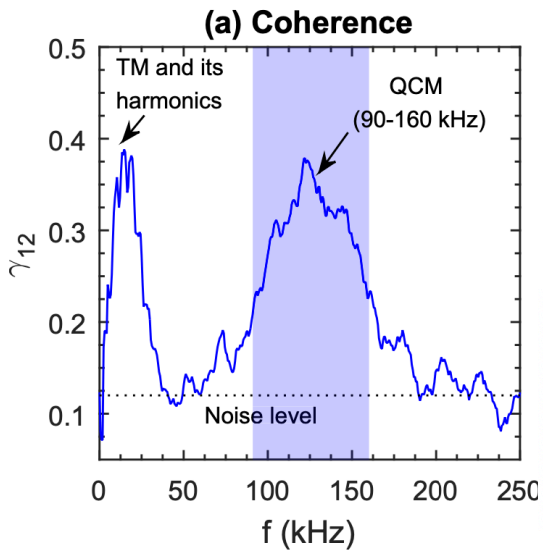
- ✓ **Stochastic** occurs when separation of magnetic field lines grows exponentially
- ✓ good working criterion for stochasticity: magnetic island overlapping
- ✓ degree of stochastization: auto-correlation length to the scattering length l_{ac}/l_c , where
$$l_{ac} = 1/|\Delta k_{\parallel}|, \quad l_c = \left(\frac{k_{\theta}^2 D_M}{3L_S^2}\right)^{-1/3}$$
with stochastic magnetic diffusivity $D_M = \sum_{\mathbf{k}} |\tilde{b}_{r,\mathbf{k}}|^2 |\pi \delta(k_{\parallel})|$



Operation with RMP encounter challenge : L \rightarrow H transition with a pre-existing, thin stochastic layer at the boundary

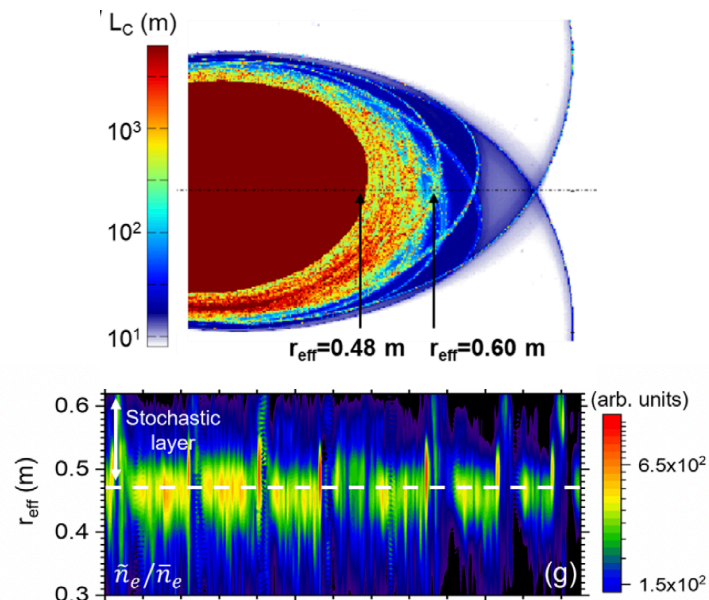
- **Interestingly, interaction and co-existence** of stochastic magnetic field and turbulence

Modulation of QCM (i.e., TEM) by 2/1 TM @ HL-2A



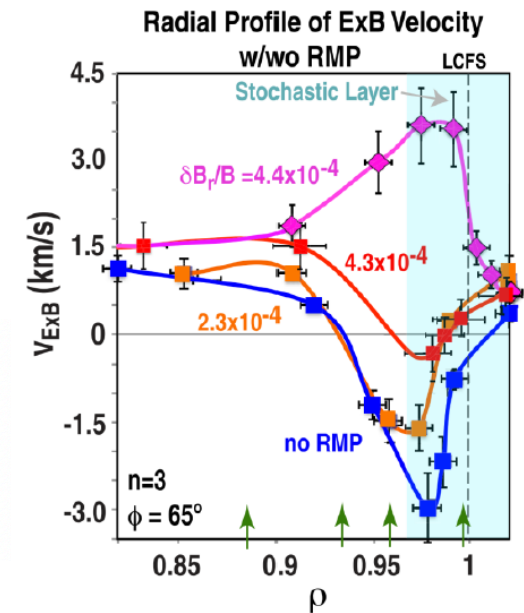
Min Jiang, NF 2020

Turbulence in stochastic region @LHD



Kobayashi, PRL 2022

L \rightarrow H occurs precisely in stochastic layer, outboard midplane separatrix @DIII-D

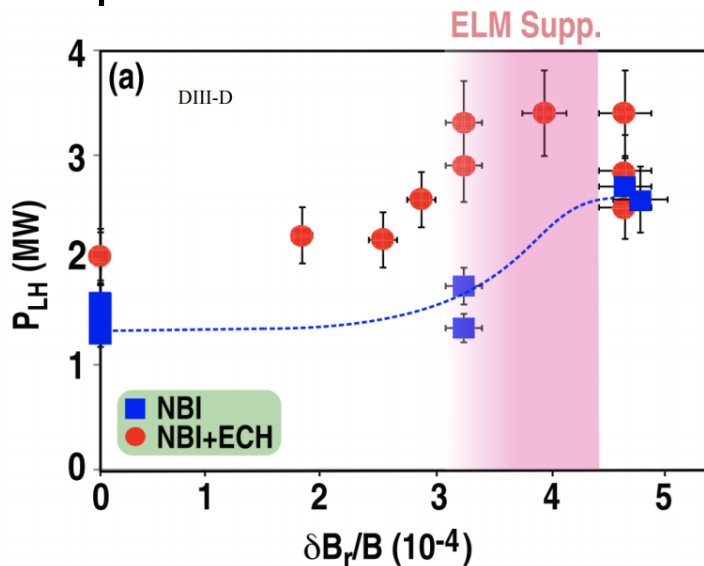


L. Schmitz et al, NF 2019

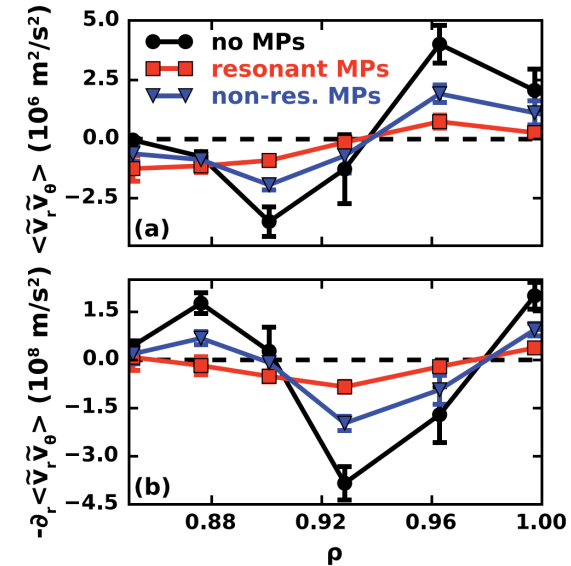
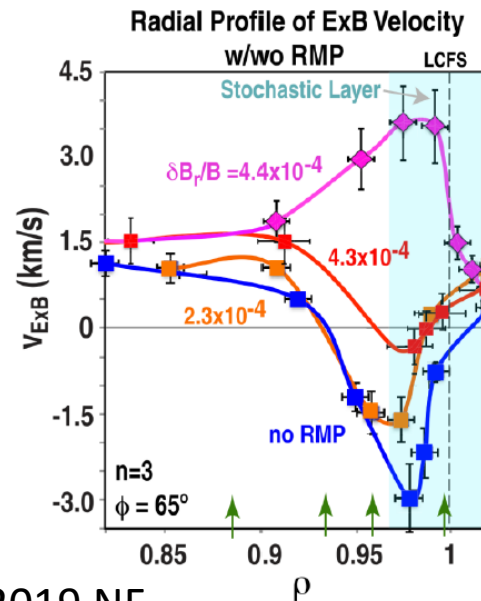
- ✓ Doubt?! But indeed localized precisely where the L-H transition is triggered
- ✓ Edge turbulence and flows evolve in stochastic layer \rightarrow physics of L-H transition conflated with RMP pump-out and Reynolds stress decoherence

Interactions among the plasma profiles, flows and turbulence preceding the L-H transition with RMP application are not clear

- Increase in the power threshold added new challenges to the understanding of the L → H transition
- An explanation: edge stochasticity and a resonant electromagnetic torque → reduction of the $E \times B$ flow shear → increase in the turbulent transport and a reduction of the Reynolds stress driven poloidal flow



Schmitz 2019 NF



Kriete 2020 PoP

It is of prime importance to understand the physics of $E \times B$ shear layer structure in a stochastic magnetic field

Our goal – understand effects of stochastic field on $\langle E_r \rangle$ and $\langle v'_E \rangle$

- In this work, we present a mean field theory for $E \times B$ shear in an ambient stochastic layer
- Novel approach: revisit mean radial electric field (radial force balance Eq.)

$$\langle E_r \rangle = \frac{\langle \nabla P_i \rangle}{en_i} - \frac{\langle V_\theta \rangle B_\phi + \langle V_\phi \rangle B_\theta}{\perp, \parallel \text{ flows} \rightarrow \text{momentum}}$$

$\langle v'_E \rangle$ ← $\langle E_r \rangle$
 Heat, particles
 \perp, \parallel flows → momentum

- ✓ Study turbulence, particle, momentum and heat transport to ascertain change of $\langle E_r \rangle$ due to stochastic B field.
- ✓ Goal is towards $\langle J_r \rangle \leftrightarrow \langle E_r \rangle$ relation— effective “Ohm’s law”
- Stochastic B-field, externally excited but self-consistent within plasma (Ampere’s law), enters $\langle J_r \rangle$
- $\langle V_\theta \rangle$, $\langle V_\phi \rangle$, $\nabla \langle P_i \rangle$ and $\langle n_i \rangle$ are all are modified by the mean radial current density $\langle J_r \rangle$ induced by $\langle \tilde{J}_\parallel \tilde{b}_r \rangle$

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Ambipolarity breaking $\Rightarrow \langle J_r \rangle$

- Most general expression of the net mean radial current density $\langle J_r \rangle$ from $\nabla \cdot \mathbf{J} = 0$

$$\frac{\partial \langle J_r \rangle}{\partial r} = \frac{\partial \langle \tilde{V}_r \tilde{\rho}_{pol} \rangle}{\partial r} + \frac{\partial \langle \tilde{b}_r \tilde{J}_{\parallel} \rangle}{\partial r}$$

with evident counterpart

$$\langle J_r \rangle = \langle \tilde{V}_r \tilde{\rho}_{pol} \rangle + \langle \tilde{b}_r \tilde{J}_{\parallel} \rangle$$



Both can break ambipolarity,
thus influence $\langle E_r \rangle$

- ✓ flux of polarization charge preferentially transports ions due to greater ion inertia:

$\tilde{\rho}_{pol} \rightarrow$ fluctuation vorticity $\nabla_{\perp}^2 \tilde{\phi} \rightarrow$ vorticity flux, i.e., Reynolds stress

- ✓ net flow along radially tilted field lines preferentially transports electrons:

How this relates to? **Maxwell stress !!!**

Ambipolarity breaking $\Rightarrow \langle J_r \rangle$

- Ambipolarity breaking due to stochastic field $\Rightarrow \langle J_r \rangle$

projection

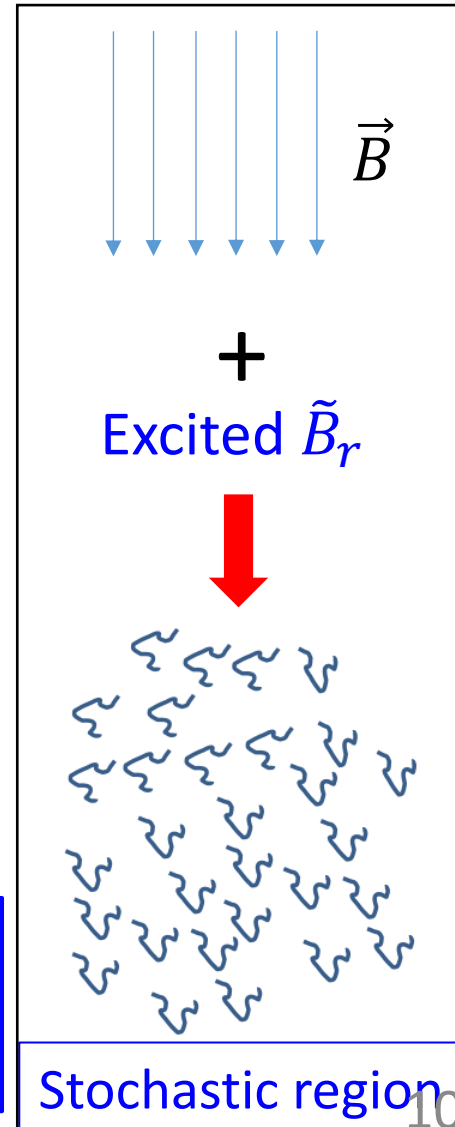
$$\langle J_r \rangle = \langle \vec{J}_{\parallel} \cdot \vec{e}_r \rangle = \frac{\langle \tilde{J}_{\parallel} \tilde{B}_r \rangle}{B} \quad \langle J_{\parallel} \rangle = \langle J_{\parallel,e} \rangle + \langle J_{\parallel,i} \rangle$$

- From Ampere law: $\tilde{J}_{\parallel} = -\frac{c}{4\pi} \nabla^2 \tilde{A}_{\parallel}$
(Self-consistency)

Stochastic field produces currents in plasmas

$$\begin{aligned} \langle J_r \rangle &= \frac{\langle \tilde{J}_{\parallel} \tilde{B}_r \rangle}{B} = -\frac{c}{4\pi B} \left\langle \frac{\partial}{\partial y} \tilde{A}_{\parallel} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \tilde{A}_{\parallel} \right\rangle \\ &= -\frac{c}{4\pi B} \frac{\partial}{\partial x} \left\langle \left(\frac{\partial}{\partial x} \tilde{A}_{\parallel} \right) \left(\frac{\partial}{\partial y} \tilde{A}_{\parallel} \right) \right\rangle = \frac{c}{4\pi B} \frac{\partial}{\partial x} \langle \tilde{B}_x \tilde{B}_y \rangle \\ &= \frac{cB}{4\pi} \frac{\partial}{\partial x} \langle \tilde{b}_x \tilde{b}_y \rangle \Rightarrow \frac{cB_0}{4\pi} \frac{\partial}{\partial r} \langle \tilde{b}_r \tilde{b}_\theta \rangle \quad \text{Maxwell stress!!!} \end{aligned}$$

Note: (1) Stochasticity excited externally (RMP) but Ampere's law must be satisfied in plasma.
(2) Further progress is determined by cross phase



Cross Phase in Maxwell stress

•

$$\frac{\partial A}{\partial t} + V \cdot \nabla A = \mu J$$

$$\frac{\partial A}{\partial t} + V'_E \frac{\partial A}{\partial y} + \tilde{V} \cdot \nabla A = \mu J$$

Shear flow Fluctuation scattering

fluctuating magnetic potential \tilde{A}_k
 tilted by developing $E \times B$ flow,
 scattered by fluctuation.



- Maxwell stress: $\langle \tilde{b}_r \tilde{b}_\theta \rangle = \frac{1}{B^2} \sum_k |\tilde{A}_k|^2 \langle k_r k_\theta \rangle$ ✓ phase set by $\langle k_r k_\theta \rangle$
- Evolution of radial wave number k_r :

$$\rightarrow \rightarrow \quad \frac{dk_r}{dt} = -\frac{\partial}{\partial x} (\omega + k_\theta \langle V_E \rangle) \rightarrow k_r = k_r^0 - k_\theta V'_E \tau_c$$

$$\langle \tilde{b}_r \tilde{b}_\theta \rangle = \langle \tilde{b}_r \tilde{b}_\theta \rangle_0 + \frac{1}{B_0^2} \langle V_E \rangle' \sum_k (|\tilde{A}_k|^2 k_\theta^2 \tau_c)$$

✓ coherence time of MP
 $\tau_c = \left(\frac{k_\theta^2 V_E'^2 D_T}{3} \right)^{-1/3}$

- ✓ $\langle \tilde{b}_r \tilde{b}_\theta \rangle_0$ defined by k_r^0 , differs dramatically from often-discussed test particle approach → Discuss the detail later
- ✓ $E \times B$ shear aligns phases, regardless of mechanism.
- ✓ Tilting will tend to align turbulent RS and stochastic Maxwell stress.

Expression of $\langle J_r \rangle$ and its implication

● Ultimately
$$\langle J_r \rangle = \frac{cB_0}{4\pi} \frac{\partial}{\partial r} [\langle \tilde{b}_r \tilde{b}_\theta \rangle_0 - \langle V_E \rangle' \sum_k (|\tilde{b}_{r,k}^2| \tau_{c,b})]$$

Notable features (1):

- ✓ No explicit dependence on electron inertia, $\mathbf{k} \cdot \mathbf{B}_0$ resonance ... of **test particle transport** by magnetic stochasticity. Still successful [Payan, NF 1995
Ashourvan, NF 2022]
- ✓ **Our analysis** → a more solid fundamental foundation
 - uses exact Ampere's law to relate \tilde{J}_\parallel to stochastic fields \tilde{b}_r ,
 - entails no linearization, and not require $\langle J_r \rangle = 0$
- ✓ How resolve discrepancy? → **k_r^0 is key!!** Recall $\langle J_r \rangle \sim |\tilde{A}_k|^2 \langle k_\theta k_r \rangle$ with $k_r = k_r^0 - k_\theta \langle V_E \rangle' \tau_c$

from Ampere's law:
$$-(k_r^2 + k_\theta^2) \tilde{A}_k = \frac{4\pi}{c} \int n_0 |e| v_\parallel \tilde{f}_k d^3v$$

for $k_r^2 > k_\theta^2$, linear response for \tilde{f}_k to $\tilde{A}_k \rightarrow k_r^2 = -\frac{4\pi n_0 |e|}{c} \int v_\parallel \left(-i \frac{k_\theta}{k}\right) \frac{\delta f_k}{\delta A_k} d^3v$

Here, $\frac{\delta f_k}{\delta A_k}$ relates \tilde{f}_k , and thus \tilde{J}_\parallel , to \tilde{A}_k by the linear response.

- $k_r^0 k_\theta$ capture stochastic field effects on electrons
- $k_\theta^2 \langle V_E \rangle' \tau_c$ is due mainly to ion effects.

- Detailed analysis is left for future

Expression of $\langle J_r \rangle$ and its implication

- Ultimately
$$\langle J_r \rangle = \frac{cB_0}{4\pi} \frac{\partial}{\partial r} [\langle \tilde{b}_r \tilde{b}_\theta \rangle_0 - \langle V_E \rangle' \sum_k (|\tilde{b}_{r,k}^2| \tau_{c,b})]$$

Notable features (2):

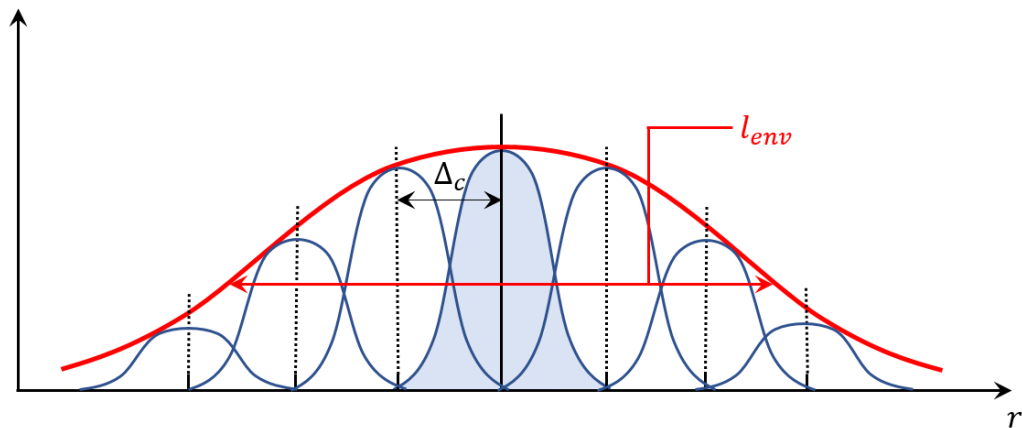
- ✓ $\langle J_r \rangle \sim \frac{\partial}{\partial r} (|\tilde{b}_r^2|)$ → Dependence on magnetic fluctuation envelope structure
- ✓ Thus, introduce novel scale, the radial envelope or spectral scale,

$$\ell_{env}^{-1} = |\tilde{b}_r^2|^{-1} \frac{\partial}{\partial r} (|\tilde{b}_r^2|)$$
 i.e., characteristic scale of the fluctuation intensity profile
 - ℓ_{env} is quite small, only a few centimeters in radial extension
 - rather modest $|\tilde{b}_r^2|$ can produce significant effects near separatrix
 - $\langle J_r \rangle$ is not necessarily “small”, even in the absence of electron inertia

Notable features (3):

- ✓ multi-scale character

Δ_c (mode width) is set by drift wave propagation



Expression of mean radial electron current density $\langle J_{r,e} \rangle$

- Analysis of the L→H transition, requires $\langle J_{r,e} \rangle = \langle J_r \rangle - n_0 |e| \langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle$
- Net ion flow along the tilted lines $\langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle$ by [Chen C.C et al PPCF2021]

— weak turbulence ($\omega < k_{\parallel} c_s$)

$$\langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle \cong -D_M \frac{\partial \langle V_{\parallel,i} \rangle}{\partial r}$$

$$\text{with } D_M = \sum_{\mathbf{k}} |\tilde{b}_{r,k}^2| \pi \delta(k_{\parallel})$$



$$\begin{aligned} \langle J_{r,e} \rangle &= \frac{cB_0}{4\pi|e|} \frac{\partial}{\partial r} \sum_{\mathbf{k}} [\langle \tilde{b}_r \tilde{b}_{\theta} \rangle_0 \\ &\quad - |\tilde{b}_{r,k}^2| \langle V_E \rangle' \tau_{c,b}] + n_0 |e| D_M \frac{\partial \langle V_{\parallel,i} \rangle}{\partial r} \end{aligned}$$

— strong turbulence ($\omega > k_{\parallel} c_s$)

$$\langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle \cong -\frac{1}{\rho c_s^2} D_{st} \frac{\partial \langle P_i \rangle}{\partial r}$$

$$\text{with } D_{st} = c_s^2 |\tilde{b}_{r,k}^2| \tau_c$$



$$\begin{aligned} \langle J_{r,e} \rangle &= \frac{cB_0}{4\pi|e|} \frac{\partial}{\partial r} \sum_{\mathbf{k}} [\langle \tilde{b}_r \tilde{b}_{\theta} \rangle_0 \\ &\quad - |\tilde{b}_{r,k}^2| \langle V_E \rangle' \tau_{c,b}] + n_0 |e| \frac{D_{st}}{\rho c_s^2} \frac{\partial \langle P_i \rangle}{\partial r} \end{aligned}$$

- ✓ $\langle J_{r,e} \rangle$ **cannot** be written as the divergence of a flux
- ✓ $\langle J_{r,e} \rangle$ is **proportional to** $|\tilde{b}_{r,k}^2|$, driven by **magnetic fluctuation intensity gradient** as well as by $\frac{\partial \langle V_{\parallel,i} \rangle}{\partial r}$ and $\frac{\partial \langle P_i \rangle}{\partial r}$

Flow 1: Stochastic B-field affects $\langle V_\theta \rangle$

- Poloidal momentum balance

Turbulence
Reynold stress

Maxwell stress
of stochastic field
perturbation

$$\frac{\partial \langle V_\theta \rangle}{\partial t} = -\mu(\langle V_\theta \rangle - V_{\theta,neo}) - \frac{\partial}{\partial r} \left(\langle \tilde{V}_\theta \tilde{V}_r \rangle - \frac{1}{4\pi\rho} \langle \tilde{B}_r \tilde{B}_\theta \rangle \right)$$

$B_\phi \langle J_r \rangle$

- For SS: $\langle V_\theta \rangle = \langle V_\theta \rangle_{neo} + \Delta V_\theta$

$$\Delta V_\theta = -\frac{1}{\mu} \frac{\partial}{\partial r} \left[\langle \tilde{V}_\theta \tilde{V}_r \rangle - V_A^2 \langle \tilde{b}_r \tilde{b}_\theta \rangle \right] \quad \text{Competition!!!}$$

$$= \frac{1}{\mu} \frac{\partial}{\partial r} \langle V_E \rangle' \left[\sum_k |\tilde{V}_{r,k}|^2 \tau_{c,\phi} - V_A^2 |\tilde{b}_{r,k}|^2 \tau_{c,b} \right]$$

- V_E' phasing via tilt tends to align turbulence and stochastic B-field, which **counteracts** the spin-up of $\langle V_\theta \rangle$.
- $\frac{\partial}{\partial r} |\tilde{b}_r|^2$, i.e., profile of stochastic enters \rightarrow **introduce stochastic layer width as novel scale**

Flow 2: Stochastic B-field affects $\langle V_\phi \rangle$

- For V_ϕ :
$$\frac{\partial \langle V_\phi \rangle}{\partial t} + \nabla \cdot \langle \tilde{V}_r \tilde{V}_\phi \rangle = \frac{1}{\rho c} \langle J_r \rangle B_\theta + S_\phi$$

$$\langle \tilde{V}_r \tilde{V}_\phi \rangle = -\chi_\phi \frac{\partial}{\partial r} \langle V_\phi \rangle, \quad \chi_\phi = \chi_T = \frac{\rho_s^2 c_s}{L_T}, \quad S_\phi = S_a \exp\left(-\frac{r^2}{2L_{M,dep}^2}\right)$$

Only consider diffusive term.

$$\Rightarrow \frac{\partial \langle V_\phi \rangle}{\partial t} = \frac{\partial}{\partial r} \left(\chi_\phi \frac{\partial}{\partial r} \langle V_\phi \rangle \right) + \frac{1}{4\pi\rho} \frac{B_\theta}{B} \frac{\partial}{\partial r} \langle \tilde{B}_r \tilde{B}_\theta \rangle + S_\phi$$

$B_\theta \langle J_r \rangle$

- For SS:
$$\frac{\partial}{\partial r} \left(\chi_\phi \frac{\partial}{\partial r} \langle V_\phi \rangle \right) = -\frac{V_{Ti}^2}{\beta} \frac{B_\theta}{B} \frac{\partial}{\partial r} \langle \tilde{b}_r \tilde{b}_\theta \rangle - S_\phi$$

Stochasticity affects edge toroidal velocity, shear

$$\Rightarrow \frac{\partial}{\partial r} \langle V_\phi \rangle |_{r_{sep}} = -\frac{1}{\chi_\phi} \left[\int_0^{r_{sep}} S_\phi dr + \frac{V_{Ti}^2}{\beta \chi_\phi} \frac{B_\theta}{B} \langle \tilde{b}_r \tilde{b}_\theta \rangle |_{r_{sep}} \right]$$

Integrated external torque

with $\langle \tilde{b}_r \tilde{b}_\theta \rangle = V_E' \tau_c' |\tilde{b}_r|^2$

✓ Force through radial current across separatrix.

Note: $\langle V_\phi \rangle'$ proportional to $|\tilde{b}_r|^2 / \chi_\phi$. Quenched $\chi_\phi \rightarrow$ stronger $\langle V_\phi \rangle'$ effects!

Stochasticity contribution to particle flux: two ingredients

- For electron density : $\frac{\partial n_e}{\partial t} + \frac{1}{r} \frac{\partial}{\partial r} (r \Gamma_e) = S_p$

with $\Gamma_e = \boxed{-(D_{neo} + D_T) \frac{\partial n}{\partial r}} + \boxed{\Gamma_{e, stoch}}$ ←

- $D_{neo} = (m_e/m_i)^{1/2} \chi_{i, neo}$
- $D_T \sim b D_{GB}$ with $b < 1$

$$S_p = \Gamma_a \frac{a - r + d_a}{L_{dep}^2} \exp\left(-\frac{(a + d_a - r)^2}{2L_{dep}^2}\right)$$

- The stochastic field can induce particle flux ($n_e = n_i$):

$$\Gamma_{e, stoch} = \frac{c}{4\pi e B} \langle \tilde{b}_r \nabla_{\perp}^2 \tilde{A}_{\parallel} \rangle + n \langle \tilde{V}_{\parallel, i} \tilde{b}_r \rangle$$

with

$$\frac{c}{4\pi e B} \langle \tilde{b}_r \nabla_{\perp}^2 \tilde{A}_{\parallel} \rangle = -\frac{cB}{4\pi e} \frac{\partial}{\partial r} \langle \tilde{b}_r \tilde{b}_{\theta} \rangle \quad \checkmark \quad \langle \tilde{b}_r \tilde{b}_{\theta} \rangle \text{ phasing via } V'_E \text{ tilt.}$$

$n \langle \tilde{V}_{\parallel, i} \tilde{b}_r \rangle$: parallel ion flow along tilted field lines

- RMP induced density “pump-out”?
- Kinetic stress $\langle \tilde{b}_r \delta P \rangle$

Stochasticity contribution to particle flux: feature

- After integrating in the absence of source

$$\frac{\partial \langle n_e \rangle}{\partial t} = \frac{1}{|e|} \frac{\partial}{\partial r} \langle J_{r,e} \rangle \rightarrow \boxed{\frac{\partial N_e}{\partial t} = A_{sep} \frac{1}{|e|} \langle J_{r,e} \rangle |_{r_{sep}} + C'_{sep}.}$$

- N_e is the total electron number
 - A_{sep} is the surface area of the plasma at the separatrix.
 - C'_{sep} is an integration constant, set by SOL physics.
- $\langle J_{r,e} \rangle$ is proportional to $|\tilde{b}_{r,k}^2|$

- ✓ Determines “pump out” caused by RMP induced stochasticity
- ✓ Mean quasi-neutrality is maintained during pump-out by the ion polarization charge flux, \rightarrow balances against $\langle J_{r,e} \rangle$ to maintain constant net charge $\rightarrow \rightarrow$ competition contributes to determining $\langle E_r \rangle$
- ✓ Particle transport is very sensitive to the envelope scale of the magnetic perturbations

$$\frac{\partial \langle n_e \rangle}{\partial t} \sim |\tilde{b}_r^2| / l_{env}^2 \quad \text{A narrow edge stochastic layer can trigger a significant change in density, even for modest } |\tilde{b}_r^2|$$

Ion heat flux with stochastic field

- Mean ion pressure evolves

$$\frac{\partial \langle P_i \rangle}{\partial t} + \frac{\partial}{\partial r} \langle \tilde{V}_r \tilde{P}_i \rangle = -\rho c_s^2 \frac{\partial}{\partial r} \langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle$$

- ✓ principal magnetic effect is due to the divergence of the ion flow along tilted magnetic field lines

— weak turbulence ($\omega < k_{\parallel} c_s$)

$$\langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle \cong -D_M \frac{\partial \langle V_{\parallel,i} \rangle}{\partial r}$$

$$\text{with } D_M = \sum_{\mathbf{k}} |\tilde{b}_{r,\mathbf{k}}|^2 \pi \delta(k_{\parallel})$$

— strong turbulence ($\omega > k_{\parallel} c_s$)

$$\langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle \cong -\frac{1}{\rho c_s^2} D_{st} \frac{\partial \langle P_i \rangle}{\partial r}$$

$$\text{with } D_{st} = c_s^2 |\tilde{b}_{r,\mathbf{k}}|^2 \tau_c$$

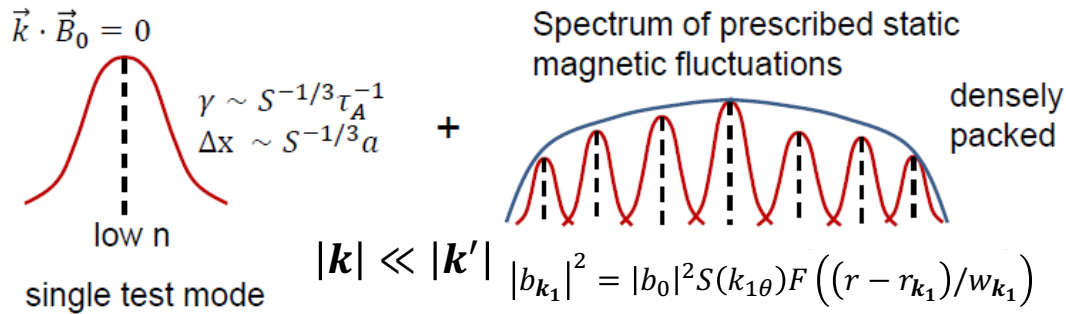
- ✓ the radial current density $\langle J_r \rangle$ **does not** enter to determine $\langle P_i \rangle$
- ✓ for typical $|\tilde{b}_r^2|$, direct effects on ion heat transport are **rather modest**.
- Ion sound propagation (c_s) sets the fundamental speed for ion heat transport along wandering magnetic field lines.

$$\chi_i = c_s \sum_{\mathbf{k}} |\tilde{b}_{r,\mathbf{k}}|^2 \delta(k_{\parallel}) \quad \text{much smaller than} \quad \chi_e = v_{the} \sum_{\mathbf{k}} |\tilde{b}_{r,\mathbf{k}}|^2 \delta(k_{\parallel})$$

Effects of stochastic magnetic fields on turbulence

- Common theme: a simple model $\nabla \cdot \mathbf{J} = 0$ (Kadomtsev and Pogutse '78)

A low- k single test mode + a high- k stochastic magnetic field



If only $\tilde{\mathbf{b}}$ and $\bar{\varphi}$, $\nabla \cdot \mathbf{J} = 0$ is not guaranteed!
What is missing?

$$\frac{\partial}{\partial t} \nabla_{\perp}^2 \bar{\varphi} = -\frac{S}{\tau_A} \left(\nabla_{\parallel}^{(0)} + \tilde{\mathbf{b}} \cdot \nabla_{\perp} \right)^2 \bar{\varphi} - \frac{g B_0}{\rho_0} \frac{\partial \bar{p}}{\partial y}$$

Analogy	Kadomtsev and Pogutse '78	This model
Base state	$\langle T(r) \rangle$	$\bar{\varphi}_k$
External fluctuation	$\tilde{\mathbf{b}}$	$\tilde{\mathbf{b}}$
Constraint	$\nabla \cdot \mathbf{q} = 0$	$\nabla \cdot \mathbf{J} = 0$
Resulting fluctuation	\tilde{T}	$\tilde{\varphi}$

electrostatic potential fluctuation induced by $\tilde{\mathbf{b}}_r$

See more details: M. Y. Cao, F-I8, this meeting

Towards an expression for $\langle V_E \rangle'$

- From Ohm's law, $\langle E_r \rangle$ and $\langle J_r \rangle$ are related :

$$E_r = \frac{1}{enB} \frac{\partial}{\partial r} P_i + v_\phi B_\theta - v_\theta B_\phi.$$

$$\langle \tilde{J}_\parallel \tilde{B}_r \rangle \rightarrow \langle J_r \rangle \begin{cases} n_e \text{ via } \langle \tilde{b}_r J_\parallel \rangle \\ \langle V_\theta \rangle \text{ via } \langle J_r \rangle B_t \\ \langle V_\phi \rangle \text{ via } \langle J_r \rangle B_\theta \end{cases}$$

- Elements for $\mathbf{E} \times \mathbf{B}$ shear:

- ✓ Poloidal flow \rightarrow a modification in the $\langle V_\theta \rangle$ since $\langle \tilde{V}_\theta \tilde{V}_r \rangle - V_A^2 \langle \tilde{b}_r \tilde{b}_\theta \rangle$

$$\Delta V_\theta = -\frac{1}{\mu} \frac{\partial}{\partial r} \left[\langle \tilde{V}_\theta \tilde{V}_r \rangle - V_A^2 \langle \tilde{b}_r \tilde{b}_\theta \rangle_0 + \langle V_E \rangle' V_A^2 \sum_k \left(|\tilde{b}_{r,k}^2| \tau_{c,b} \right) \right],$$

- ✓ Toroidal flow \rightarrow induce a “intrinsic torque”

$$\frac{\partial}{\partial r} \langle V_\phi \rangle |_{r_{\text{sep}}} = -\frac{1}{\chi_\phi} \left[\int_0^{r_{\text{sep}}} S_\phi dr - + \frac{v_{\text{thi}}^2}{\beta} \frac{B_\theta}{B_0} \left[\langle \tilde{b}_r \tilde{b}_\theta \rangle_0 - \langle V_E \rangle' \sum_k \left(|\tilde{b}_{r,k}^2| \tau_{c,b} \right) \right] |_{r_{\text{sep}}} + C_{\text{sep}} \right]$$

- ✓ Density \rightarrow necessarily also closely linked to RMP pump-out

$$\frac{\partial \langle n_e \rangle}{\partial t} = \frac{1}{|e|} \frac{\partial}{\partial r} \langle J_{r,e} \rangle, \quad \langle J_{r,e} \rangle = \frac{cB_0}{4\pi|e|} \frac{\partial}{\partial r} \langle \tilde{b}_r \tilde{b}_\theta \rangle - n_0 |e| \langle \tilde{b}_r \tilde{V}_{\parallel,i} \rangle.$$

- ✓ χ_i is modified by magnetic effects, albeit rather slightly

Five different radial scales in the model

- Additional comments

- ① $\langle \tilde{V}_\theta \tilde{V}_r \rangle - V_A^2 \langle \tilde{b}_r \tilde{b}_\theta \rangle$, competition appears not due to Alfvénization since the static magnetic fluctuations \tilde{b}_r are externally driven, while the drift waves are dynamic, and heat flux driven and evolving
- ② The problem is multi-scale, even in its most simple manifestation.

Table 1. Five radial scales in our model, their corresponding physics and impact.

Scale	Physics	Impact
L_n, L_T	Profile gradient	Drive of turbulence
u' / u	Flow damping profile scale	Rotation shear, $\langle V_E \rangle'$
$\ell_{\text{env}, \phi}$	Drift wave intensity	Reynolds stress drive
$\ell_{\text{env}, b}$	Stochastic field envelope scale	Magnetic stress scale
k_r	Stochastic field radial wavenumber	Magnetic stress phase

Outline

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- Mean field model $\langle E_r \rangle$ —follow radial force balance
 - Key fundamentals:
 - *phases*
 - *instability in stochastic field*
 - Turbulent transport
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- Applications
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- Implications and future work

Increment of LH threshold power

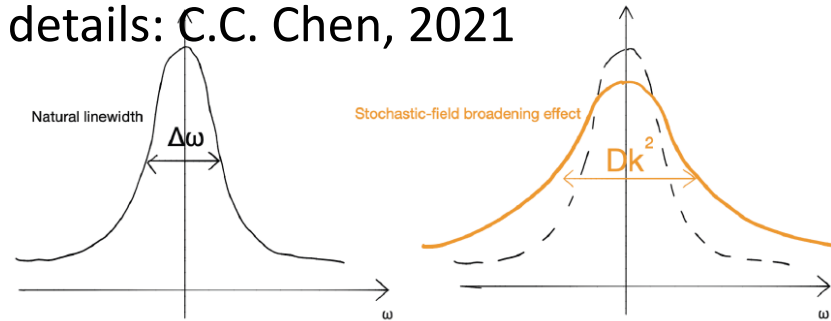
Concern: $\Delta\omega < Dk_{\perp}^2$, $D=v_A D_M$

More details: C.C. Chen, 2021

Turbulence
decorrelation

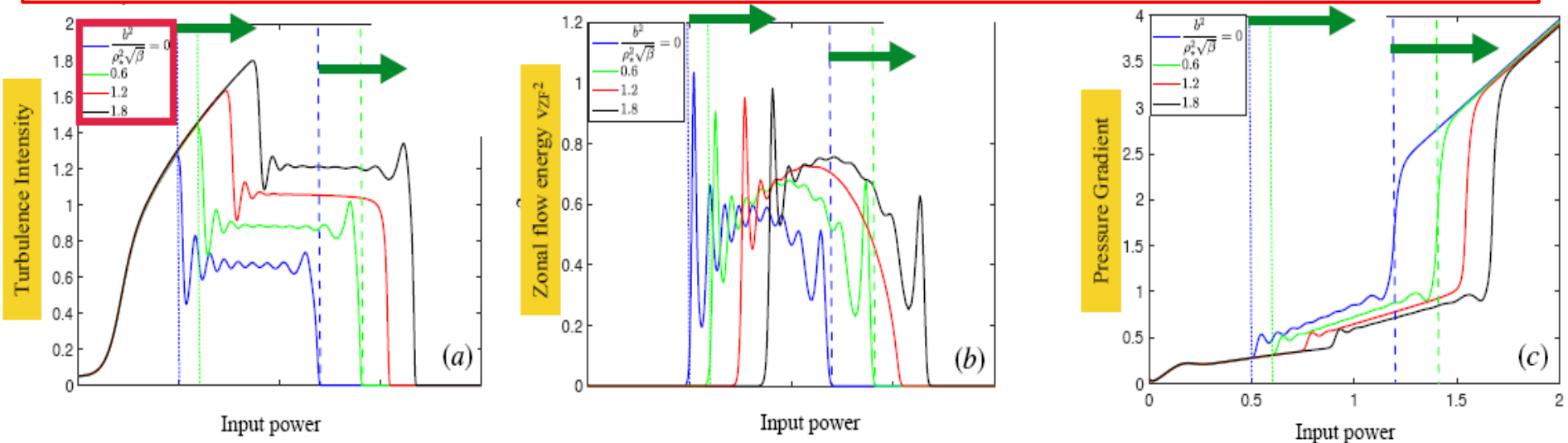
$$\Delta\omega \sim D_{ES} k_{\perp}^2$$

Stochastic field
induced scattering



Broadening parameter: $\alpha \equiv \frac{b^2}{\sqrt{\beta} \rho_*^2} \frac{q}{\epsilon} = 0.0, 0.2, 0.4, 0.6, 0.8, \dots, 2.0$

Kim and Diamond model, 2003 PRL, predator: zonal flow, prey: turbulence



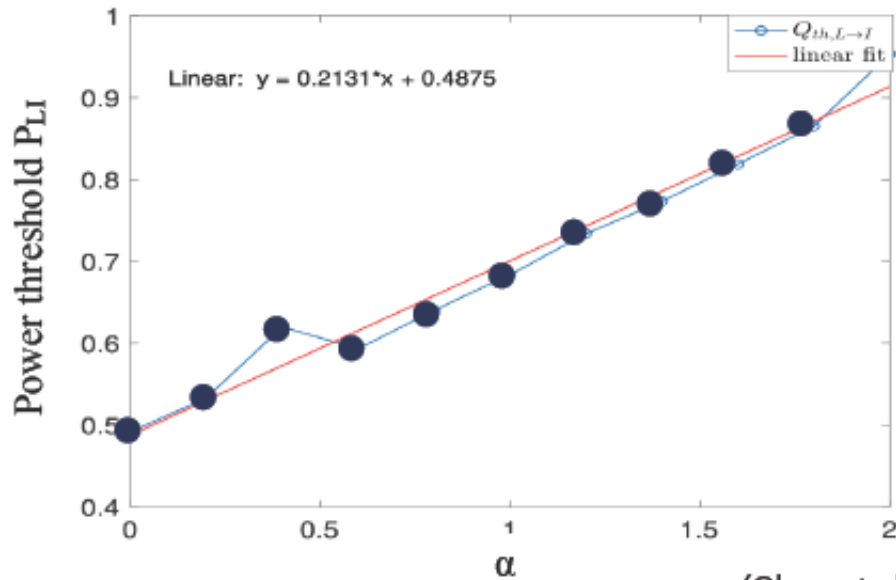
The threshold increase due to stochastic dephasing effect is seen in turbulence intensity, zonal flow, and pressure gradient.

Increment of LH threshold power

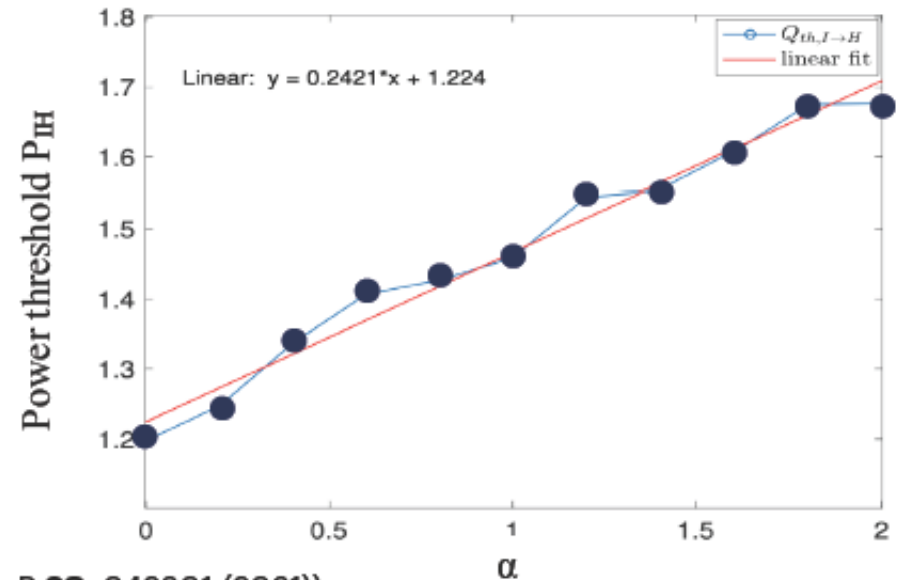
$$\alpha \equiv \frac{b^2}{\sqrt{\beta} \rho_*^2} \frac{q}{\epsilon} = 0.0, 0.2, 0.4, 0.6, 0.8, \dots, 2.0$$

More details: C.C. Chen, O 3.2, this meeting

P_{LI} v.s. α



P_{IH} v.s. α



(Chen et al., PoP **28**, 042301 (2021))

- ❑ Testable prediction: The threshold power increase linearly with $\alpha \sim b^2 / \rho_*^2$.
- ❑ Could compare directly with $\Delta\omega$ ($k_{\perp}^2 v_A D_M$)

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Results and implications

Topic	Goal	Key physical results	prediction
Reynold stress (C C Chen)	Flow shear evolution	Dephasing when $\Delta\omega \sim v_A D_M k_{\perp}^2$	Critical parameter $\alpha \sim b^2 / \rho_*^2 \sqrt{\beta}$
Parallel flow and ion heat transport (P.H. Diamond)	Calculate kinetic stress $\langle \tilde{b}_r \delta P \rangle$ in turbulence	Physical understanding of stochasticity-turbulence interaction	Hybrid stochastic field + turbulence viscosity
Instability evolution in stochastic field (M.Y. Cao)	Understand how prescribed \tilde{b} affect instability evolution	Maintaining $\nabla \cdot J = 0$ forces generation of small scale cells by \tilde{b}	$\langle \tilde{b}_r \tilde{\phi} \rangle \neq 0$ → turbulence “lock on” to \tilde{b} .
Mean field theory for $\langle E_r \rangle$ (All)	Understand electric field shear evolution	Unified model including all transport channels	$\langle V_E \rangle'$ aligns stochastic field, particle flux due to \tilde{b}

Future work

- ❑ Towards to the 1D model to study the interplay in L-H transition.
- ❑ Related to experiments:
 - ① Understand the relationship between the RMP effects on power threshold and micro-physics? [stress, fluctuations, transport...]
 - ② How does the RMP change the evolution of the shear layer (E_r well) ? How it builds up?
 - ③ How the **cross-phase** of Reynolds stress change (evolution) vs RMP current?
 - ④ How does the RMP change the **LCO (limit cycle oscillation)**?
 - ⑤ How **toroidal velocity** change at pedestal region?
- ❑ Related work in density limit, esp. effect of RMP on E_r shear

Thanks for your attention!!!