

Intrinsic Axial Flows in CSDX and Dynamical Symmetry Breaking in ITG Turbulence

-- Negative Viscosity Effects and Flow Saturation

J.C. Li, P.H. Diamond, R. Hong, S.C. Thakur, G. Tynan -- CMTFO, CER, and CASS; UCSD, USA X.Q. Xu -- LLNL, USA

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Outline

- Introduction:
 - Why intrinsic rotation + weak shear?
 - Intrinsic flow at zero shear: CSDX experiments; ion features
 - Theory: Dynamical Symmetry Breaking in Collisional Drift Wave turbulence
- Symmetry Breaking in ITG turbulence at zero magnetic shear?
 - PSF-ITG system
 - Symmetry breaking in 3 instability regimes
 - Summary: ∇V_{\parallel} effects on ITG turbulence
- Lesson for tokamaks: interaction with symmetry breaking based on magnetic shear
 - Rotation profiles

Intrinsic Rotation in Weak Shear

- JET: Weak shear AND Rotation \rightarrow Enhanced confinement
- But external torque limited in ITER
- Need understand: *Intrinsic rotation in weak shear regimes*
- Important for:
 - Total effective torque
 - $\tau = \tau_{ext} + \tau_{intr}$
 - Contribution to $V'_{E \times B}$



[P. Mantica, PRL, 2011; Rice, PRL, 2013]

FIG. 4 (color online). $q_i^{\text{GB}} \text{ vs } R/L_{T_i} \text{ at } \rho_{\text{tor}} = 0.33 \text{ for similar}$ plasmas with different rotation and *s* values.

Intrinsic $\nabla \langle v_z \rangle$ in Drift Wave Turbulence

- Axial flow in CSDX:
- ∇n_0 is free energy source
- $\langle v_Z \rangle' \sim \frac{1}{n_0} \nabla n_0$



⁽Zero magnetic shear)

- Compare:
- Intrinsic $\nabla \langle v_z \rangle$ in C-Mod pedestal:



Theory of Intrinsic Rotation at Zero Shear

• Intrinsic flow accelerated by residual stress ($\tau_{intr} = -\nabla \cdot \Pi^{Res}$)

$$\langle \tilde{v}_{r} \tilde{v}_{\parallel} \rangle = -\chi_{\phi} \frac{d \langle v_{\parallel} \rangle}{dr} + V_{P} \langle v_{\parallel} \rangle + \Pi_{r\parallel}^{Res}$$

- Residual stress driven by turbulence, i.e. $\Pi_{r\parallel}^{Res} \sim \nabla P, \nabla T, \nabla n_0$
- $\Pi_{r\parallel}^{Res} \sim \langle k_{\theta}k_{\parallel} \rangle$ etc. requires symmetry breaking in $k_{\theta}k_{\parallel}$ space, at ZERO shear
- \rightarrow Dynamical symmetry breaking
- Negative viscosity increment induced by Π^{Res}

$$- \ \delta \Pi^{Res} = |\chi_{\phi}^{Res}| \delta \langle v_z \rangle' \rightarrow \text{Total viscosity:} \ \chi_{\phi}^{tot} = \chi_{\phi} - |\chi_{\phi}^{Res}|$$

$$-\chi_{\phi}^{tot} < 0$$
 \rightarrow Modulational growth of $\delta \langle v_z \rangle'$

- Broader lesson for tokamaks
 - Synergy of $\langle v_{\phi} \rangle'$ self-amplification and Π^{Res}
 - $-\langle v_{\phi} \rangle'$ driven by τ_{NBI} , $\Pi^{Res}(\nabla n_0, \nabla T)$, and enhanced by $-|\chi_{\phi}^{Res}|$

$$- \langle v_{\phi} \rangle' \sim \frac{\tau_{NBI} + \Pi^{Res}(\nabla n_0, \nabla T)}{\chi_{\phi} - |\chi_{\phi}^{Res}|}$$

Compare Symmetry Breaking Mechanisms

	Standard Symmetry Breaking	Dynamical Symmetry Breaking
Free energy	$\nabla T_i, \nabla T_e, \nabla n_0, \dots$	∇n_0 , ∇T_e electron drift waves
Symmetry breaker	E'_r , $I(x)'$, All tied to magnetic field configuration	Test toroidal flow shear, $\delta \langle v_{\phi} \rangle'$; No requirement for shear of B structure.
Effect on flow	Intrinsic torque, $-\partial_r \Pi^{Res}_{r\parallel}$	Negative viscosity, $- \chi_{\phi}^{Res} $ driven by $ abla n_0$
Flow profile	$\langle v_{\parallel} \rangle' = rac{\Pi_{r\parallel}^{Res}}{\chi_{\phi}}$	$\langle v_{\phi} \rangle' = rac{\text{Flow drive (e. g. } \Pi_{r\phi}^{Res}, \Delta P_i)}{\chi_{\phi}(\nabla n_0, \nabla \langle v_{\phi} \rangle) - \chi_{\phi}^{Res} }$
Feedback loop	Heat flux $rac{\nabla T_i + \text{geometry}}{(\text{magnetic shear})}$ Open loop $rac{}{}$ $\langle v_{\parallel} \rangle' \qquad $	$\begin{array}{c} \hline \text{Test flow}\\ \text{shear } \delta \langle v_{\phi} \rangle' & & & & & \\ & & & \\ & & & \\ & & & \\ & $

Ion Features in CSDX

 Mode Coexistence average removed #43 b τ=0.175ms 0.5 **Ion drift** direction (p-(p) / σ_{max} **Electron drift** -0.5 direction 100 20 80 4∩ 60 x (pixel)

• *T_i* profile steepening



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Questions

- What happens to ITG turbulence?
 - How does ∇V_{\parallel} affect the ITG turbulence?
 - Does ITG turbulence have dynamical symmetry breaking?
- How does \(\nabla V_{\|}\) induced symmetry breaking interact with symmetry breaking by magnetic shear?

PSF-ITG System

• Fluid model with ion dissipation • $\frac{d}{dt}(1 - \nabla_{\perp}^2)\phi + \mathbf{v}_E \cdot \frac{\nabla n_0}{n_0} + \nabla_{\parallel} \tilde{v}_{\parallel} = 0,$

$$\frac{d\tilde{v}_{\parallel}}{dt} + \mathbf{v}_E \cdot \nabla V_{\parallel} = -\nabla_{\parallel} \phi - \nabla_{\parallel} \tilde{p}_i,$$
$$\frac{d\tilde{p}_i}{dt} + \frac{1}{\tau} \mathbf{v}_E \cdot \frac{\nabla P_0}{P_0} + \frac{\Gamma}{\tau} \nabla_{\parallel} \tilde{v}_{\parallel} + \nabla_{\parallel} Q_{\parallel} = 0.$$

• Dispersion relation:

 $A\Omega^3 - (C_0 - V')\Omega - D = 0$

$$\begin{split} A &\equiv 1 + k_{\perp}^2 \rho_s^2, \qquad \Omega \equiv \frac{\omega}{|k_{\parallel} c_s|}, \\ C_0 &\equiv 1 + \frac{1 + k_{\perp}^2 \rho_s^2}{\tau} \Gamma, \quad V' \equiv \frac{k_{\theta} k_{\parallel} \rho_s c_s}{k_{\parallel}^2 c_s^2} \frac{\partial V_{\parallel}}{\partial r}, \\ D &\equiv \frac{\omega_T}{\tau |k_{\parallel} c_s|}. \qquad \tau \equiv \frac{T_e}{T_i} \end{split}$$

 Landau damping effect ignored because

$$\frac{|k_{\parallel}|\chi_{\parallel}}{c_s} \sim \frac{v_{Thi}}{c_s} = \frac{1}{\sqrt{\tau}} < 1 \text{ in CSDX}$$

- 2 free energy sources: ∇V_{\parallel} and ∇T_i
- Magnetic shear = 0 → No correlation between parallel and perpendicular directions
 - \rightarrow Simplified geometry (cylindrical)
- Landau damping closure: $Q_{\parallel,k} = -\chi_\parallel n_0 i k_\parallel ilde{T}_{i,k}$

(Hammett and Perkins, PRL, 1995) $\chi_{\parallel}=2\sqrt{2}v_{Thi}/(\sqrt{\pi}|k_{\parallel}|)$

• Criterion for instability: $\Delta \equiv \left(\frac{D}{2A}\right)^2 - \left(\frac{C_0 - V'}{3A}\right)^3 > 0$

 ∇V_{\parallel} and ∇T_i are coupled nonlinearly

Can be decoupled by limiting relative scale length $L_T/L_V \equiv \partial_r \ln T_{i0}/\partial_r \ln V_{\parallel}$

E.g. consider two extreme cases:

- ITG Instability: $A\Omega^3 D \approx 0$, $\omega \sim e^{i2\pi/3} (\omega_T k_{\parallel}^2 c_s^2 / \tau A)^{1/3}$
- PSFI (parallel shear flow instability):

$$A\Omega^3 + (V' - C_0)\Omega \approx 0, \quad \omega \sim e^{i\pi/2}\sqrt{V' - C_0/A}$$

Instability Regimes

- Goal: decouple ∇V_{\parallel} and ∇T_{i} - Residual stress $\rightarrow \chi_{\phi}^{Res}$ - $\chi_{\phi}^{tot} = \chi_{\phi}^{ITG} + \chi_{\phi}^{PSFI} + \chi_{\phi}^{Res}$ \Rightarrow Flow profile $V_{\parallel}' \sim \Pi_{r\parallel}^{Res} / \chi_{\phi}^{tot}$
- Regimes in ∇V_{\parallel} - ∇T_i space:
 - (1) Marginally unstable regime: $\Delta \gtrsim 0$ (2) ITG dominant regime

 $\frac{\left(\left|k_{\parallel}\right|L_{T}\right)^{2/3}}{\left|k_{\parallel}\right|L_{V}} < \frac{3}{2^{2/3}} \frac{c_{s}}{V_{\parallel}} \frac{A^{1/3}}{(k_{\theta}\rho_{s})^{1/3}\tau^{1/3}}$ (3) PSFI dominant regime $\frac{\left(\left|k_{\parallel}\right|L_{T}\right)^{2/3}}{\left|k_{\parallel}\right|L_{V}} > \frac{3}{2^{2/3}} \frac{c_{s}}{V_{\parallel}} \frac{A^{1/3}}{(k_{\theta}\rho_{s})^{1/3}\tau^{1/3}}$ (4) Stable regime: $\Delta < 0$



Flow profile in different instability regimes. The regimes are identified according to the magnitude of relative scale length $\frac{(|k_{\parallel}|L_T)^{2/3}}{|k_{\parallel}|L_V}$ and magnitude of Δ .

Residual Stress Direction Determined by Mode Phase

- Mode phase θ_k :
 - Defined as $\omega = \omega_k + i\gamma_k \equiv |\omega|e^{i\theta_k}$ $\rightarrow \theta_k^{ITG} = \frac{2\pi}{3}, \ \theta_k^{PSFI} = \frac{\pi}{2}$
- Residual stress due to $\delta V'_{\parallel}$:

• Residual stress:

Deterimined by mode phase θ_k : $\Re \frac{i}{\omega^2} \sim \cos \left(\frac{\pi}{2} - 2\theta_k\right)$

 $\Pi_{r\parallel}^{Res} \approx \Re \sum_{k} \frac{i}{\omega^2} \frac{\omega_T}{\tau} k_{\theta} k_{\parallel} \rho_s c_s |\phi_k|^2$

 $\delta V'_{\parallel} \rightarrow \delta \omega \equiv |\delta \omega| e^{i \delta \theta_k}, \, \delta \theta_k$: perturbed mode phase

∇V_{\parallel} Effects on ITG Turbulence



Guideline: Physics of the 3 Regimes

- Marginal regime:
 - Dual perspective: PSFI enhanced by ∇T_{i0} ; ITG enhanced by ∇V_{\parallel}
 - Coexistence of PSFI and ITG turbulence
- ITG regime:
 - Negative viscosity increment induced by $\delta V_{\parallel} \rightarrow \chi_{\phi}^{Res} < 0$
 - Total viscosity positive $\chi_{\phi}^{tot} = \chi_{\phi} |\chi_{\phi}^{Res}| > 0$
 - Flow profile enhanced by $\chi_{\phi}^{Res}: V_{\parallel}' \sim \Pi_{r\parallel}^{Res} / (\chi_{\phi} |\chi_{\phi}^{Res}|)$
- PSFI regime:
 - Flow saturated by PSFI, profile gradient stay at the threshold: $V'_{\parallel} \sim V'_{\parallel,crit}$

	Marginally Unstable	ITG Dominant	PSFI Dominant
Primary Turbulence Drive	$ abla T_{i0} ext{ and } abla V_{\parallel}$	$ abla T_{i0}$	$ abla V_{\parallel}$
Mode Phase θ_k	$\lesssim \pi$	$2\pi/3$	$\gtrsim \pi/2$
∇V_{\parallel} Effect on Mode Phase $\delta \theta_k$	$\pi/2$	$\pi/3$	NA
∇V_{\parallel} Induced Symmetry Breaking	$k_{ heta}k_{\parallel}V_{\parallel}'>0$	$k_{ heta}k_{\parallel}V_{\parallel}'>0$	$k_{ heta}k_{\parallel}V_{\parallel}'>0$

Marginal Regime: Flow Profile and Symmetry Breaking

- Weakly unstable ITG turbulence: $\gamma_k \sim \sqrt{\omega_T^2 \omega_{T,crit}^2}$
- $\omega_{T,crit}^2$ nonlinear in ∇V_{\parallel} \longleftrightarrow $\omega_{T,crit}^2(V_{\parallel}') = \frac{4\tau^2 k_{\parallel}^2 c_s^2 (C_0 V')^3}{27A}$

 $\rightarrow \Pi_{r\parallel}^{Res}$ and χ_{ϕ} are nonlinear in ∇V_{\parallel} , but $V'_{\parallel} \sim$ their ratio independent on ∇V_{\parallel}

- Symmetry breaking by ∇V_{\parallel} : $V' = k_{\theta}k_{\parallel}V'_{\parallel} > 0$ lowers $\omega_{T,crit}^2$
- Mode phase $\theta_k = \pi \epsilon$, because $\gamma_k \ll \omega_k$ • ∇V_{\parallel} induced mode phase $\delta \theta_k = \frac{\pi}{2}$ $\rightarrow \cos\left(\frac{\pi}{2} + \delta \theta_k - 3\theta_k\right) > 0$ $\chi_{\phi}^{Res} \cong \frac{4^{4/3}}{3^{5/2}} \sum_k \frac{C_0^2}{A^{1/3}} \frac{\tau^{5/3}}{\omega_T^{2/3}} \frac{k_{\theta}^2 \rho_s^2 |k_{\parallel} c_s|^{2/3}}{\sqrt{\omega_T^2 - \omega_{T, crit}^2(0)}} |\phi_k|^2 > 0$

ITG more unstable for $k_{\theta}k_{\parallel}V_{\parallel}' > 0$

Spectral imbalance, setting $\langle k_{\theta}k_{\parallel}\rangle V_{\parallel}'>0$



PSFI and ITG Coexists in Marginal Regime

• ITG turbulence with ∇V_{\parallel} in this regime is equivalent to weakly unstable PSFI turbulence

•
$$\gamma_k \sim \sqrt{\omega_T^2 - \omega_{T,crit}^2} \Leftrightarrow \gamma_k \sim \sqrt{V' - V'_{crit}}$$
, with $V'_{crit} = C_0 - \left(\frac{27A\omega_T^2}{4\tau^2 k_{\parallel}^2 c_s^2}\right)^{1/3}$

• $\rightarrow \nabla T_{i0}$ lowers the PSFI threshold

- Dual perspective: ITG turbulence enhanced by $\nabla V_{\parallel} \iff$ PSFI turbulence enhanced by ∇T_{i0}
- Both PSFI and ITG turbulences exist in marginal regime
- ∇T_{i0} and ∇V_{\parallel} effects are coupled nonlinearly

ITG Regime

• Dominated by ∇T_{i0} , with ∇V_{\parallel} as perturbation



Negative viscosity induced by residual stress due to perturbed mode phase set by ∇V_{\parallel}

Symmetry Breaking by ∇V_{\parallel} Compared to Drift Wave

- In ITG turbulence, the ∇V_{\parallel} induced spectral imbalance:
 - Negative viscosity increment: $\chi_{\phi}^{Res} < 0$
 - Total viscosity positive: $\chi_{\phi}^{tot} = \chi_{\phi}^{ITG} |\chi_{\phi}^{Res}| = \frac{2}{3}\chi_{\phi}^{ITG} > 0$
 - Evolution of a test flow shear set by

$$\partial_t \delta V'_{\parallel} = \chi_{\phi}^{tot} \partial_r^2 \delta V'_{\parallel} \xrightarrow{} \gamma_q = -\chi_{\phi}^{tot} q_r^2 < 0$$

$$\rightarrow \delta V'_{\parallel}$$
 cannot reinforce itself!

	ITG turbulence	Drift Wave turbulence
Direction of correlator	$\langle k_{\theta}k_{\parallel}\rangle V_{\parallel}'>0$	$\langle k_{\theta}k_{\parallel}\rangle V_{\parallel}'>0$
Viscosity increment	$\chi_{\phi}^{Res} < 0$	$\chi_{\phi}^{Res} < 0$
Total viscosity	$\chi_{\phi}^{tot} > 0$	χ_{ϕ}^{tot} can be negative
Modulational instability	No	Can exist

Flow Profile in ITG Regime

• ∇V_{\parallel} decoupled from ∇T_{i0}

 $\Pi_{r\parallel}^{Res}(\nabla V_{\parallel}, \nabla T_{i0}) \approx \Pi_{r\parallel}^{Res}(\nabla T_{i0}) + |\chi_{\phi}^{Res}(\nabla T_{i0})|\nabla V_{\parallel}$ ∇V_{\parallel} induced symmetry breaking Need geometrical symmetry breaking not self-sustained V_{\parallel}' enhanced by $-|\chi_{\phi}^{Res}|$: $|V'_{\parallel}| = \frac{|\Pi^{Res}_{r\parallel}(\nabla T_{i0})|}{|\nabla_{\perp}(\nabla T_{i0}) - |\nabla^{Res}_{r\parallel}(\nabla T_{i0})|} \sim \frac{3}{2} A^{1/3} \left(\frac{\omega_{T}}{\tau |k_{\parallel}c_{s}|}\right)^{2/3} \frac{|k_{\parallel}|c_{s}|}{k_{\theta}\rho_{s}}$

• $|V_{\parallel}'|$ below PSFI regime threshold $|V_{\parallel,regime}'| \sim \frac{3}{2^{2/3}} A^{1/3} \left(\frac{\omega_T}{\tau |k_{\parallel} c_s|}\right)^{2/3} \frac{|k_{\parallel}|c_s}{k_{\theta} \rho_s}$

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PSFI Regime

- Dominated by ∇V_{\parallel} , with ∇T_{i0} as a correction
- Regime threshold is different from PSFI threshold
 - Regime threshold: ∇V_{\parallel} and ∇T_{i0} are well above threhold, and ∇V_{\parallel} is larger than ∇T_{i0}
 - PSFI threshold: ∇V_{\parallel} is large enough to trigger instability, in presence of ∇T_{i0}
 - Consider $|V'_{\parallel,crit}| \ll |V'_{\parallel}| \lesssim |V'_{\parallel,regime}|$
- γ_k nonlinear in ∇V_{\parallel} , $\omega_k \leq 0$ due to ∇T_{i0} correction:

$$\gamma_k \cong \frac{|k_{\parallel} c_s|}{\sqrt{A}} \sqrt{V' - C_0}, \ \omega_k \cong -\frac{\omega_T}{2\tau (V' - C_0)}.$$

• $\rightarrow \Pi_{r\parallel}^{Res}$ and χ_{ϕ} are nonlinear in ∇V_{\parallel}

$$\Pi_{r\parallel}^{Res} \cong -\sum_{k} \frac{\omega_{T}^{2}}{\tau^{2}} \frac{A^{3/2}}{|k_{\parallel}c_{s}|^{3}(V'-C_{0})^{5/2}} k_{\theta}k_{\parallel}\rho_{s}c_{s}|\phi_{k}|^{2}, \ \chi_{\phi} = \sum_{k} \frac{\sqrt{A}}{|k_{\parallel}c_{s}|\sqrt{V'-C_{0}}} k_{\theta}^{2}\rho_{s}^{2}|\phi_{k}|^{2}.$$

• $\rightarrow \nabla V_{\parallel}$ saturated since $|\Pi_{r\parallel}^{Res}|$ drops as ∇V_{\parallel} increases

Flow Profile in PSFI Dominant Regime

- $|V'_{\parallel}|$ driven by ITG turbulence always below $|V'_{\parallel,regime}|$ \rightarrow Additional flow drive can lead to PSFI regime $|V'_{\parallel}| \gtrsim |V'_{\parallel,regime}|$ \rightarrow Result: $|V'_{\parallel}|$ saturated by strong PSFI turbulence $\rightarrow |V'_{\parallel}| \lesssim |V'_{\parallel,regime}|$
- $\Pi_{r\parallel}^{Res}$ and χ_{ϕ} nonlinear in ∇V_{\parallel}

$$|V_{\parallel}'| = \frac{|\Pi_{r\parallel}^{Res}(\nabla T_{i0}, \nabla V_{\parallel})|}{\chi_{\phi}(\nabla T_{i0}, \nabla V_{\parallel})} \sim \frac{A}{(V_{\parallel}')^2} \left(\frac{\omega_T}{\tau |k_{\parallel} c_s|}\right)^2 \left(\frac{|k_{\parallel}| c_s}{k_{\theta} \rho_s}\right)^3$$
$$\implies |V_{\parallel}'| \sim A^{1/3} \left(\frac{\omega_T}{\tau |k_{\parallel} c_s|}\right)^{2/3} \frac{|k_{\parallel}| c_s}{k_{\theta} \rho_s} < |V_{\parallel,regime}|$$

• $|V'_{\parallel}|$ stays at PSFI threshold due to balance between flow drive and PSFI saturation

$|V'_{\parallel}|$ profile saturated by PSFI



Summary

- ∇V_{\parallel} plays 3 roles in ITG turbulence
 - − Drive PSFI → saturate V'_{\parallel} profile
 - Symmetry breaking \rightarrow spectral imbalance, $\langle k_{\theta}k_{\parallel}\rangle V_{\parallel}' > 0$
 - Modify mode phase $\rightarrow \chi_{\phi}^{Res} \sim \cos\left(\frac{\pi}{2} + \delta\theta_k 3\theta_k\right)$
- Interaction between symmetry breaking set by ∇V_{\parallel} and by magnetic shear depends on instability regimes
 - In marginal regime:
 - $\rightarrow \Pi_{r\parallel}^{Res}$ primarily set by geometrical symmetry breaking mechanisms
 - → Ratio $\Pi_{r\parallel}^{Res}/\chi_{\phi}$ independent from ∇V_{\parallel}
 - Coexistence of PSFI and ITG turbulence
 - In ITG regime:
 - $\rightarrow \Pi_{r\parallel}^{Res}$ primarily set by geometrical symmetry breaking mechanisms
 - $\rightarrow -|\chi_{\phi}^{Res}|$ enhances V_{\parallel}' profile
 - In PSFI regime:
 - $\rightarrow V'_{\parallel}$ saturated by PSFI $\rightarrow V'_{\parallel}$ stays at the PSFI threshold